

Form **990-PF****Return of Private Foundation**

OMB No. 1545-0052

Department of the Treasury
Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.**2013****Open to Public Inspection**

For calendar year 2013 or tax year beginning

, 2013, and ending

, 20

Name of foundation **THE J.M. KAPLAN FUND, INC.**

C/O CONDON O'MEARA MCGINTY & DONNELLY

Number and street (or P.O. box number if mail is not delivered to street address)

ONE BATTERY PARK PLAZA**7TH FLOOR**

City or town, state or province, country, and ZIP or foreign postal code

NEW YORK, NY 10004-1405**G** Check all that apply:☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name change**H** Check type of organization:☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundation**I** Fair market value of all assets at

end of year (from Part II, col. (c), line

16) ▶ \$ **144,591,135.****J** Accounting method:☐ Cash☒ Accrual☐ Other (specify) _____

(Part I, column (d) must be on cash basis.)

A Employer identification number**13-5009283****B** Telephone number (see instructions)**(212) 661-7777****C** If exemption application is pending, check here ☐**D** 1. Foreign organizations, check here ☐2. Foreign organizations meeting the 85% test, check here and attach computation ☐**E** If private foundation status was terminated under section 507(b)(1)(A), check here ☐**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐**Part I Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue				
1 Contributions, gifts, grants, etc., received (attach schedule)				
2 Check ☐ if the foundation is not required to attach Sch. B				
3 Interest on savings and temporary cash investments	249.	249.		ATCH 1
4 Dividends and interest from securities	181,119.	2,503,225.		ATCH 2
5a Gross rents				
b Net rental income or (loss)	2,062,863.			
6a Net gain or (loss) from sale of assets not on line 10				
b Gross sales price for all assets on line 6a	13,820,835.			
7 Capital gain net income (from Part IV, line 2)		7,594,886.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less: Cost of goods sold				
c Gross profit or (loss) (attach schedule)	7,974,289.	337,943.		
11 Other income (attach schedule) ATCH 3	10,218,520.	10,436,303.		
12 Total. Add lines 1 through 11				
Operating and Administrative Expenses				
13 Compensation of officers, directors, trustees, etc.	80,000.			80,000.
14 Other employee salaries and wages	281,254.	58,843.		240,566.
15 Pension plans, employee benefits	88,060.	27,050.		55,516.
16a Legal fees (attach schedule) ATCH 4	1,380.			2,760.
b Accounting fees (attach schedule) ATCH 5	32,095.	19,257.		7,218.
c Other professional fees (attach schedule) *	947,439.	525,195.		422,880.
17 Interest ATCH 7		137,244.		
18 Taxes (attach schedule) (see instructions) ATCH 8	238,059.	64,256.		17,420.
19 Depreciation (attach schedule) and depletion				
20 Occupancy	295,461.	29,546.		265,915.
21 Travel, conferences, and meetings	70,018.	6,643.		63,000.
22 Printing and publications	3,288.	658.		2,630.
23 Other expenses (attach schedule) ATCH 9	67,957.	1,580,522.		49,740.
24 Total operating and administrative expenses.				
Add lines 13 through 23	2,105,011.	2,449,214.		1,207,645.
25 Contributions, gifts, grants paid	5,224,320.			6,016,020.
26 Total expenses and disbursements. Add lines 24 and 25	7,329,331.	2,449,214.	0	7,223,665.
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements	2,889,189.			
b Net investment income (if negative, enter -0-)		7,987,089.		
c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	500.	500.	500.
	2 Savings and temporary cash investments	11,932,302.	11,290,473.	11,290,473.
	3 Accounts receivable ▶ 7,510,028.			
	Less: allowance for doubtful accounts ▶	9,061,201.	7,510,028.	7,510,028.
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ *		* 280,905.	
	Less: allowance for doubtful accounts ▶	296,677.	280,905.	280,905.
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges ATCH 10	6,448.	71,139.	71,139.
	10 a Investments - U.S. and state government obligations (attach schedule). **	5,080,410.	3,117,165.	3,117,165.
	b Investments - corporate stock (attach schedule) ATCH 12	10,847,062.	10,002,412.	10,002,412.
	c Investments - corporate bonds (attach schedule) ATCH 13	1,247,089.	1,618,069.	1,618,069.
Liabilities	11 Investments - land, buildings, and equipment: basis Less: accumulated depreciation (attach schedule) ▶			
	12 Investments - mortgage loans			
	13 Investments - other (attach schedule) ATCH 14	95,781,589.	110,639,441.	110,639,441.
	14 Land, buildings, and equipment: basis Less: accumulated depreciation (attach schedule) ▶ 3,503.	3,503.	3,503.	3,503.
	15 Other assets (describe ▶ ATCH 15)	57,500.	57,500.	57,500.
	16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	134,314,281.	144,591,135.	144,591,135.
	17 Accounts payable and accrued expenses	147,769.	110,646.	
	18 Grants payable	2,238,349.	1,327,799.	
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
Net Assets or Fund Balances	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ ATCH 16)	107,250.	50,000.	
	23 Total liabilities (add lines 17 through 22)	2,493,368.	1,488,445.	
	Foundations that follow SFAS 117, check here ▶ ☐			
	and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, . . . ▶ ☒			
	check here and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	18,370,506.	18,370,506.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	113,450,407.	124,732,184.	
	30 Total net assets or fund balances (see instructions)	131,820,913.	143,102,690.	
	31 Total liabilities and net assets/fund balances (see instructions)	134,314,281.	144,591,135.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	131,820,913.
2 Enter amount from Part I, line 27a	2	2,889,189.
3 Other increases not included in line 2 (itemize) ▶ ATCH 17	3	8,392,588.
4 Add lines 1, 2, and 3	4	143,102,690.
5 Decreases not included in line 2 (itemize) ▶	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	143,102,690.

**ATCH 11

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Part IV Capital Gains and Losses for Tax on Investment Income(a) List and describe the kind(s) of property sold (e.g., real estate,
2-story brick warehouse; or common stock, 200 shs. MLC Co.)(b) How
acquired
P - Purchase
D - Donation(c) Date
acquired
(mo., day, yr.)(d) Date sold
(mo., day, yr.)

1a SEE PART IV SCHEDULE

b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	7,594,886.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8		3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	7,873,165.	132,036,889.	0.059629
2011	9,843,828.	134,793,179.	0.073029
2010	8,592,741.	130,964,884.	0.065611
2009	11,498,922.	122,925,341.	0.093544
2008	8,639,921.	155,764,247.	0.055468

2 Total of line 1, column (d)	2	0.347281
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.069456
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	136,544,350.
5 Multiply line 4 by line 3	5	9,483,824.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	79,871.
7 Add lines 5 and 6	7	9,563,695.
8 Enter qualifying distributions from Part XII, line 4. If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	7,223,665.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1.		1 159,742.	
Date of ruling or determination letter: _____ (attach copy of letter if necessary - see instructions)		COPY	
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b.			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	
3 Add lines 1 and 2.		3 159,742.	
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4 0	
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5 159,742.	
6 Credits/Payments:			
a 2013 estimated tax payments and 2012 overpayment credited to 2013.	6a	335,000.	
b Exempt foreign organizations - tax withheld at source.	6b		
c Tax paid with application for extension of time to file (Form 8868).	6c	100,000.	
d Backup withholding erroneously withheld.	6d		
7 Total credits and payments. Add lines 6a through 6d.	7	435,000.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached.	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	275,258.	
11 Enter the amount of line 10 to be: Credited to 2014 estimated tax ☐ 150,000. Refunded ☐	11	122,416.	

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☐ \$ _____ (2) On foundation managers. ☐ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☐ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes.		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	X	
b If "Yes," has it filed a tax return on Form 990-T for this year?	X	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ NY,		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation.	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV.		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses.		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions).	12	X	X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13		
Website address WWW.JMKFUND.ORG				
14	The books are in care of THE J.M. KAPLAN FUND	Telephone no.	212-661-7777	
	Located at ONE BATTERY PARK PLAZA, 7TH FLOOR NEW YORK, NY	ZIP+4	10004-1405	
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here			
	and enter the amount of tax-exempt interest received or accrued during the year	15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country			X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b	X
Organizations relying on a current notice regarding disaster assistance check here		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?	☐ Yes ☒ No	
If "Yes," list the years		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☒ Yes ☐ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

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b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

X

Organizations relying on a current notice regarding disaster assistance check here ☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

☒ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

6b

X

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

7b

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE ATTACHED SCHEDULE		80,000.	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 18		268,179.	107,085.	0

Total number of other employees paid over \$50,000

0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service performed	Compensation
ATCH 19		638,468.
Total number of others receiving over \$50,000 for professional services		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 NOT APPLICABLE	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 .	
All other program-related investments. See instructions.	
3 NONE	
Total. Add lines 1 through 3	

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a Average monthly fair market value of securities	1a	121,554,525.
b Average of monthly cash balances	1b	8,854,150.
c Fair market value of all other assets (see instructions)	1c	2,215,031.
d Total (add lines 1a, b, and c)	1d	138,623,706.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2 Acquisition indebtedness applicable to line 1 assets	2	
3 Subtract line 2 from line 1d	3	138,623,706.
4 Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	2,079,356.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	136,544,350.
6 Minimum investment return. Enter 5% of line 5	6	6,827,218.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	6,827,218.
2a Tax on investment income for 2013 from Part VI, line 5	2a	159,742.
b Income tax for 2013. (This does not include the tax from Part VI.)	2b	
c Add lines 2a and 2b	2c	159,742.
3 Distributable amount before adjustments. Subtract line 2c from line 1.	3	6,667,476.
4 Recoveries of amounts treated as qualifying distributions	4	118,850.
5 Add lines 3 and 4	5	6,786,326.
6 Deduction from distributable amount (see instructions)	6	
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	6,786,326.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	7,223,665.
b Program-related investments - total from Part IX-B	1b	
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	7,223,665.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	0
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	7,223,665.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				6,786,326.
2 Undistributed income, if any, as of the end of 2013:				
a Enter amount for 2012 only				
b Total for prior years: 20 <u>11</u> , 20 <u>10</u> , 20 <u>09</u>				
3 Excess distributions carryover, if any, to 2013:				
a From 2008	1,005,969.			
b From 2009	5,399,427.			
c From 2010	2,030,366.			
d From 2011	3,383,845.			
e From 2012	1,582,772.			
f Total of lines 3a through e	13,402,379.			
4 Qualifying distributions for 2013 from Part XII, line 4: ► \$ <u>7,223,665.</u>				
a Applied to 2012, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2013 distributable amount				6,786,326.
e Remaining amount distributed out of corpus	437,339.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	13,839,718.			
b Prior years' undistributed income. Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount - see instructions				
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instructions				
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)	1,005,969.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	12,833,749.			
10 Analysis of line 9:				
a Excess from 2009	5,399,427.			
b Excess from 2010	2,030,366.			
c Excess from 2011	3,383,845.			
d Excess from 2012	1,582,772.			
e Excess from 2013	437,339.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

NOT APPLICABLE

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or

4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

b 85% of line 2a

c Qualifying distributions from Part XII, line 4 for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c

3 Complete 3a, b, or c for the alternative test relied upon:

a "Assets" alternative test - enter:

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c "Support" alternative test - enter:

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(ii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Tax year

Prior 3 years

(a) 2013

(b) 2012

(c) 2011

(d) 2010

Total

COPY

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

ATTACHMENT 20

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

ATCH 21

b The form in which applications should be submitted and information and materials they should include:

SEE STATEMENT ATTACHED

c Any submission deadlines:

AT DISCRETION OF THE PROGRAM OFFICER

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

BASED ON GIVING AND PROGRAM AREAS

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year ATCH 22				
Total			▶ 3a	6,016,020.
b Approved for future payment ATCH 23				
Total			▶ 3b	1,327,800.

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Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	COPY	
1 Program service revenue:						
a ATCH 23						
b						
c						
d						
e						
f						
g Fees and contracts from government agencies						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments			14	249.		
4 Dividends and interest from securities			14	181,119.		
5 Net rental income or (loss) from real estate:						
a Debt-financed property						
b Not debt-financed property						
6 Net rental income or (loss) from personal property .						
7 Other investment income						
8 Gain or (loss) from sales of assets other than inventory	900099	210,961.	18	2,062,863.		
9 Net income or (loss) from special events						
10 Gross profit or (loss) from sales of inventory . .						
11 Other revenue: a						
b ATCH 24		107,829.		7,476,115.		176,257.
c						
d						
e						
12 Subtotal. Add columns (b), (d), and (e)		318,790.		9,723,473.		176,257.
13 Total. Add line 12, columns (b), (d), and (e)					13	10,218,520.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

• If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box. ☒ **X**

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

• If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1).

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Type or print File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions.		Enter filer's identifying number, see instructions
	THE J.M. KAPLAN FUND, INC. C/O CONDON O'MEARA MCGINTY & DONNELLY		Employer identification number (EIN) or
	Number, street, and room or suite no. If a P.O. box, see instructions.		13-6090286
	ONE BATTERY PARK PLAZA		Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions.		
	NEW YORK, NY 10004-1405		

Enter the Return code for the return that this application is for (file a separate application for each return) **04**

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01		
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- The books are in the care of ☐ Telephone No. ☐ 212 661-7777 Fax No. ☐
- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ☐. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- I request an additional 3-month extension of time until 11/17, 20 14.
- For calendar year 2013, or other tax year beginning , 20 , and ending , 20 .
- If the tax year entered in line 5 is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period
- State in detail why you need the extension ALL THE INFORMATION NECESSARY TO COMPLETE THE RETURN IS NOT AND WILL NOT BE AVAILABLE BY THE DUE DATE. THEREFORE WE RESPECTIVELY REQUEST ADDITIONAL TIME TO COMPLETE THE RETURN.

8a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a \$	165,000.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b \$	235,000.
c Balance Due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8c \$	0

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature Title Date

Application for Extension of Time To File an Exempt Organization Return

OMB No. 1545-1709

Department of the Treasury
Internal Revenue Service

▶ **Information about Form 8868 and its instructions is at www.irs.gov/form8868.**

COPY
of this form).

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8060.

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Enter filer's identifying number, see instructions

Type or print File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions. THE J.M. KAPLAN FUND, INC. C/O CONDON O'MEARA MCGINTY & DONNELLY	Employer identification number (EIN) or 13-6090286
	Number, street, and room or suite no. If a P.O. box, see instructions. ONE BATTERY PARK PLAZA	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. NEW YORK, NY 10004-1405	

Enter the Return code for the return that this application is for (file a separate application for each return)

0	4
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Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ► THE J.M. KAPLAN FUND

Telephone No. ► 212 661-7777

FAX No.

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐ . If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 08/15, 2014, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
- ☒ X calendar year 2013 or
- ☐ tax year beginning _____, 20____, and ending _____, 20____.

- 2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
☐ Change in accounting period

3a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$ 165,000.
b	If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$ 235,000.
c	Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

For Privacy Act and Paperwork Reduction Act Notice, see instructions.

Form **8868** (Rev. 1-2014)

FORM 990-PF - PART IV **CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired or disposed	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis			
9,153,184.		MARKETABLE TRADED SECURITIES PROPERTY TYPE: SECURITIES 9,057,390.				P		95,794.
		PARTNERSHIPS- SEE SCHEDULE ATTACHED PROPERTY TYPE: OTHER				P		7,188,728.
4,667,651.		ADAMAS PARTNERS LP- LIQUIDATION 4,146,326.						521,325.
		LESS: AMOUNT ATTRIBUTABLE TO UBI PROPERTY TYPE: OTHER				P		-210,961.
TOTAL GAIN (LOSS)								<u>7,594,886.</u>

COPY

The J.M. Kaplan Fund, Inc.
990PF

31-Dec-13



	Cost	Proceeds	Gains/ Losses	Per Tax Return
Asia Capital Debt Investors	\$ 168,475	\$ 168,475	\$ -	
AACP Tax Exempt	\$ 48,150	\$ 87,418	\$ 39,268	
Special Opportunity Fund	\$ 660,504	\$ 955,533	\$ 295,029	
Pimco- See Attached	\$ 6,570,787	\$ 6,504,244	\$ (66,543)	
Dover Street VI	\$ 208,587	\$ 208,587	\$ -	
PFL	\$ 266,320	\$ 266,320		
Chilton Global	\$ 986,650	\$ 184,913	\$ (801,737)	
Brookside	\$ 147,917	\$ 777,694	\$ 629,777	
Marketable Traded Securities	\$ 9,057,390	\$ 9,153,184	\$ 95,794	
Colchester Bond Fund-See attached	\$ 662,340	\$ 1,054,894	\$ 392,554 *	
Farallon Capital	\$ -	\$ 15,036	\$ 15,036 *	
Spindrift--see attached	\$ -	\$ 76,495	\$ 76,495 *	
Limited Partnership Gains	\$ -	\$ 1,482,984	\$ 1,482,984 *	
Limited Partnerships	\$ 662,340	\$ 2,629,409	\$ 1,967,069	
	\$ 9,719,730	\$ 11,782,593	\$ 2,062,863	\$ 2,062,863
Limited Partnerships Gains and Losses	\$ -	\$ 7,188,728	\$ 7,188,728	
	\$ 9,719,730	\$ 18,971,321	\$ 9,251,591	\$ 9,251,591
Less: Partnership gains shown on Books Accounted for on K-1's	\$ -		\$ (1,967,069) \$ -	\$ (1,967,069) *
Subtotal: Gains for Tax Purposes			\$ 7,284,522	
Gain on Limited Partnerships liquidated				\$ 521,325
Less: Gains from Partnerships subject to UBIT				\$ (210,961)
Total Gains for Tax Return				\$ 7,594,886

The J.M. Kaplan Fund, Inc.
990PF December 31, 2013
EIN: 13-6090286
Partnership Gains and Losses

COPY

Adamas Opportunities 3yr	\$ 396,507
Adamas Partners 3yr	\$ 661,898
Avanti Strategic II-Passive	\$ (10,777)
Brandywine Global	\$ 1,511,436
Brandywine Multi-Strat	\$ 3,663,554
Brandywine PE 2011	\$ 6,994
Brandywine PE 2012	\$ 5,107
Colchester Bond Fund	\$ 42,913
Dover Street IV	\$ (81,177)
Dover Street V	\$ 63,041
Farallon Capital	\$ (1,881)
Keyhaven Capital	\$ 58,265
Cyprium II	\$ (25,106)
Cyprium III	\$ 15,674
Metropolitan RE Global II	\$ 126,191
Metropolitan RE Partners IV	\$ 10,798
Metropolitan RE Partners Int'l II	\$ 16,094
Metropolitan RE Partners V	\$ 29,006
Paul Capital VII(7.69%)	\$ 61,861
Paul Capital VIII	\$ 45,812
Prosepct Harbor	\$ 13,045
Special Opportunity Fund	\$ 48,001
TCV IV	\$ 13,305
TCV V	\$ 122,591
Varde Fund VIII	\$ (22,317)
Varde Fund IX	\$ 122,534
Venture Investment Assoc. IV	\$ 56,045
Venture Investment Assoc. V	\$ 158,212
Venture Inv. Assoc. VI	\$ 81,102

Total \$ 7,188,728

**Information Return by a Shareholder of a Passive Foreign
Investment Company or Qualified Electing Fund**

OMB No. 1545-1002

► Information about Form 8621 and its separate instructions is at www.irs.gov/form8621.

Attachment
Sequence No. **69**

Name of shareholder THE J.M. KAPLAN FUND, INC.		Identifying number (see instructions) 13-6096286
Number, street, and room or suite no. (If a P.O. box, see instructions.) ONE BATTERY PARK PLAZA, 7TH FL		Shareholder tax year: calendar year beginning 1/1/2013 and ending 12/31/2013 or other tax year
City or town NEW YORK	State NY	ZIP code 10004-1405
Check type of shareholder filing the return: ☐ Individual ☒ Corporation ☐ Partnership ☐ S Corporation ☐ Nongrantor Trust ☐ Estate		
Name of passive foreign investment company (PFIC) or qualified electing fund (QEF) SEE STATEMENTS ATTACHED		Employer identification number (if any)
Address (Enter number, street, city or town, and country.)		Reference ID number (see instructions)
City State Zip Country		Tax year of PFIC or QEF: calendar year or other tax year beginning and ending

Part I Summary of Annual Information (See instructions.)

Provide the following information with respect to all shares of the PFIC held by the shareholder:

- 1 Description of each class of shares held by the shareholder: _____
☐ Check if shares jointly owned with spouse.
- 2 Date shares acquired during the taxable year, if applicable: _____
- 3 Number of shares held at the end of the taxable year: _____
- 4 Value of shares held at the end of the taxable year (check the appropriate box, if applicable):
(a) ☐ \$0-50,000 (b) ☐ \$50,001-100,000 (c) ☐ \$100,001-150,000 (d) ☐ \$150,001-200,000
(e) If more than \$200,000, list value: _____
- 5 Type of PFIC and amount of any excess distribution or gain treated as an excess distribution under section 1291, inclusion under section 1293, or inclusion or deduction under section 1296:
(a) ☒ Section 1291 \$ SEE STATEMENT ATTACHED
(b) ☐ Section 1293 (Qualified Electing Fund) \$ _____
(c) ☐ Section 1296 (Mark to Market) \$ _____

Part II Elections (See instructions.)

- A ☐ **Election To Treat the PFIC as a QEF.** I, a shareholder of a PFIC, elect to treat the PFIC as a QEF. Complete lines 6a through 7c of Part III.
- B ☐ **Election To Extend Time For Payment of Tax.** I, a shareholder of a QEF, elect to extend the time for payment of tax on the undistributed earnings and profits of the QEF until this election is terminated. Complete lines 8a through 9c of Part III to calculate the tax that may be deferred.

Note: If any portion of line 6a or line 7a of Part III is includible under section 951, you may **not** make this election. Also, see sections 1294(c) and 1294(f) and the related regulations for events that terminate this election.
- C ☐ **Election To Mark-to-Market PFIC Stock.** I, a shareholder of a PFIC, elect to mark-to-market the PFIC stock that is marketable within the meaning of section 1296(e). Complete Part IV.
- D ☐ **Deemed Sale Election.** I, a shareholder on the first day of a PFIC's first tax year as a QEF, elect to recognize gain on the deemed sale of my interest in the PFIC. Enter gain or loss on line 15f of Part V.
- E ☐ **Deemed Dividend Election.** I, a shareholder on the first day of a PFIC's first tax year as a QEF that is a controlled foreign corporation (CFC), elect to treat an amount equal to my share of the post-1986 earnings and profits of the CFC as an excess distribution. Enter this amount on line 15e of Part V. If the excess distribution is greater than zero, also complete line 16 of Part V.
- F ☐ **Election To Recognize Gain on Deemed Sale of PFIC.** I, a shareholder of a former PFIC or a PFIC to which section 1297(d) applies, elect to treat as an excess distribution the gain recognized on the deemed sale of my interest in the PFIC on the last day of its last tax year as a PFIC under section 1297(a). Enter gain on line 15f of Part V.
- G ☐ **Deemed Dividend Election With Respect to a Section 1297(e) PFIC.** I, a shareholder of a section 1297(e) PFIC, within the meaning of Regulations section 1.1297-3(a), elect to make a deemed dividend election with respect to the Section 1297(e) PFIC. My holding period in the stock of the Section 1297(e) PFIC includes the CFC qualification date, as defined in Regulations section 1.1297-3(d). Enter the excess distribution on line 15e, Part V. If the excess distribution is greater than zero, also complete line 16, Part V.
- H ☐ **Deemed Dividend Election With Respect to a Former PFIC.** I, a shareholder of a former PFIC, within the meaning of Regulations section 1.1298-3(a), elect to make a deemed dividend election with respect to the former PFIC. My holding period in the stock of the former PFIC includes the termination date, as defined in Regulations section 1.1298-3(d). Enter the excess distribution on line 15e, Part V. If the excess distribution is greater than zero, also complete line 16, Part V.

Part III Income From a Qualified Electing Fund (QEF). All QEF shareholders complete lines 6a through 7c. If you are making Election B, also complete lines 8a through 9c. (See instructions.)**COPY**

6a	Enter your pro rata share of the ordinary earnings of the QEF	6a		
b	Enter the portion of line 6a that is included in income under section 951 or that may be excluded under section 1293(g)	6b		
c	Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income		6c	
7a	Enter your pro rata share of the total net capital gain of the QEF	7a		
b	Enter the portion of line 7a that is included in income under section 951 or that may be excluded under section 1293(g)	7b		
c	Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amount in Part II of the Schedule D used for your income tax return. (See instructions.)		7c	
8a	Add lines 6c and 7c		8a	
b	Enter the total amount of cash and the fair market value of other property distributed or deemed distributed to you during the tax year of the QEF. (See instructions.)	8b		
c	Enter the portion of line 8a not already included in line 8c that is attributable to shares in the QEF that you disposed of, pledged, or otherwise transferred during the tax year	8c		
d	Add lines 8b and 8c		8d	
e	Subtract line 8d from line 8a, and enter the difference (if zero or less, enter amount in brackets)		8e	
Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includible in income under section 951, you may make Election B with respect to the amount on line 8e.				
9a	Enter the total tax for the tax year (See instructions.)	9a		
b	Enter the total tax for the tax year determined without regard to the amount entered on line 8e	9b		
c	Subtract line 9b from line 9a. This is the deferred tax, the time for payment of which is extended by making Election B. See instructions.		9c	

Part IV Gain or (Loss) From Mark-to-Market Election (See instructions.)

10a	Enter the fair market value of your PFIC stock at the end of the tax year	10a	
b	Enter your adjusted basis in the stock at the end of the tax year	10b	
c	Subtract line 10b from line 10a. If a gain, do not complete lines 11 and 12. Include this amount as ordinary income on your tax return. If a loss, go to line 11	10c	
11	Enter any unreversed inclusions (as defined in section 1296(d)).	11	
12	Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Include this amount as an ordinary loss on your tax return	12	
13	If you sold or otherwise disposed of any section 1296 stock (see instructions) during the tax year:		
a	Enter the fair market value of the stock on the date of sale or disposition	13a	
b	Enter the adjusted basis of the stock on the date of sale or disposition	13b	
c	Subtract line 13b from line 13a. If a gain, do not complete line 14. Include this amount as ordinary income on your tax return. If a loss, go to line 14	13c	
14a	Enter any unreversed inclusions (as defined in section 1296(d))	14a	
b	Enter the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Include this amount as an ordinary loss on your tax return. If the loss on line 13c exceeds unreversed inclusions on line 14a, complete line 14c	14b	
c	Enter the amount by which the loss on line 13c exceeds unreversed inclusions on line 14a. Include this amount on your tax return according to the rules generally applicable for losses provided elsewhere in the Code and regulations	14c	

Note. See instructions in case of multiple dispositions.

Part V Distributions From and Dispositions of Stock of a Section 1291 Fund (See instructions.)Complete a **separate Part V** for each excess distribution (see instructions).

15a	Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the holding period of the stock began in the current tax year, see instructions	15a
b	Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not included in income under section 1291(a)(1)(B)) made by the fund with respect to the applicable stock for each of the 3 years preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year)	15b
c	Divide line 15b by 3. (See instructions if the number of preceding tax years is less than 3.)	15c
d	Multiply line 15c by 125% (1.25)	15d
e	Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock. If zero or less and you did not dispose of stock during the tax year, do not complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also, see instructions for rules for reporting a nonexcess distribution on your income tax return	15e
f	Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain, complete line 16. If a loss, show it in brackets and do not complete line 16	15f
16a	Attach a statement for each distribution and disposition. Show your holding period for each share of stock or block of shares held. Allocate the excess distribution to each day in your holding period. Add all amounts that are allocated to days in each tax year.	
b	Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years before the foreign corporation became a PFIC (pre-PFIC tax years). Enter these amounts on your income tax return as other income	16b
c	Enter the aggregate increases in tax (before credits) for each tax year in your holding period (other than the current tax year and pre-PFIC years). (See instructions.)	16c
d	Foreign tax credit. (See instructions.)	16d
e	Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." (See instructions.)	16e
f	Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621. Enter the aggregate amount of interest here. (See instructions.)	16f

Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 ElectionsComplete a **separate column** for each outstanding election. Complete lines 25 and 26 only if there is a partial termination of the section 1294 election.

	(i)	(ii)	(iii)	(iv)	(v)	(vi)
17 Tax year of outstanding election						
18 Undistributed earnings to which the election relates						
19 Deferred tax						
20 Interest accrued on deferred tax (line 19) as of the filing date						
21 Event terminating election						
22 Earnings distributed or deemed distributed during the tax year						
23 Deferred tax due with this return						
24 Accrued interest due with this return						
25 Deferred tax outstanding after partial termination of election.						
26 Interest accrued after partial termination of election						

FORM 1065 - PARTNER'S SHARE OF INCOME, DEDUCTIONS, CREDITS, etc. - 2013
SCHEDULE K-1 SUPPLEMENTAL INFORMATION

Partner's ID No. 13-6090286	Partnership's ID No. 04-3514860
Partner's name, address, and ZIP code The J.M. Kaplan Fund, Inc. (3 YR) 261 Madison Ave New York, NY 10022	Partnership's name, address, and ZIP code Adamas Opportunities, L.P. The Pilot House @ Lewis Wharf Boston, MA 02110

COPY

Supplemental information required to be reported separately to each partner:

Internal Revenue Code (IRC) Section 1291 Investment(s)

Adamas Opportunities, L.P. holds indirect interests in Passive Foreign Investment Companies ("PFICS") that are subject to IRC Section 1291 ("Section 1291 Fund(s)"). Although your allocable share of such Section 1291 Fund(s) income is already included within your Schedule K-1, you may be required to file Form 8621 to report your indirect interest in such Section 1291 Fund(s). Included below is the information necessary to complete the Form. The tax rules relating to PFIC investments are complex and you should consult with your tax advisor.

The amounts below are for 100% of the Partnership. Each partner should multiply these amounts by their respective percentage interest.

<u>PFIC Name</u>	<u>EIN Number</u>	<u>Date Acquired</u>	<u>Date Sold</u>	<u>Gain</u>
YM Biosciences Inc Suite 400, Building 11, 5054 Orbitor Dr, Mississauga, Ontario, Canada L4W 4Y4	N/A	3/8/2010	4/30/2013	1,534
YM Biosciences Inc Suite 400, Building 11, 5054 Orbitor Dr, Mississauga, Ontario, Canada L4W 4Y4	N/A	12/14/2010	2/13/2013	2,435
YM Biosciences Inc Suite 400, Building 11, 5054 Orbitor Dr, Mississauga, Ontario, Canada L4W 4Y4	N/A	11/23/2011	2/13/2013	2,207
YM Biosciences Inc Suite 400, Building 11, 5054 Orbitor Dr, Mississauga, Ontario, Canada L4W 4Y4	N/A	11/25/2011	2/13/2013	38
YM Biosciences Inc Suite 400, Building 11, 5054 Orbitor Dr, Mississauga, Ontario, Canada L4W 4Y4	N/A	11/28/2011	2/13/2013	1,042
YM Biosciences Inc Suite 400, Building 11, 5054 Orbitor Dr, Mississauga, Ontario, Canada L4W 4Y4	N/A	6/26/2012	2/13/2013	850
YM Biosciences Inc Suite 400, Building 11, 5054 Orbitor Dr, Mississauga, Ontario, Canada L4W 4Y4	N/A	1/2/2013	2/13/2013	41

Your applicable percentage is as follows: 0.48 %

FORM 1065 - PARTNER'S SHARE OF INCOME, DEDUCTIONS, CREDITS, etc. - 2013
SCHEDULE K-1 SUPPLEMENTAL INFORMATION

COPY

Partner's ID No. 13-6090286

Partnership's ID No. 04-3514860

Partner's name, address, and ZIP code

The J.M. Kaplan Fund, Inc. (3 YR)
 261 Madison Ave
 New York, NY 10022

Partnership's name, address, and ZIP code

Adamas Opportunities, L.P.
 The Pilot House @ Lewis Wharf
 Boston, MA 02110

Supplemental information required to be reported separately to each partner:

<u>PFIC Name</u>	<u>EIN</u> <u>Number</u>	<u>Date</u> <u>Acquired</u>	<u>Date</u> <u>Sold</u>	<u>Gain</u>
Andina Acquisition Corporation Carrera 10 No. 28-49/Torre A. Oficina 20-05, Bogota, Colombia	Foreign	3/19/2012	1/1/2013	86
Treesdale Fixed Income Fund, Ltd	N/A	9/22/2011	1/20/2012	336
Treesdale Fixed Income Fund, Ltd	N/A	9/22/2011	5/21/2012	245
Treesdale Fixed Income Fund, Ltd	N/A	10/21/2011	1/20/2012	279
Treesdale Fixed Income Fund, Ltd	N/A	10/21/2011	5/21/2012	202
The Drake Absolute Return Fund, Ltd	N/A	9/1/2011	1/20/2012	40
The Drake Absolute Return Fund, Ltd	N/A	8/1/2012	8/24/2012	80
The Drake Absolute Return Fund, Ltd	N/A	8/1/2012	11/30/2012	860
The Drake Absolute Return Fund, Ltd	N/A	3/1/2012	8/24/2012	92
The Drake Absolute Return Fund, Ltd	N/A	3/1/2012	11/30/2012	612
The Drake Global Opportunities Fund, Ltd	N/A	11/14/2011	1/20/2012	50
The Drake Global Opportunities Fund, Ltd	N/A	11/14/2011	2/27/2012	7
The Drake Global Opportunities Fund, Ltd	N/A	8/1/2012	8/24/2012	8
The Drake Global Opportunities Fund, Ltd	N/A	8/1/2012	11/30/2012	7
The Drake Global Opportunities Fund, Ltd	N/A	7/1/2012	8/24/2012	24
The Drake Global Opportunities Fund, Ltd	N/A	7/1/2012	11/30/2012	22
DE Shaw Composite International Fund	N/A	4/1/2012	8/10/2012	32
DE Shaw Composite International Fund	N/A	4/1/2012	11/7/2012	1
DE Shaw Composite International Fund	N/A	4/1/2012	8/10/2012	111
DE Shaw Composite International Fund	N/A	4/1/2012	11/7/2012	3
DE Shaw Composite International Fund	N/A	4/1/2012	8/10/2012	127
DE Shaw Composite International Fund	N/A	4/1/2012	11/7/2012	4
DE Shaw Composite International Fund	N/A	7/1/2012	11/7/2012	2
Fortress Value Recovery Fund I, Ltd	N/A	2/1/2012	8/10/2012	32
Fortress Value Recovery Fund I, Ltd	N/A	2/1/2012	12/21/2012	48
Strategic Value Restructuring Fund, Ltd	N/A	2/1/2012	4/20/2012	5
Strategic Value Restructuring Fund, Ltd	N/A	2/1/2012	9/26/2012	28
SVRF (Offshore) Holdings, Ltd	N/A	2/1/2012	12/21/2012	30
Contrarian Capital Senior Secured Offshore Fund, Ltd	N/A	4/1/2012	9/4/2012	10
Trafalgar Kahal Jet Fund Spv	N/A	5/1/2012	7/18/2012	25
Waterfall Eden Fund, Ltd	N/A	6/1/2012	8/7/2012	892
Waterfall Eden Fund, Ltd	N/A	6/1/2012	9/19/2012	7
Waterfall Eden Fund, Ltd	N/A	6/1/2012	11/2/2012	24
Cheyne Special Situations Realising Fund Inc	N/A	5/1/2012	5/18/2012	167
Cheyne Special Situations Realising Fund Inc	N/A	5/1/2012	8/20/2012	106
Cheyne Special Situations Realising Fund Inc	N/A	5/1/2012	10/24/2012	99

Your applicable percentage is as follows:

0.48 %

FORM 1065 - PARTNER'S SHARE OF INCOME, DEDUCTIONS, CREDITS, etc. - 2013
SCHEDULE K-1 SUPPLEMENTAL INFORMATION

Partner's ID No. 13-6090286	Partnership's ID No. 04-3514360
Partner's name, address, and ZIP code The J.M. Kaplan Fund, Inc. (3 YR) 261 Madison Ave New York, NY 10022	Partnership's name, address, and ZIP code Adamas Opportunities, L.P. The Pilot House @ Lewis Wharf Boston, MA 02110
Supplemental information required to be reported separately to each partner:	

<u>PFIC Name</u>	<u>EIN Number</u>	<u>Date Acquired</u>	<u>Date Sold</u>	<u>Gain</u>
Drawbridge Global Macro Fund Ltd	N/A	4/1/2012	11/2/2012	104
GSA Capital International Fund, Ltd	N/A	4/1/2012	5/25/2012	177
Avila Fund, Ltd	N/A	2/1/2012	12/31/2012	479
Camulos Partners Offshore Fund, Ltd	N/A	4/1/2012	12/28/2012	3
One East Partners International	N/A	2/1/2012	5/4/2012	7
One East Partners International	N/A	2/1/2012	8/6/2012	6
One East Partners International	N/A	2/1/2012	11/1/2012	6
Taconic Opportunity Offshore Fund, Ltd	N/A	6/1/2012	11/30/2012	8
QVT SLV Offshore Ltd	N/A	3/1/2012	6/25/2012	10
QVT SLV Offshore Ltd	N/A	3/1/2012	10/17/2012	713
Aviator Overseas Fund II, Ltd	N/A	7/1/2012	12/31/2012	32
Greywolf Capital Overseas Fund, Ltd	N/A	7/1/2012	10/31/2012	10
King Street Capital Ltd	N/A	7/1/2012	11/19/2012	74
King Street Capital Ltd	N/A	7/1/2012	11/19/2012	5
Longacre SPV I, Ltd	N/A	7/1/2012	11/21/2012	71
Longacre SPV I, Ltd	N/A	7/1/2012	11/21/2012	5
Longacre SPV II, Ltd	N/A	7/1/2012	11/16/2012	38
Longacre SPV IV, Ltd	N/A	7/1/2012	11/20/2012	245
Longacre SPV IV, Ltd	N/A	7/1/2012	11/20/2012	26
The Raptor Private Holdings, Ltd	N/A	7/1/2012	9/28/2012	67
The Raptor Private Holdings, Ltd	N/A	7/1/2012	12/27/2012	51
Silverpoint Capital Offshore Fund, Ltd	N/A	7/1/2012	8/27/2012	328
Silverpoint Capital Offshore Fund, Ltd	N/A	7/1/2012	10/2/2012	105
Silverpoint Capital Offshore Fund, Ltd	N/A	7/1/2012	10/26/2012	95
Silverpoint Capital Offshore Fund, Ltd	N/A	7/1/2012	11/30/2012	46
WS Opportunity Fund International, Ltd	N/A	7/1/2012	11/30/2012	204
Sector Consolidare	N/A	12/22/2011	4/18/2012	483
Sector Expec	N/A	12/22/2011	7/17/2012	928
Signet Credit Fund	N/A	12/19/2011	2/27/2012	2,725
Duration	N/A	6/1/2012	11/23/2012	594
Sphereinvest	N/A	6/1/2012	9/30/2012	401
Cognis I	N/A	8/1/2012	8/30/2012	15
Dorchester SH2, Ltd	N/A	12/22/2011	2/27/2012	522
Dorchester SH2, Ltd	N/A	12/22/2011	2/27/2012	289
Partners Group Global Opportunities Limited	N/A	7/6/2011	2/6/2013	3,392
Partners Group Global Opportunities Limited	N/A	7/6/2011	5/8/2013	2,470
Partners Group Global Opportunities Limited	N/A	7/6/2011	9/17/2013	2,849
Partners Group Global Opportunities Limited	N/A	7/6/2011	11/4/2013	3,249

Your applicable percentage is as follows: 0.48 %

Dover Street V L.P.
Passive Foreign Investment Companies ("PFIC") - Form 8621



The Partnership has invested in certain entities which are classified as Passive Foreign Investment Companies ("PFICs") for U.S. income tax purposes. The PFIC rules, which apply to U.S. Persons that are direct or indirect shareholders of a PFIC, require that a separate Form 8621 Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund be filed for each PFIC, for each tax year in which the U.S. person is a shareholder of the PFIC. Since the Partnership is not a U.S. person, the Partnership is unable to file Form 8621 or make any of the applicable PFIC elections for the following entities. Each entity has however, provided information necessary for U.S. person to make a Qualified Electing Fund Election. The information necessary to make that election follows. Note that Form 8621 must be attached to the shareholder's income tax return. In addition to the information provided to you regarding PFICs, you may also need the following information to calculate your net investment income tax under IRC section 1411:

PFIC Name:	1 Dover V Ltd	2 GeneGrafts Ltd.	3 Can-Fite Biopharma Ltd.
PFIC Address:	c/o HarbourVest Partners, LLC One Financial Center, 44th Floor Boston, MA 02111	Building 15, Matam - Adv. Tech. Center PO Box 15095 Haifa, 31905 Israel	10 Bareket Street, Kiryat Matalon PO Box 7537 Petah-Tikva, 49170 Israel
EIN:	N/A	N/A	N/A
Tax Year:	January 1, 2013 - December 31, 2013	January 1, 2013 - December 31, 2013	January 1, 2013 - December 31, 2013
PFIC Name:	4 Epigenomics AG	5 PAION AG	6 Biotie Therapies Corp. (FKA: Elbion NV)
PFIC Address:	Kleine Praesidentenstr. 1 10178 Berlin Germany	Martinstrasse 10-12 52062 Aachen Germany	Tykistokatu 6 Finland
EIN:	N/A	N/A	N/A
Tax Year:	January 1, 2013- December 31, 2013	January 1, 2013 - December 31, 2013	January 1, 2013 - December 31, 2013

Each item below represents the total amounts reported to the Partnership. For purposes of completing the Form 8621, each number below should be multiplied by the Ending Capital Percentage as reported on Page 1 of this Schedule K-1.

	<u>1</u>	<u>2</u>	<u>3</u>	<u>4</u>	<u>5</u>	<u>6</u>
Dividend Income reported by the Partnership on Line 6a:	\$1,300,846	\$0	\$0	\$0	\$0	\$0
<u>Information required for QEF Election</u>						
Ordinary Earnings of the company: (Form 8621, Part III, Line 6a)	\$1,300,846	\$0	\$0	\$0	\$0	\$0
Net Capital Gain of the company: (Form 8621, Part III, Line 7a)	\$7,008,649	\$0	\$0	\$0	\$0	\$0
Distributions Subject to IRC Section 1411	\$10,760,935	\$0	\$0	\$0	\$0	\$0

The Partnership has invested in certain entities which are classified as Passive Foreign Investment Companies ("PFICs") for U.S. income tax purposes. The PFIC rules, which apply to U.S. Persons that are direct or indirect shareholders of a PFIC, require that a separate Form 8621 Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund be filed for each PFIC, for each tax year in which the U.S. person is a shareholder of the PFIC. Since the Partnership is not a U.S. person, the Partnership is unable to file Form 8621 or make any of the applicable PFIC elections for the following entities. Each entity has however, provided information necessary for U.S. person to make a Qualified Electing Fund Election. The information necessary to make that election follows. Note that Form 8621 must be attached to the shareholder's income tax return. In addition to the information provided to you regarding PFICs, you may also need the following information to calculate your net investment income tax under IRC section 1411:

	7	8	9
PFIC Name:	QuantaNova (Singapore) Pte Limited	Santaris Pharma A/S	NTC Holdings G.P. (fka Angel Lux Midco Sarl)
PFIC Address:	80 Robinson Road #02-00 Singapore 068898	Kogle Alle 6 DK 2970 Horsholm Denmark	1-3 Boulevard De La Foire L-1528, Luxembourg
EIN:	N/A	N/A	N/A
Tax Year:	April 1, 2012- March 31, 2013	January 1, 2013 - December 31, 2013	January 1, 2013 - December 31, 2013
	10	11	12
PFIC Name:	SG Amaila Holdings Cooperatief U.A	Dome 2 0909 S.a.r.l	Dome 4 0909 S.a.r.l.
PFIC Address:	277 Park Avenue, 40th FL New York, NY 10172	2, Avenue Charles de Gaulle L-1653 Luxembourg	2, Avenue Charles de Gaulle L-1653 Luxembourg
EIN:	98-0605944	N/A	N/A
Tax Year:	January 1, 2013 - December 31, 2013	January 1, 2013 - December 31, 2013	January 1, 2013 - December 31, 2013

Each item below represents the total amounts reported to the Partnership. For purposes of completing the Form 8621, each number below should be multiplied by the Ending Capital Percentage as reported on Page 1 of this Schedule K-1.

	<u>7</u>	<u>8</u>	<u>9</u>	<u>10</u>	<u>11</u>	<u>12</u>
Dividend Income reported by the Partnership on Line 6a:	\$0	\$0	\$0	\$0	\$16,430	\$45,399

Information required for QEF Election

Ordinary Earnings of the company: (Form 8621, Part III, Line 6a)	\$0	\$0	\$0	\$0	\$16,430	\$45,399
Net Capital Gain of the company: (Form 8621, Part III, Line 7a)	\$0	\$0	\$0	\$0	\$0	\$0
Distributions Subject to IRC Section 1411	\$0	\$0	\$0	\$0	\$3,767	\$0

Dover Street V L.P.
Passive Foreign Investment Companies ("PFIC") - Form 8621



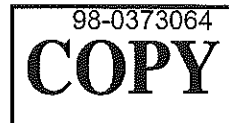
The Partnership has invested in certain entities which are classified as Passive Foreign Investment Companies ("PFICs") for U.S. income tax purposes. The PFIC rules, which apply to U.S. Persons that are direct or indirect shareholders of a PFIC, require that a separate Form 8621 Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund be filed for each PFIC, for each tax year in which the U.S. person is a shareholder of the PFIC. Since the Partnership is not a U.S. person, the Partnership is unable to file Form 8621 or make any of the applicable PFIC elections for the following entities. Each entity has however, provided information necessary for U.S. person to make a Qualified Electing Fund Election. The information necessary to make that election follows. Note that Form 8621 must be attached to the shareholder's income tax return. In addition to the information provided to you regarding PFICs, you may also need the following information to calculate your net investment income tax under IRC section 1411:

13	14	15
PFIC Name: CEP Dome 2	StarTech/Centerpoint Holdings GmbH	OrSense Ltd.
PFIC Address:	Possartstrasse 9 D-81679 Munich Germany	5 Golda Meir St. Weizmann Science Park, Nes Ziona 74140 Israel
EIN: N/A	N/A	N/A
Tax Year: January 1, 2013 - December 31, 2013	January 1, 2013 - December 31, 2013	January 1, 2013 - December 31, 2013
16	17	18
PFIC Name: Abbeycross Limited	SKS Power Generation (Chatisgarh) LTD	Sithe Global GNPD B.V.
PFIC Address: 277 Park Avenue, 40th FL New York, NY 10172	277 Park Avenue, 40th FL New York, NY 10172	277 Park Avenue, 40th FL New York, NY 10172
EIN: 98-1124738	N/A	98-1145810
Tax Year: January 1, 2013 - December 31, 2013	January 1, 2013 - December 31, 2013	January 1, 2013 - December 31, 2013

Each item below represents the total amounts reported to the Partnership. For purposes of completing the Form 8621, each number below should be multiplied by the Ending Capital Percentage as reported on Page 1 of this Schedule K-1.

	<u>13</u>	<u>14</u>	<u>15</u>	<u>16</u>	<u>17</u>	<u>18</u>
Dividend Income reported by the Partnership on Line 6a:	\$0	\$6,581	\$0	\$0	\$0	\$0
<u>Information required for QEF Election</u>						
Ordinary Earnings of the company: (Form 8621, Part III, Line 6a)	\$0	\$6,581	\$0	\$0	\$0	\$0
Net Capital Gain of the company: (Form 8621, Part III, Line 7a)	\$0	\$0	\$0	\$0	\$0	\$0
Distributions Subject to IRC Section 1411	\$0	\$0	\$0	\$0	\$0	\$0

Dover Street V L.P.
Passive Foreign Investment Companies ("PFIC") - Form 8621



The Partnership has invested in certain entities which are classified as Passive Foreign Investment Companies ("PFICs") for U.S. income tax purposes. The PFIC rules, which apply to U.S. Persons that are direct or indirect shareholders of a PFIC, require that a separate Form 8621 Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund be filed for each PFIC, for each tax year in which the U.S. person is a shareholder of the PFIC. Since the Partnership is not a U.S. person, the Partnership is unable to file Form 8621 or make any of the applicable PFIC elections for the following entities. Each entity has however, provided information necessary for U.S. person to make a Qualified Electing Fund Election. The information necessary to make that election follows. Note that Form 8621 must be attached to the shareholder's income tax return. In addition to the information provided to you regarding PFICs, you may also need the following information to calculate your net investment income tax under IRC section 1411:

PFIC Name:	19 Sithe Global Canadian Power Services, Ltd.	20 CEP III Investment 20 S.A.R.L.
PFIC Address:	277 Park Avenue, 40th FL New York, NY 10172	2, Avenue Charles de Gaulle L-1653 Luxembourg
EIN:	N/A	N/A
Tax Year:	January 1, 2013 - December 31, 2013	January 1, 2013 - December 31, 2013

Each item below represents the total amounts reported to the Partnership. For purposes of completing the Form 8621, each number below should be multiplied by the Ending Capital Percentage as reported on Page 1 of this Schedule K-1.

	<u>19</u>	<u>20</u>
Dividend Income reported by the Partnership on Line 6a:	\$0	\$140

Information required for QEF Election

Ordinary Earnings of the company: (Form 8621, Part III, Line 6a)	\$0	\$140
Net Capital Gain of the company: (Form 8621, Part III, Line 7a)	\$0	\$0
Distributions Subject to IRC Section 1411	\$0	\$0

Dover Street V L.P.
Passive Foreign Investment Companies ("PFIC") - Form 8621



Please note that the following companies were PFICs in 2012, and sold in 2012:
1. Thales Technologies and Systems 2010 Ltd (fka Outsmart Ltd.)

The PFIC rules are complex. Please consult your tax advisor.

Form **8865****Return of U.S. Persons With Respect to
Certain Foreign Partnerships**

OMB No. 1545-1668

2013Department of the Treasury
Internal Revenue Service

► **Attach to your tax return.**

► **Information about Form 8865 and its separate instructions is at www.irs.gov/form8865.**

Information furnished for the foreign partnership's tax year beginning , 2013, and ending , 20

COPY 118Name of person filing this return
THE J M KAPLAN FUND INCFiler's identifying number
13-6090286

Filer's address (if you are not filing this form with your tax return)

A Category of filer (see **Categories of Filers** in the instructions and check applicable box(es)):1 ☐ 2 ☐ 3 ☐ 4 ☐**B** Filer's tax year beginning , 20 , and ending , 20**C** Filer's share of liabilities: Nonrecourse \$ Qualified nonrecourse financing \$ Other \$**D** If filer is a member of a consolidated group but not the parent, enter the following information about the parent:

Name

EIN

Address

E Information about certain other partners (see instructions)

(1) Name	(2) Address	(3) Identifying number	(4) Check applicable box(es)		
			Category 1	Category 2	Constructive owner

F1 Name and address of foreign partnership**2(a)** EIN (if any)

98-0373064

2(b) Reference ID number (see instr.)**3** Country under whose laws organized

BERMUDA

4 Date of organization	5 Principal place of business	6 Principal business activity code number	7 Principal business activity	8a Functional currency	8b Exchange rate (see instr.)
MAY 19, 2002	BERMUDA	523900	INVESTMENTS	US DOLLARS	N/A

G Provide the following information for the foreign partnership's tax year:

1 Name, address, and identifying number of agent (if any) in the United States N/A	2 Check if the foreign partnership must file: ☐ Form 1042 ☐ Form 8804 ☒ Form 1065 or 1065-B Service Center where Form 1065 or 1065-B is filed: eFile
	4 Name and address of person(s) with custody of the books and records of the foreign partnership, and the location of such books and records, if different HarbourVest Partners, LLC One Financial Center 44th Floor Boston, MA 02111
3 Name and address of foreign partnership's agent in country of organization, if any N/A	

5 Were any special allocations made by the foreign partnership? ☐ Yes ☒ No**6** Enter the number of Forms 8858, Information Return of U.S. Persons With Respect To Foreign Disregarded Entities, attached to this return (see instructions) **-0-****7** How is this partnership classified under the law of the country in which it is organized? **Limited Partnership****8a** Does the filer have an interest in the foreign partnership, or an interest indirectly through the foreign partnership, that is a separate unit under Reg. 1.1503(d)-1(b)(4) or part of a combined separate unit under Reg. 1.1503(d)-1(b)(4)(ii)? If "No," skip question 8b. ☐ Yes ☒ No**b** If "Yes," does the separate unit or combined separate unit have a dual consolidated loss as defined in Reg. 1.1503(d)-1(b)(5)(ii)? ☐ Yes ☐ No

9 Does this partnership meet **both** of the following requirements?

• The partnership's total receipts for the tax year were less than \$250,000 and

• The value of the partnership's total assets at the end of the tax year was less than \$1 million.

If "Yes," **do not** complete Schedules L, M-1, and M-2. ☐ Yes ☒ No

Sign Here
Only if You
Are Filing
This Form
Separately
and Not With
Your Tax
Return.

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than general partner or limited liability company member) is based on all information of which preparer has any knowledge.

Signature of general partner or limited liability company member

Date

**Paid
Preparer
Use Only**

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
Firm's name	Firm's EIN			Firm's address
Firm's address	Phone no.			

SCHEDULE O
(Form 8865)

Department of the Treasury
Internal Revenue Service

Transfer of Property to a Foreign Partnership
(under section 6038B)

▶ Attach to Form 8865. See Instructions for Form 8865.

▶ Information about Schedule O (Form 8865) and its separate instructions is at www.irs.gov/form8865.

OMB No. 1545-1668

2013

COPY

Name of transferor

Filer's identifying number

Name of foreign partnership

DOVER STREET V L.P.

EIN (if any)

98-0373064

Reference ID number (see instructions)

Part I Transfers Reportable Under Section 6038B

Type of property	(a) Date of transfer	(b) Number of items transferred	(c) Fair market value on date of transfer	(d) Cost or other basis	(e) Section 704(c) allocation method	(f) Gain recognized on transfer	(g) Percentage interest in partnership after transfer
Cash	VARIOUS		Your Contribution				Your Ownership%
Stock, notes receivable and payable, and other securities							
Inventory							
Tangible property used in trade or business							
Intangible property							
Other property							

Supplemental Information Required To Be Reported (see instructions):

Part II Dispositions Reportable Under Section 6038B

(a) Type of property	(b) Date of original transfer	(c) Date of disposition	(d) Manner of disposition	(e) Gain recognized by partnership	(f) Depreciation recapture recognized by partnership	(g) Gain allocated to partner	(h) Depreciation recapture allocated to partner

Part III Is any transfer reported on this schedule subject to gain recognition under section 904(f)(3) or section 904(f)(5)(F)?

▶ ☐ Yes ☐ No

For Paperwork Reduction Act Notice, see the Instructions for Form 8865.

Schedule O (Form 8865) 2013

Schedule A

Constructive Ownership of Partnership Interest. Check the boxes that apply to the filer. If you check box **b**, enter the name, address, and U.S. taxpayer identifying number (if any) of the person(s) whose interest you constructively own. See instructions.

a ☒ Owns a direct interest

b ☐ Owns a constructive interest

COPY

Name	Address	Identifying number (if any)	Check if foreign person	Check if direct partner

Schedule A-1 Certain Partners of Foreign Partnership (see instructions)

Name	Address	Identifying number (if any)	Check if foreign person

Does the partnership have any other foreign person as a direct partner? ☒ **Yes** ☐ **No**

Schedule A-2

Affiliation Schedule. List all partnerships (foreign or domestic) in which the foreign partnership owns a direct interest or indirectly owns a 10% interest.

Name	Address	EIN (if any)	Total ordinary income or loss	Check if foreign partnership
SEE STATEMENT 1				

Schedule B Income Statement—Trade or Business Income

Caution. Include **only** trade or business income and expenses on lines 1a through 22 below. See the instructions for more information.

Income	1a Gross receipts or sales	1a	
	b Less returns and allowances	1b	1c
	2 Cost of goods sold		2
	3 Gross profit. Subtract line 2 from line 1c		3
	4 Ordinary income (loss) from other partnerships, estates, and trusts (attach statement)		4
	5 Net farm profit (loss) (attach Schedule F (Form 1040))		5
	6 Net gain (loss) from Form 4797, Part II, line 17 (attach Form 4797)		6
7 Other income (loss) (attach statement)		7	
8 Total income (loss). Combine lines 3 through 7		8	
Deductions (see instructions for limitations)	9 Salaries and wages (other than to partners) (less employment credits)		9
	10 Guaranteed payments to partners		10
	11 Repairs and maintenance		11
	12 Bad debts		12
	13 Rent		13
	14 Taxes and licenses		14
	15 Interest		15
	16a Depreciation (if required, attach Form 4562)	16a	
	b Less depreciation reported elsewhere on return	16b	16c
	17 Depletion (Do not deduct oil and gas depletion.)		17
	18 Retirement plans, etc.		18
	19 Employee benefit programs		19
	20 Other deductions (attach statement)		20
	21 Total deductions. Add the amounts shown in the far right column for lines 9 through 20		21
22 Ordinary business income (loss) from trade or business activities. Subtract line 21 from line 8		22	

DOVER STREET V L.P.
EIN: 98-0373064

FORM 9865
SCHEDULE A-2 ATTACHMENT

COPY

Name	Address	EIN	Check if Foreign Partnership
1 Asia Development Partners, L.P.	c/o Jeffrey Glat, 485 Madison Avenue, 18th Floor, New York, NY 10022	13-3912487	
2 Kinetic Ventures VI, LLC	2 Wisconsin Circle, Suite 620 Chevy Chase, MD 20815	52-2034801	
3 Newbury Ventures Cayman III, L.P.	1200 Trinity Drive Menlo Park, CA 94025	52-2275933	X
4 Omega Fund I L.P.	1 Royal Plaza St. Peter Port, Guernsey GY1 2HL	98-0473526	X
5 Omega SPV I, L.P.	1 Royal Plaza St. Peter Port, Guernsey GY1 2HL	98-0528428	X
6 Saints Capital IV, LP	2020 Union Street San Francisco, CA 94123	20-1159003	
7 Vitalife Partners (Overseas) L.P.	32B Harbarzel St. Ramat Hachaysi Tel Aviv, 69710 Israel	98-0398803	X
8 Vitalife Management L.P.	32B Harbarzel St. Ramat Hachaysi Tel Aviv, 69710 Israel	98-0398800	X
9 Canaan Equity III Entrepreneurs LLC	105 Rowayton Avenue Rowayton, CT 06853	52-2280220	
10 Magma Venture Capital I (Israel) LP	1 Azrieli Center, 35th Floor Tel Aviv, 67021	98-0527592	X
11 MidOcean Partners, LP	320 Park Avenue, 17th Floor New York, NY 10022	43-1994399	X
12 EnerTech Capital Partners II, LP	103 Foult Rd, Suite 202 Wilmington, DE 19803	23-3020217	
13 Star Growth Enterprise GbR	Possartstrasse 9 Munich D-81879 Germany	N/A	X
14 Kinetic Ventures VII	Two Wisconsin Circle Suite 620 Chevy Chase, MD 20815	52-2204443	
15 Centre Capital Investors III LP	30 Rockefeller Plaza Suite 5050 60th FL New York, NY 10020	06-1567766	
16 Arlington Capital Partners Offshore LP	600 New Hampshire Ave. N.W. Suite 600, Washington, DC 22003	27-1065289	X
17 Galen Partners III, L.P.	610 Fifth Avenue, New York, NY 10020	13-3930452	
18 New Economy Growth Partners, L.P.	440 S LaSalle Street, 39th Floor c/o Morgan Stanley Tax Chicago, IL 60605	13-4127962	
19 Pacific Venture Group II, LP	10830 Ventura Boulevard, Suite 244, Encino, CA 91436	95-4061535	
20 Questor Partners Fund II, L.P.	103 Springer Building, 3411 Silverside Road, Wilmington, DE 19810	51-0398043	
21 Saints Capital V, LP	2020 Union Street San Francisco, CA 94123	42-1674419	
22 Citi Venture Opportunities Fund II, L.P.	440 S LaSalle Street, 39th Floor c/o Morgan Stanley Tax Chicago, IL 60605	13-4099090	
23 Citi Venture Opportunities Fund III L.P.	440 S LaSalle Street, 39th Floor c/o Morgan Stanley Tax Chicago, IL 60605	13-4128181	
24 Vestar Capital Partners IV, L.P.	245 Park Avenue, 41st Floor New York, NY 10167	13-4091594	
25 American Capital Equity I, LLC	Two Bethesda Metro Center 14th Floor, Bethesda MD, 20814	20-5647199	
26 MSCP III 862 Investors, L.P.	195 Broadway, 18th Floor, New York, NY 10007	13-3799885	
27 Blackstone Capital Partners IV LP	345 Park Avenue, New York, NY 10154	13-4195762	
28 Carlyle Europe Partners II LP	1001 Pennsylvania Avenue, NW Washington, DC 2004-2505	98-0404501	X
29 Carlyle Japan Partners, LP	1001 Pennsylvania Avenue, NW Washington, DC 2004-2505	N/A	X
30 Carlyle Partners III, LP	1001 Pennsylvania Avenue, NW Washington, DC 2004-2505	52-2229944	
31 Carlyle Venture Partners II L.P.	1001 Pennsylvania Avenue, NW Washington, DC 2004-2505	51-0408213	
32 Carlyle/Riverstone Global Energy and Power Fund II, L.P.	1001 Pennsylvania Avenue, NW Washington, DC 2004-2505	51-0426186	
33 Questor Partners Bermuda LP	700 East Maple Road, 4th Floor Birmingham, AL 48009	N/A	X
34 Vestar-AIV Holdings A L.P.	245 Park Avenue, 41st Floor New York, NY 10167	04-3598523	X
35 Blackstone Capital Partners (Cayman) IV, L.P.	345 Park Avenue, New York, NY 10154	20-0118764	X
36 Blackstone SGP Capital Partners (Cayman) IV, L.P.	345 Park Avenue, New York, NY 10154	98-0467780	X
37 Blackstone FC Capital Partners IV, L.P.	345 Park Avenue, New York, NY 10154	20-1081818	
38 CEP II U.S. Investments, L.P.	1001 Pennsylvania Avenue, NW Washington, DC 2004-2505	45-5045802	
39 CEP II AIV Z LP	1001 Pennsylvania Avenue, NW Washington, DC 2004-2505	27-2059809	X
40 Carlyle Partners III Telecommunications, L.P.	1001 Pennsylvania Avenue, NW Washington, DC 2004-2505	14-1910827	
41 Carlyle Venture Partners II Cayman, L.P.	1001 Pennsylvania Avenue, NW Washington, DC 2004-2505	98-0488565	X
42 Carlyle/Riverstone Global Energy and Power Fund II (Cayman), L.P.	712 Fifth Avenue, 10th Floor New York, NY 10019	98-0442558	X
43 C/R LNG II Corp Holdings, LP	712 Fifth Avenue, 10th Floor New York, NY 10019	11-3737593	
44 C/R Stallion Corp Holdings, LP	712 Fifth Avenue, 10th Floor New York, NY 10019	20-4058483	
45 C/R CDM Corp Holdings II, LLC	712 Fifth Avenue, 10th Floor New York, NY 10019	75-3124230	
46 C/R Cobalt Corp Holdings, LP	712 Fifth Avenue, 10th Floor New York, NY 10019	20-5968815	
47 C/R II Niska Corp Holdings LP	712 Fifth Avenue, 10th Floor New York, NY 10019	20-8313398	
48 C/R II Niska Corp Holdings (Cayman) LP	712 Fifth Avenue, 10th Floor New York, NY 10019	98-1038536	X
49 C/R SemGroup Corp Holdings, LP	712 Fifth Avenue, 10th Floor New York, NY 10019	20-4058650	

ATTACHMENT 1

FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
COMMUNITY CAPITAL BANK-CD	249.	249.
TOTAL	<u>249.</u>	<u>249.</u>

COPY

ATTACHMENT 2FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
INTEREST ON MONEY MARKET FUNDS	150,232.	150,232.
INTEREST ON NOTES RECEIVABLE	30,887.	30,887.
INTEREST AND DIVIDENDS ON L.P.		2,322,106.
TOTAL	<u>181,119.</u>	<u>2,503,225.</u>

COPY

ATTACHMENT 3

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
OTHER PARTNERSHIP INCOME (LOSS)		
LESS: AMOUNT ATTRIBUTABLE TO UBI LOSS		
OTHER INCOME	7,794,906.	442,646.
PRI INCOME	526.	-107,829.
RETURNED GRANTS	2,600.	526.
TAX REFUND	118,850.	2,600.
	57,407.	
TOTALS	7,974,289.	337,943.

COPY

FORM 990PF, PART I - LEGAL FEES

ATTACHMENT 4

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
PROSKAUER ROSE-GENERAL COUNSEL	1,380.			2,760.
TOTALS	1,380.			2,760.

COPY

ATTACHMENT 5FORM 990PF, PART I - ACCOUNTING FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
CONDON O'MEARA MCGINTY & DONNELLY-AUDIT & TAX SERVICE	32,095.	19,257.		7,218.
TOTALS	<u>32,095.</u>	<u>19,257.</u>		<u>7,218.</u>

COPY

ATTACHMENT 6FORM 990PF, PART I - OTHER PROFESSIONAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>CHARITABLE PURPOSES</u>
CONSULTANT FEES AND STIPENDS	422,244.		422,880.
BRANDYWINE MANAGEMENT COMPANY- ADVISORY FEES	524,915. 280.	524,915. 280.	
BANK OF NEW YORK-CUSTODIAL FEE			
TOTALS	<u>947,439.</u>	<u>525,195.</u>	<u>422,880.</u>

COPY

ATTACHMENT 7

FORM 990PF, PART I - INTEREST EXPENSE

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
INTEREST EXPENSE THRU PARTNERS		514,950.
LESS:AMOUNT ALLOCATED TO UBI		-377,706.
TOTALS		<u>137,244.</u>

COPY

ATTACHMENT 8FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>CHARITABLE PURPOSES</u>
PAYROLL TAXES	21,851.	4,431.	17,420.
STATE TAXES ON U.B.I.	250.		
DEFERRED EXCISE TAXES	38,000.		
LESS:AMOUNT ALLOCATED TO UBI			
FEDERAL EXCISE TAXES	172,958.	59,825.	
FOREIGN WITHHOLDING-L.P.'S			
FEDERAL UBI TAX	5,000.		
TOTALS	<u>238,059.</u>	<u>64,256.</u>	<u>17,420.</u>

COPY

ATTACHMENT 9FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
INSURANCE	21,857.	6,557.	15,361.
TELEPHONE	12,090.	3,627.	8,283.
SUPPLIES	3,984.	1,195.	4,132.
REPAIRS AND MAINTENANCE	13,371.	4,011.	9,240.
FILING FEES	1,500.		1,500.
MISCELLANEOUS	15,155.	3,497.	11,224.
LIMITED PARTNERSHIP DEDUCTIONS		1,583,799.	
LESS:AMOUNT ALLOCATED TO UBI		-22,164.	
TOTALS	67,957.	1,580,522.	49,740.

COPY

ATTACHMENT 10FORM 990PF, PART II - PREPAID EXPENSES AND DEFERRED CHARGES

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
PREPAID EXPENSES	6,389.	6,389.
PREPAID FEDERAL EXCISE TAX	64,750.	64,750.
TOTALS	<u>71,139.</u>	<u>71,139.</u>

COPY

FORM 990PF, PART II - U.S. AND STATE OBLIGATIONS

<u>DESCRIPTION</u>	<u>ATTACHMENT 11</u>	
	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
PIMCO	3,117,165.	3,117,165.
US OBLIGATIONS TOTAL	<u>3,117,165.</u>	<u>3,117,165.</u>

COPY

ATTACHMENT 12

FORM 990PF, PART II - CORPORATE STOCK

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
SEE ATTACHED SCHEDULE -	10,002,412.	10,002,412.
TOTALS	<u>10,002,412.</u>	<u>10,002,412.</u>

COPY

The J.M. Kaplan Fund, Inc.
Investments- Corporate Stock
990PF- Part II Line 10B
EIN : 13-6090286

COPY

	<u>Cost</u> <u>Value</u>	<u>Market</u> <u>Value</u>
Sun Capital	\$ 2,232,070	\$ 1,071,638
Brookside Cayman	\$ 316,419	\$ 1,373,951
Special Opportunities Fund	\$ 1,668,490	\$ 2,212,738
Dover Street VI	\$ 730,355	\$ 1,220,650
Spindrift A Class Series 1	\$ -	\$ 264,213
Asia Capital Debt Investors	\$ 1,141,178	\$ 2,062,750
Asia Capital Tax Exempt Investors	\$ 1,137,853	\$ 1,796,472
	<u>\$ 7,226,365</u>	<u>\$ 10,002,412</u>

ATTACHMENT 13

FORM 990PF, PART II - CORPORATE BONDS

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
PHILADELPHIA FIN.- AVENTI VI	1,618,069.	1,618,069.
TOTALS	<u>1,618,069.</u>	<u>1,618,069.</u>

COPY

ATTACHMENT 14FORM 990PF, PART II - OTHER INVESTMENTS

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
EQUITY IN LIMITED PARTNERSHIPS	110,379,441.	110,379,441.
PROGRAM RELATED INVESTMENTS	260,000.	260,000.
TOTALS	<u>110,639,441.</u>	<u>110,639,441.</u>

COPY

FORM 990PF, PART II - OTHER ASSETS

ATTACHMENT 15		
DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
ART WORK	57,500.	57,500.
TOTALS	57,500.	57,500.

COPY

ATTACHMENT 16FORM 990PF, PART II - OTHER LIABILITIES**COPY**

ENDING

BOOK VALUEDESCRIPTION

DEFERRED TAXES

50,000.

TOTALS

50,000.

ATTACHMENT 17FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES**COPY**DESCRIPTIONAMOUNT

UNREALIZED GAIN FROM INVESTMENTS & L.P. 8,392,588.

TOTAL 8,392,588.

COPY

The J.M. Kaplan Fund, Inc.

Ein: 13-6090286

Attachment to the 2013 Form 990PF

Statement Required by Reg. 53.4945-5(d)

Information with Respect to Expenditure Responsibility Grants

- (1) Grantee: Saint-Gaudens Memorial
34 South Highland Avenue
Ossining, NY 10562**
- (2) Amount and Date Paid: \$10,000 paid on April 23, 2013**
- (3) Total paid: \$10,000**
- (4) Purpose of Grant: To support exhibitions and concert series at the Memorial.**
- (5) Amount of Grant Spent by Grantee: \$10,000**
- (6) Diversion: To the knowledge of the foundation, and based on the report furnished by the grantee, no part has been used for other than its intended purpose.**
- (7) Date of Report Received from Grantee: May 2013**
- (8) The J.M. Kaplan Fund, Inc. reviewed the grant report on May 22, 2013 but did not undertake any verification of the grantee's reports as there has not been any reason to doubt their accuracy or reliability (Reg. 53.4945-5 (c)).**

COPY

The J.M. Kaplan Fund, Inc.

Ein: 13-6090286

Attachment to the 2013 Form 990PF

Statement Required by Reg. 53.4945-5(d)

Information with Respect to Expenditure Responsibility Grants

- (1) Grantee: The Walters Art Museum
 600 North Charles Street
 Baltimore, MD 21201**
- (2) Amount and Date Paid: \$10,000 paid on April 23, 2013**
- (3) Total paid: \$10,000**
- (4) Purpose of Grant: For the ongoing support of The Walters Art
 Museum.**
- (5) Amount of Grant Spent by Grantee: \$10,000**
- (6) Diversion: To the knowledge of the foundation, and based on the
 report furnished by the grantee, no part has been used for other than its
 intended purpose.**
- (7) Date of Report Received from Grantee: May 22, 2014**
- (8) The J.M. Kaplan Fund, Inc. reviewed the grant report on May 22, 2014
 but did not undertake any verification of the grantee's reports as there
 has not been any reason to doubt their accuracy or reliability (Reg.
 53.4945-5 (c)).**

The J.M. Kaplan Fund, Inc.

EIn: 13-6090286

COPY

Attachment to the 2013 Form 990PF
Statement Required by Reg. 53.4945-5(d)

Information with Respect to Expenditure Responsibility Grants

- (1) **Grantee:** Clark Art Institute
225 South Street
Williamstown, MA 01267
- (2) **Amount and Date Paid:** \$6,000 paid on December 11, 2013
- (3) **Total paid:** \$6,000
- (4) **Purpose of Grant:** For the support of the publication "Raw Color, The Circles of David Smith".
- (5) **Amount of Grant Spent by Grantee:** \$6,000
- (6) **Diversion:** To the knowledge of the foundation, and based on the report furnished by the grantee, no part has been used for other than its intended purpose.
- (7) **Date of Report Received from Grantee:** May 6, 2014
- (8) The J.M. Kaplan Fund, Inc. reviewed the grant report on May 6, 2014, but will not undertake any verification of the grantee's reports as there has not been any reason to doubt their accuracy or reliability (Reg. 53.4945-5 (c)).

COPY

The J.M. Kaplan Fund, Inc.

Ein: 13-6090286

Attachment to the 2013 Form 990PF

Statement Required by Reg. 53.4945-5(d)

Information with Respect to Expenditure Responsibility Grants

- (1) Grantee: University of Pennsylvania Press
3905 Spruce Street
Philadelphia, PA 19104**
- (2) Amount and Date Paid: \$6,000 paid on December 20, 2013**
- (3) Total paid: \$6,000**
- (4) Purpose of Grant: For the support of the publication "Creating the Japanese Garden: Landscape Inspirations:1650-1950".**
- (5) Amount of Grant Spent by Grantee: \$6,000**
- (6) Diversion: To the knowledge of the foundation, and based on the report furnished by the grantee, no part has been used for other than its intended purpose.**
- (7) Date of Report Received from Grantee: No report received yet.**
- (8) The J.M. Kaplan Fund, Inc. will review the grant report when received, but will not undertake any verification of the grantee's reports as there has not been any reason to doubt their accuracy or reliability (Reg. 53.4945-5 (c)).**

The J.M. Kaplan Fund, Inc.

Ein: 13-6090286

COPY

**Attachment to the 2013 Form 990PF
Statement Required by Reg. 53.4945-5(d)**

Information with Respect to Expenditure Responsibility Grants

- (1) Grantee: American Friends of the Canadian Center
for Architecture Inc.
C/O Davies Ward Phillips & Vineberg S.E.N.C.R.L., s.r.l.
900 Third Avenue
24th Floor
New York, NY 10022**
- (2) Amount and Date Paid: \$5,000 paid on June 12, 2013**
- (3) Total paid: \$5,000**
- (4) Purpose of Grant: For the support of the publication “
Chandigarh and Casablanca: Modern Urbanism, New Geographies”.**
- (5) Amount of Grant Spent by Grantee: \$5,000**
- (6) Diversion: To the knowledge of the foundation, and based on the
report furnished by the grantee, no part has been used for other than its
intended purpose.**
- (7) Date of Report Received from Grantee: October 28, 2013.**
- (8) The J.M. Kaplan Fund, Inc. reviewed the grant report on October 28,
2013, but will not undertake any verification of the grantee’s reports as
there has not been any reason to doubt their accuracy or reliability
(Reg. 53.4945-5 (c)).**

The J.M. Kaplan Fund, Inc.

Ein: 13-6090286

COPY

Attachment to the 2013 Form 990PF

Statement Required by Reg. 53.4945-5(d)

Information with Respect to Expenditure Responsibility Grants

- (1) **Grantee:** Center for Applied Transect Studies
1023 SW 25th Avenue
Miami, FL 33135
- (2) **Amount and Date Paid:** \$50,000 paid on July 24, 2013
- (3) **Total paid:** \$50,000
- (4) **Purpose of Grant:** For the support of the publication “
Heterodoxia- Architectonica: A 4 Volume Treatise on Architecture-
Aims to Establish the Theoretical Basis for the Reconciliation of
Classical and Modernist ”.
- (5) **Amount of Grant Spent by Grantee:** \$50,000
- (6) **Diversion:** To the knowledge of the foundation, and based on the
report furnished by the grantee, no part has been used for other than its
intended purpose.
- (7) **Date of Report Received from Grantee:** August 13, 2013.
- (8) **The J.M. Kaplan Fund, Inc. reviewed the grant report on August 13,
2013, but will not undertake any verification of the grantee’s reports as
there has not been any reason to doubt their accuracy or reliability
(Reg. 53.4945-5 (c)).**

The J.M. Kaplan Fund, Inc.

EIN: 13-6090286

COPY

**Attachment to the 2013 Form 990PF
Statement Required by Reg. 53.4945-5(d)**

Information with Respect to Expenditure Responsibility Grants

- (1) Grantee: J. Paul Getty Trust
Getty Research Institute
1200 Getty Center Drive, Suite 1100
Los Angeles, CA 90049
- (2) Amount and Date Paid: \$3,500 paid on December 11, 2013
- (3) Total paid: \$3,500
- (4) Purpose of Grant: For the support of the publication " Los Angeles Union Station ".
- (5) Amount of Grant Spent by Grantee: \$3,500
- (6) Diversion: To the knowledge of the foundation, and based on the report furnished by the grantee, no part has been used for other than its intended purpose.
- (7) Date of Report Received from Grantee: March 25, 2014.
- (8) The J.M. Kaplan Fund, Inc. reviewed the grant report on March 25, 2014, but will not undertake any verification of the grantee's reports as there has not been any reason to doubt their accuracy or reliability (Reg. 53.4945-5 (c)).

COPY

**The J.M. Kaplan Fund, Inc.
For the year ended
December 31, 2013**

<u>TRUSTEE/Address</u>	<u>Hours Worked/Wk</u>	<u>Contributions to "EE" Benefit Plans</u>	<u>Expense Account</u>	<u>Compensation</u>
Note: The mailing address for All Trustees is:				
C/o The J.M Kaplan Fund, Inc. 261 Madison Avenue 19 th Fl. New York, NY 10016				
Joan Davidson, Trustee	3-5	None	None	5,000
Elizabeth K. Fonseca, Trustee	3-5	None	None	4,000
Mary E. Kaplan, Trustee	3-5	None	None	5,000
Richard D. Kaplan, Trustee	3-5	None	None	5,000
J. Matthew Davidson, Trustee	3-5	None	None	9,000
Brad Davidson, Trustee	3-5	None	None	9,000
Elizabeth Davidson Pickering, Trustee	3-5	None	None	9,000
Peter Davidson, Trustee	3-5	None	None	9,000
Caio Fonseca, Trustee	3-5	None	None	7,000
Isabel Fonseca, Trustee	3-5	None	None	9,000
Quina Fonseca, Trustee	3-5	None	None	8,000
		<u>\$ -0-</u>	<u>\$ -0-</u>	<u>\$80,000</u>

990PF, PART VIII - COMPENSATION OF THE FIVE HIGHEST PAID EMPLOYEESATTACHMENT 18

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS EXPENSE ACCT TO EMPLOYEE AND OTHER BENEFIT PLANS ALLOWANCES</u>
WILLIAM P. FALAHEE C/O 261 MADISON AVENUE NEW YORK, NY 10016	CONTROLLER 40.00	117,686.	54,100. 0
ANGELA CARABINE 261 MADISON AVENUE NEW YORK, NY 10016	GRANTS MANAGER 40.00	93,467.	43,887. 0
ANN BIRCKMAYER 261 MADISON AVENUE NEW YORK, NY 10016	PROGRAM ASSOCIATE 35.00	57,026.	9,098. 0
	TOTAL COMPENSATION	268,179.	107,085. 0

COPY

990PF, PART VIII- COMPENSATION OF THE FIVE HIGHEST PAID PROFESSIONALS

ATTACHMENT 19

COPYNAME AND ADDRESSTYPE OF SERVICECOMPENSATIONBRANDYWINE MANAGEMENT CO.
880 THIRD AVENUE
NEW YORK, NY

INVESTMENT SERVICES

468,568.

SUZETTE BROOKS MASTERS
40 EAST 88TH STREET
NEW YORK, NY 10075

GRANT CONSULTANT

92,800.

KEN LUSTBADER
37 WEST 12TH STREET
NEW YORK, NY 10011

GRANT CONSULTANT

77,100.

TOTAL COMPENSATION

638,468.

COPY

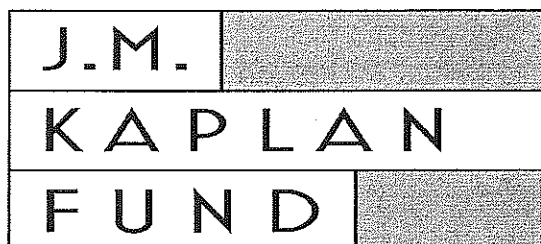
FORM 990PF, PART XV - INFORMATION REGARDING FOUNDATION MANAGERS

JACOB M. KAPLAN-DECEASED
HENRY C. KAPLAN-DECEASED

COPY

FORM 990PF, PART XV - NAME, ADDRESS AND PHONE FOR APPLICATIONS

SEE STATEMENT ATTACHED



COPY

Grant Application

Name of Organization: _____

Legal Name of Organization: _____

Address: _____

Telephone # _____ Fax # _____

Website Address: _____

Contact Name: _____

Contact Title: _____

Contact E-mail Address: _____

Please send the completed application to Angela Carabine, Grants Manager at The J.M. Kaplan Fund, 261 Madison Avenue, 19th Floor, New York, NY 10016 or via email to acarabine@jmkfund.org

Please include the following materials with the application:

1. Copy of the IRS 501 (c)(3) Determination Letter
2. List of the Board of Directors
3. Current Year Operating Budget
4. Project Summary, if other than General Operating Support
5. Project Budget
6. Copy of the most recent Audited Financial Statement or IRS 990
7. Annual Report, if available

FORM 990FF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 22

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

FOUNDATION STATUS OF RECIPIENT

RECIPIENT NAME AND ADDRESS

PURPOSE OF GRANT OR CONTRIBUTION

AMOUNT

NONE

SEE SCHEDULE ATTACHED

6,016,020.

SEE STATEMENT ATTACHED
ED

TOTAL CONTRIBUTIONS PAID

6,016,020.

COPY

The J.M. Kaplan Fund, Inc.

List of Grants Paid

31-Dec-13

EIN: 13-6090286

Program Grants

Organization	Status	Description	Amount
The Ocean Foundation 1990 M Street, NW Suite 250 Washington, DC 20036	PC	High Seas Alliance	\$ 75,000
Tides Canada 400-163 West Hastings Street Vancouver, BC V6B 1H5 CANADA	PC	Tides Canada Initiatives - Arctic Funders Group	\$ 25,000
Alma Mater Studiorum - University of Bologna Piazza San Giovanni in Monte 2 Bologna 40124 Italy	PC	2013 Prioritized Conservation at Ancient Karkemish, Turkey	\$ 25,000
Bannerman Castle Trust P.O. Box 843 Glenham, NY 12527-0843	PC	Bannerman Island Arsenal Stabilization Project - Phase 2	\$ 25,000
Hamilton Partnership for Paterson 32 Spruce Street 3rd Floor Paterson, J 07501	PC	Industrial Heritage Reuse and Feasibility Study	\$

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25,000

Harvard University Cambridge, MA	PC	Conservation and Cleaning of the Temple of Artemis: Completion of a Kaplan Initiated Multi-Year Project	\$	40,000
Michigan Technological University Lakeshore Center, 3rd Floor 1400 Townsend Drive Houghton, MI 49931-1295	PC	To Create the First-Ever Comprehensive Nationwide Inventory of Industrial Heritage Resources	\$	25,000
Montana Preservation Alliance 120 Reeder's Alley Helena, MT 59601	PC	Stabilization of Landmark 20th Century Industrial Brick Kilns - Phase 2	\$	50,000
National Trust for Historic Preservation in the U.S. 1785 Massachusetts Avenue, NW Washington, DC 20036	PC	For Industrial Heritage Track/Sessions at the 2013 National Preservation Conference	\$	25,000
New York University New York, NY 10012	PC	Pilot Project Combining Innovative Excavation and Conservation of an Iron Age Site at Kinok Hoyuk, Turkey	\$	40,000
The Lowline c/o Underground Development Foundation 5 White Street #4B New York,, NY 10013	PC	Historic Preservation Documentation and Community Engagement	\$	35,000
The Preservation League of New York State 44 Central Lane Albany, NY 12206	PC	New York State Industrial Heritage Redevelopment Project	\$	78,000
University of Pennsylvania Philadelphia, PA 19104-6311	PC	Conservation of the Monumental City Gate at Gordion	\$	
Italian Archaeological Mission Italian Kazi Heyeti Menderes Caddesi 23 TURKEY	PC	Second Phase of Conservation of the Sanctuary of St. Philip the Apostle, Hierapolis, Turkey	\$	

50,000
COPY
50,000

University of Toronto 100 St. George Street Toronto, Ontario Canada	PC	Conservation and Interpretation of Bronze and Iron age Architectural Ruins at Atchana & Tayinat	\$	50,000
Koc University Istanbul Turkey	PC	Kaplan Fellowship for Archaeological Site Management Planning	\$	50,000
French Institute for Anatolian Studies Nuru Ziya SOK No:10 34433 Beyoglu Istanbul Turkey	PC	Conservation and Restoration of Bronze Age Dining Hall in Labraunda	\$	40,000
German Archaeological Institute Inonu Caddes, 10 34437 Istanbul Turkey	PC	Conservation of Three Terraced Hellenistic Gymnasium at Pergamon	\$	50,000
Global Heritage Fund 625 Emerson Street Suite 200 Palo Alto, CA 94301	PC	Conservation of the World's First Temple at Gobekli Tepe	\$	40,000
University of Wisconsin 1848 University Avenue P.O. Box 8860 Madison, WI	PC	Conservation and Column Reconstruction of the Greek and Roman Agora at Troy	\$	40,000
Stanford University 326 Galvez Street Stanford, CA 94086	PC	First Ever Comprehensive Conservation Partnership and Training Program at Catalhoyuk, Turkey	\$	
New York University New York, NY	PC	Anastylosis of the Propylon of the Sebasteion at Aphrodisias	\$	

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COPY
75,000

World Monuments Fund 350 Fifth Avenue Suite 2412 New York, NY 10018	PC	Conservation and Training at Biet Gabriel Rafael and Biet Lehem Churches, Lalibela, Ethiopia	\$	75,000
ACCESS 2651 Saulino Court Dearborn, MI 48120	PC	National Network of Arab Communities (NNAAC), a project of ACCESS	\$	15,000
CARECEN - Central American Resource Center 2845 West Seventh Street Los Angeles, CA 90005-3907	PC	Planning Grant to launch National Dream University Pilot	\$	25,000
Community Initiatives 354 Pine Street Suite 700 San Francisco, CA 94104	PC	Educators for Fair Consideration (E4FC) - Increasing Financial Access for Undocumented College Students	\$	15,000
Florida Immigrant Coalition 2800 Biscayne Boulevard Suite 800 Miami, FL 33137	PC	2013 National Immigrant Integration Conference	\$	40,000
HIAS 333 Seventh Avenue New York, NY 10001	PC	Refugee Resettlement Backlash Pilot Project	\$	35,000
Illinois Coalition for Immigrant and Refugee Rights 55 E. Jackson Blvd. Suite 2075 Chicago, IL 60604	PC	Supporting the Integration of High-Skilled Foreigners in Illinois	\$	45,000
Massachusetts Immigrant and Refugee Advocacy Coalition 105 Chauncey Street Suite 901 Boston, MA 02111	PC	Creating a Massachusetts Pipeline for Foreign Trained Professionals	\$	

COPY
45,000

Migration Policy Institute 1400 16th Street, NW Suite 300 Washington, DC 20036-2257	PC	Coordination of Pilot Programs awarded in response to Kaplan RFP on High Skilled Foreigners	\$	30,000
Migration Policy Institute 1400 16th Street, NW Suite 300 Washington, DC 20036-2257	PC	Refugee Resettlement: Strengthening the System and Containing the Backlash	\$	15,000
National Immigration Forum 50 F Street, NW Suite 300 Washington, DC 20001	PC	National Evangelical Immigration Strategy - State by State	\$	75,000
National Immigration Forum 50 F Street, NW Suite 300 Washington, DC 20001	PC	Evangelical Immigration Outreach Strategy Atlantic Philanthropies Match - JMK Reserve Fund	\$	100,000
OneAmerica 1225 S. Weller Street Suite 200 Seattle, WA 98144	PC	Integration of High Skilled Immigrants & Refugees in Washington State	\$	45,000
Public Interest Projects 45 West 36th Street, 6th Floor New York, NY 10018	PC	Four Freedoms Fund, the national donor collaborative focused on immigrants	\$	100,000
Welcoming America 250 East Ponce de Leon Avenue Suite 320 Decatur, GA 30030	PC	General Operating Support	\$	145,000
Westchester Community College Foundation Hartford Hall 75 Grasslands Road Valhalla, NY 10595	PC	Community College Consortium for Immigration Education (CCCCIE)	\$	

COPY

World Education Services 1 Battery Park Plaza New York, NY 10004	PC	IMPRINT: Putting Skilled Immigrants on the Map	\$	150,000
Bronx River Alliance One Bronx River Parkway Bronx, NY 10462	PC	Bronx River Greenway		\$40,000.00
Brooklyn Greenway Initiative 145 Columbia Street Brooklyn, NY 11231	PC	Brooklyn Waterfront Greenway		\$40,000.00
Hester Street Collaborative 113 Hester Street New York, NY 10002	PC	Local Spokes Neighborhood Action Plan		\$50,000.00
Metropolitan Waterfront Alliance 241 Water Street 3rd Floor New York, NY 10038	PC	Waterfront Action Agenda and Vision 2020: The Comprehensive Waterfront Plan for New York City		\$40,000.00
National Parks Conservation Association 777 6th Street, NW Suite 700 Washington, DC 20001	PC	New York/New Jersey Harbor Coalition: Rebuilding, Restoring, Creating Resiliency and Environmental Justice around "America's Harbor"		\$50,000.00
New York Harbor Foundation 10 South Street Slip 7 New York, NY 10004	PC	New York Harbor School		\$40,000.00
New York Public Interest Research Group 9 Murray Street New York, NY 10007-2272	PC	Straphangers Campaign: Winning Faster, More Reliable & Affordable Bus Service		\$50,000.00

COPY

New Yorkers for Parks The Arthur Ross Center for Parks and Open Spaces 55 Broad Street 23rd Floor New York, NY 10004	PC	2013 Research and Advocacy Activities	\$40,000.00
Queens Museum of Art New York City Building Flushing Meadows Corona Park Queens, NY 11368-3398	PC	Museum of the Park Initiative	\$50,000.00
Regional Plan Association 4 Irving Place, 7th Floor New York, NY 10003	PC	Governors Island Alliance: Creating An Invested Constituency	\$40,000.00
The Horticultural Society of New York 148 West 37th Street 13th Floor New York, NY 10018	PC	To support the launch of Neighborhood Plaza Partnership, a joint initiative of the DOT and Horticultural Society	\$50,000.00
The Point Community Development Corporation 940 Garrison Avenue Bronx, NY 10474	PC	South Bronx Greenway & Riverside Campus for Arts & Environment	\$40,000.00
Transportation Alternatives 127 West 26th Street Suite 1002 New York, NY 10001	PC	Bicycle Ambassadors: Citi Bike Outreach & Education	\$50,000.00
Trust for Public Land 666 Broadway Ninth Floor New York, NY 10012	PC	Parks for People - New York City Programs	\$40,000.00

COPY

2nd Generation Fund

ACE Programs for the Homeless 598 Broadway 7th Floor New York, NY 10012	PC	General Support	\$	10,000
American Folk Art Museum 1865 Broadway 11th Floor New York, NY 10023	PC	General Support	\$	25,000
Ann Norton Sculpture Gardens 253 Barcelona Road West Palm Beach, FL 33401	501C3	General Support	\$	10,000
Ann Norton Sculpture Gardens 253 Barcelona Road West Palm Beach, FL 33401	501C3	General Support	\$	10,000
Aston Magna Foundation for Music and the Humanities P.O. Box 28 Great Barrington, MA 01230	PC	General Support	\$	2,000
Beth Israel Medical Center First Avenue @ 16th Street New York, NY 10003	PC	The Martin M. Feuer MD Education Fund	\$	10,000
Bristol Hospital Development Foundation Brewster Road Bristol, CT 06010	PC	To purchase medical equipment	\$	

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COPY

Center for Applied Transect Studies 1035 SW 25th Avenue Miami, FL 33135	POF	For publication, to be titled Heterodoxia Architectonica. Heterodoxia Architectonica , a four-volume treatise on architecture, aims to establish the theoretical basis for the reconciliation of Classical and Modernist	\$	50,000
Columbia University New York, NY 10027	PC	Paul Klebnikov Fund - General Support	\$	5,000
Coudert Institute 163 Seminole Avenue Palm Beach, FL 33480	PC	General Support	\$	15,000
Gentlemen of the Gardens 400 North Congress Avenue Suite 100 West Palm Beach, FL 33401	PC	General Support	\$	10,000
Global Foundation for Humanity 35 Cunningham Drive Monroe, NY 10950	PC	The Veerni Project	\$	10,000
Globe Moving Theatre 306 West 4th Street Apt. #C3 New York, NY 10014	PC	General Support	\$	15,000
Gold Coast Arts Center 113 Middle Neck Road Great Neck, NY 11021	PC	General Support	\$	10,000
Hope for Depression Research Foundation 667 Madison Avenue 17th Floor New York, NY 10065	PC	General Support	\$	

10,000
COPY

Hopital Albert Schweitzer Haiti P.O. Box 81046 Pittsburgh, PA 15217	PC	General Support	\$	5,000
Mary Obolensky Underwood Foundation 1400 Alabama Avenue Suite 9 West Palm Beach, FL 33401	PC	General Support	\$	5,000
Museum of Arts and Design 2 Columbus Circle New York, NY 10019	PC	General Support	\$	10,000
Museum of Arts and Design 2 Columbus Circle New York, NY 10019	PC	General Support	\$	10,000
Mystic Seaport PO Box 6000 75 Greenmanville Avenue Mystic, CT 06355-0990	PC	General Support	\$	15,000
National Museum of Women in the Arts 1250 New York Avenue, NW Washington, DC 20005-3920	PC	For the exhibition, "Picturing Mary: Mother Woman, Idea"	\$	10,000
New York School of Interior Design 170 East 70th Street New York, NY 10021	PC	Geoffrey Bradfield Scholarship Fund	\$	5,000
New York Stem Cell Foundation 1995 Broadway Suite 600 New York, NY 10023	PC	General Support	\$	10,000
Red Hook Art Project 176 Richards Street Brooklyn, NY 11231	PC	General Support	\$	

COPY

Red Octopus Theatre Company Box 1403 Newport, OR 97365	PC	General Support	\$	10,000
Regional Plan Association 4 Irving Place, 7th Floor New York, NY 10003	PC	General Support	\$	20,000
Rocky Mountain Institute 2317 Snowmass Creek Road Snowmass, CO 81654-9199	PC	General Support	\$	10,000
Save a Child America Inc. 135 East 54th Street, #15K New York, NY 10022	PC	General Support	\$	5,000
Susan Smith Blackburn Prize 3239 Avalon Place Houston, TX 77019	PC	General Support	\$	1,000
The American Ireland Fund 211 Congress Street 10th Floor Boston, MA 02110	PC	Cill Rialaig Project	\$	15,000
The American Ireland Fund 211 Congress Street 10th Floor Boston, MA 02110	PC	Restoration of Dublin's City Assembly House	\$	20,000
The American Ireland Fund 211 Congress Street 10th Floor Boston, MA 02110	PC	For the book, The Last Knight: A Celebration of Desmond Fitzgerald	\$	10,000
The Churchill Centre & Museum 200 West Madison Street Suite 1700 Chicago, IL 60606	PC	\$10,000 - For the National Churchill Library & Center in Washington, DC \$10,000 - For the Churchill War Rooms of the Imperial War Museum in London	\$	

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2000

The Culture Project 49 Bleecker Street Suite 404 New York, NY 10012	PC	General Support	\$	10,000
The English Speaking Union 144 East 39th Street New York, NY 10016	PC	General Support	\$	10,000
The Franklin and Eleanor Roosevelt Institute 570 Lexington Avenue New York, NY 10022	PC	Franklin D. Roosevelt Presidential Library & Museum	\$	10,000
The Horticultural Society of New York 148 West 37th Street 13th Floor New York, NY 10018	PC	Neighborhood Plaza Partnership	\$	10,000
The Interfaith Center of New York 475 Riverside Drive New York, NY 10115	PC	General Support	\$	10,000
The Municipal Art Society of New York 111 West 57th Street New York, NY 10019	PC	General Support	\$	5,000
The New York Foundation for the Arts 20 Jay Street, Suite 740 Brooklyn, NY 11201	PC	Artist Fund: awarded to Confrey Phillips for a documentary and awarded to Luc Petre for music salon;	\$	15,750
The Royal Oak Foundation 35 West 35th Street Suite 1201 New York, NY 10001	PC	General Support	\$	

10,000
COPY

The Royal Oak Foundation
35 West 35th Street
Suite 1201
New York, NY 10001

PC

General Support

\$

3,000

The Skyscraper Museum
39 Battery Place
New York, NY 10280

PC

General Support

\$

5,000

The Society of the Four Arts
2 Four Arts Plaza
Palm Beach, FL 33480

PC

General Support

\$

10,000

Thomas Cole National Historic Site
P.O. Box 426
Catskill, NY 12414

PC

General Support

\$

5,000

Thomas Cole National Historic Site
P.O. Box 426
Catskill, NY 12414

PC

General Support

\$

2,000

urbanStages
555 Eighth Avenue
Auite 1800
New York, NY 10018

PC

General Support

\$

10,000

urbanStages
555 Eighth Avenue
Auite 1800
New York, NY 10018

PC

General Support

\$

15,000

Westminster College
501 Westminster Avenue
Fulton, MO 65251

PC

Archival/Restoration Support - National Churchill
Museum

\$

Cotidiano Mujer
San Jose 1436
Montevideo C.P. 11.200
URUGUAY

PC

"Nunca en Domingo" (Never on Sunday) Radio
Program

\$



Critical Review Foundation P.O. Box 869 Helotes, TX 78023	PC	General Support	\$	90,000
Families for Freedom 35 West 31st Street Suite 702 New York, NY 10001	PC	General Support	\$	19,000
Fund for New Citizens at The New York Community Trust 909 Third Avenue New York, NY 10022	PC	Immigration Representation Project	\$	100,000
Make the Road New York 301 Grove Street Brooklyn, NY 11237	PC	General Support	\$	19,000
Manhattan Institute for Policy Research 52 Vanderbilt Avenue 3rd Floor New York, NY 10017	PC	Richard Cornuelle Social Entrepreneurship Award Program	\$	150,000
North Fork Spanish Apostolate (NFSA) 220 Roanoke Avenue Riverhead, NY 11901	PC	General Support	\$	12,500
The Alex Fund 211 West 106th Street #4A New York, NY 10025	PC	General Support - OvidiuRo helps Romanian schools and communities successfully integrate impoverished children into the education system at the appropriately early age.	\$	10,000
The Freeport Trailer 27 Surrey Drive Merrick, NY 11566	PC	Day Laborers Support	\$	

12500
COPY

The George Mason University Foundation 4400 University Drive Fairfax, VA 22030	PC	Richard Cornuelle Graduate Student Research Fellowships - PhD Students working on Spontaneous Social Order Studies; and Kaplan Workshop in Politics, Philosophy and Economics	\$	75,000
The Point Community Development Corporation 940 Garrison Avenue Bronx, NY 10474	PC	General Support	\$	25,000
The Point Community Development Corporation 940 Garrison Avenue Bronx, NY 10474	PC	General Support	\$	50,000
Critical Review Foundation P.O. Box 869 Helotes, TX 78023	PC	General Operating Support	\$	50,000
Donor's Trust 109 North Henry Street Alexandria, VA 22314	PC	For the Philanthropic Enterprise, a project merging the activities of the project for New Philanthropy Studies & the Fund for the Study of Spontaneous Orders.	\$	50,000
Adirondack Museum PO Box 99 Blue Mountain Lake, NY 12812-0099	PC	General Support	\$	5,000
Adirondack Wild: Friends of the Forest Preserve P.O. Box 9247 Niskayuna, NY 12309	PC	General Support	\$	5,000
Albany Institute of History and Art 125 Washington Avenue Albany, NY 12210	PC	For the book, The Mystery of the Albany Mummies: Ancient Egypt in the Hudson Valley"	\$	

COPY

Alliance for Justice 11 Dupont Circle NW 2nd Floor Washington, DC 20036-1206	PC	General Support	\$	5,000
American Friends of the British Museum 275 Madison Avenue Suite 401 New York, NY 10016	PC	For the book, "Thomas Berwick: Graphic Worlds"	\$	4,500
American Civil Liberties Union Foundation 125 Broad Street, 18th Floor New York, NY 10004-2400	PC	General Support	\$	5,000
American Composers Orchestra 244 West 35th Street Suite 805 New York, NY 10019	PC	General Support	\$	2,500
American Farmland Trust 1200 18th Street, NW Suite 800 Washington, DC 20036	PC	General Support	\$	2,500
American Friends of the Canadian Center for Architecture 900 3RD Avenue New York, NY 10022	POF	For the book, "Chandigarh and Casablanca: Modern Urbanism, New Geographies"	\$	5,000
American Friends of the NPG 40 Ettl Lane Unit 20 Greenwich, CT 06831	PC	For the book, "National Portrait Gallery Highlights"	\$	5,000
Americas Society, Inc. 680 Park Avenue New York, NY 10021	PC	For the book, "The Unity of Nature: Alexander von Humboldt and the Americas"	\$	

COPY

Aperture Foundation 547 West 27th Street, 4th Floor New York, NY 10001	PC	For the book, "The Open Road: Photographic Road Trips Across America"	\$	5,000
Bard College Annandale-on-Hudson, NY 12504	PC	For the book, "Stravinsky and His World"	\$	5,000
Bowdoin College 5600 College Station Brunswick, ME 04011	PC	For the book, Maurice Prendergast "By the Sea"	\$	5,000
Brennan Center for Justice 161 Avenue of the Americas, 12th Floor New York, NY 10013	PC	General Support	\$	5,000
Brooklyn Academy of Music 30 Lafayette Avenue Brooklyn, NY 11217-1486	PC	General Support	\$	5,000
Catskill Mountainkeeper PO Box 381 Youngsville, NY 12758	PC	General Support	\$	2,500
Center for Land Use Interpretation 9331 Venice Blvd. Culver City, CA 90232	PC	For the book, "Lay of the Land, Winter 2014"	\$	2,500
Century Association Archives Foundation 7 West 43rd Street New York, NY 10036	PC	General Support	\$	1,000
Citizens Committee for Children of New York 105 East 22nd Street 7th Floor New York, NY 10010	PC	General Support	\$	

1,000
COPY

City Lore 72 East First Street New York, NY 10003	PC	For the book, Welcome to Your Second Home: Casitas in New York City"	\$	3,500
Civitas 1457 Lexington Avenue New York, NY 10128	PC	General Support	\$	2,500
Clark Art Institute 225 South Street Williamstown, MA 01267	SO I	For the book, "Raw Color: The Circles of David Smith"	\$	6,000
College of Charleston Foundation 66 George Street Charleston, SC 29424	PC	For the book, "Tales of the Conjure Woman"	\$	3,500
Columbia County Historical Society, Inc. 5 Albany Avenue P.O. Box 311 Kinderhook, NY 12106	PC	General Support	\$	5,000
Columbia Land Conservancy, Inc. P.O. Box 299 49 Main Street Chatham, NY 12037	PC	General Support	\$	15,000
Columbia University New York, NY 10027	PC	The New York World Project	\$	12,500
Columbia-Greene Hospital Foundation 71 Prospect Avenue Hudson, NY 12534	PC	General Support	\$	5,000
Crocker Art Museum 216 O Street Sacramento, CA 95814	PC	For the book, "Jules Tavernier: Artist and Adventurer"	\$	

COPY
4

Dartmouth College Hanover, NH 03755	PC	For the book, "Arts ad Crafts Architecture and its Advocates: History and Heritage in New England"	\$	5,000
Environmental Advocates 353 Hamilton Street Albany, NY 12210	PC	General Support	\$	2,500
Evergreens Cemetery Preservation Foundation 1629 Bushwick Avenue Brooklyn, NY 11207	PC	For the pamphlet, "The Evergreens Cemetery, a Visitor's Guide and a Connoisseur's Survey of Historic Gravestone, Sites and Monuments"	\$	2,500
Four Nations Ensemble P.O. Box 1112 Hudson, NY 12534	PC	General Support	\$	5,000
Friends of Clermont 87 Clermont Avenue Germantown, NY 12526	PC	General Support	\$	5,000
Friends of the Upper East Side Historic Districts 966 Lexington Avenue, 3E New York, NY 10021	PC	General Support	\$	2,500
George C. Marshall Research Foundation VMI Parade Lexington, VA 24450	PC	For the book, "The Papers of George Catlett Marshall" Volume 7	\$	5,000
Gomez Foundation for Mill House 15 West 16th Street 5th Floor New York, NY 10011	PC	General Support	\$	5,000
Greenwich Village Society for Historic Preservation 232 East 11th Street New York, NY 10003	PC	General Support	\$	

COPY

Historic Cherry Hill 523 1/2 South Pearl Street Albany, NY 12202-1111	PC	General Support	\$	2,000
Historic Hudson, Inc. 552 Warren Street Hudson, NY 12534	PC	General Support	\$	2,500
Hudson Area Library 400 State Street Hudson, NY 12534	PC	General Support	\$	2,500
Hudson Highlands Land Trust P.O. Box 226 Garrison, NY 10524	PC	General Support	\$	2,500
Hudson Opera House 327 Warren Street Hudson, NY 12534-2413	PC	General Support	\$	15,000
Hudson River Sloop Clearwater, Inc. 724 Wolcott Avenue Beacon, NY 12508	PC	General Support	\$	5,000
Hudsonia Limited P.O. Box 5000 Annandale, NY 12504-5000	PC	General Support	\$	2,500
J. Paul Getty Trust 1200 Getty Center Drive Los Angeles, CA 90049	POF	For the book, "Los Angeles Union Station"	\$	3,500
Land Trust Alliance, Inc. 1660 L Street NW Suite 1100 Washington, DC 20036	PC	General Support	\$	

COPY

Landmark West! 45 West 67th Street New York, NY 10023	PC	General Support	\$	2,500
Library of American Landscape History, Inc. P.O. Box 1323 Amherst, MA 01004-1323	PC	For the book, "Arthur A. Shurcliff: Design, Preservation, and the Creation of the Colonial Williamsburg Landscape"	\$	4,500
Media Collective Inc. 115 West 29th Street Suite 1101 New York, NY 10001	PC	For the book, "CIPHERS"	\$	3,000
Merchant's House Museum 29 East Fourth Street New York, NY 10003-7003	PC	General Support	\$	2,500
Montclair Art Museum Montclair, NJ	PC	For the book, "Come As You Are: Art of the 1990s"	\$	5,000
Museum of Arts and Design 2 Columbus Circle New York, NY 10019	PC	For the book, "New Territories: Design, Art and Craft in Latin America, 2000-2013"	\$	7,500
Musica Sacra PO Box 974 Lenox Hill Station New York, NY 10021	PC	General Support	\$	5,000
National Institute of Social Sciences PO Box 286774 New York, NY 10128	PC	Seed Grant Program	\$	

COPY

National Parks of New York Harbor Conservancy Federal Hall National Memorial 26 Wall Street Floor 3 New York, NY 1005	PC	General Support	\$	1,000
National Trust for Historic Preservation in the U.S. 1785 Massachusetts Avenue, NW Washington, DC 20036	PC	For the book, "Lyndhurst: A Guide to the House and Landscape"	\$	5,000
Natural Resources Defense Council, Inc. 40 West 20th Street New York, NY 10011	PC	For the journal, "OnEarth"	\$	10,000
New York Genealogical and Biographical Society 36 West 44th Street New York, NY 10036212.755.8532	PC	For the book, "New York Family History Research Guide and Gazetteer"	\$	2,500
New York League of Conservation Voters Education Fund 30 Broad Street 30th Floor New York, NY 10004	PC	2011 and 2012 New York City Chapter Gala Support	\$	2,000
New York Preservation Archive Project 174 East 80th Street New York, NY 10075	PC	General Support	\$	1,000
New York Public Radio 160 Varick Street New York, NY 10013	PC	General Support	\$	2,500
New York Society Library Trustees 53 East 79th Street New York, NY 10075	PC	For the book, "From the Western Front and Beyond: the Writings of World War I"	\$	

2,500
COPY

New York Society Library Trustees 53 East 79th Street New York, NY 10075	PC	General Support	\$	2,500
New York University New York, NY 10012	PC	For the book, "Masters of Fire: The Copper Age in the Holy Land"	\$	5,000
New York University New York, NY 10012	PC	For the book, Salvation on the East River: The Dream of South Street Seaport and the Reality of Development in New York"	\$	3,250
NS-LIJ Lenox Hill Hospital 100 East 77th Street New York, NY 10075-1850	PC	General Support	\$	5,000
Orchestra of St. Luke's 450 West 37th Street Suite 502 New York, NY 10018	PC	General Support	\$	5,000
Park Avenue Armory 643 Park Avenue New York, NY 10065	PC	General Support	\$	2,500
PEN American Center, Inc. 588 Broadway Suite 303 New York, NY 10012	PC	General Support	\$	5,000
Philadelphia Museum of Art Box 7646 Philadelphia, PA 19101-7646	PC	For the book, "Fernand Leger and the Modern City"	\$	8,500
Portland Museum of Art Seven Congress Square Portland, ME 04101	PC	For the book, Richard Estes' Realism"	\$	5,000
Prospect Park Alliance 95 Prospect Park West Brooklyn, NY 11215	PC	General Support	\$	5,000

COPY
5,000

Queens Museum of Art New York City Building Flushing Meadows Corona Park Queens, NY 11368-3398	PC	For the book, "Re: Building the New York City Building"	\$	4,500
Riverkeeper 20 Secor Road Ossining, NY 10562	PC	General Support	\$	5,000
Scenic Hudson, Inc. One Civic Center Plaza, Suite 200 Poughkeepsie, NY 12601	PC	General Support	\$	5,000
Seattle Art Museum 1300 First Avenue Seattle, WA 98101	PC	For the book, "A Fuller Version of China - Chinese Art in the Seattle Art Museum"	\$	4,000
Seven West Forty Third Street Foundation 7 West 43rd Street New York, NY 10036	PC	Facade Trust	\$	5,000
Shaker Museum/Mount Lebanon 88 Shaker Museum Road Old Chatham, NY 12136	PC	General Support	\$	2,500
Smithsonian Institution Cooper-Hewitt, National Design Museum 2 East 91st Street New York, NY 10128	PC	For the book, "Making Design: a Handbook of Cooper-Hewitt's Permanent Collection"	\$	8,500
Smithsonian Institution Freer Gallery of Art and Arthur M. Sackler Gallery 1050 Independence Avenue SW MRC Washington, DC 20013	PC	For the book, "Yoga: The Art of Transformation"	\$	

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Smithsonian Institution Cooper-Hewitt, National Design Museum 2 East 91st Street New York, NY 10128	PC	For the book, "The Life of a Mansion: The Story of Cooper-Hewitt, Smithsonian Design Museum"	\$	4,000
Solomon R. Guggenheim Foundation 1071 Fifth Avenue New York, NY 10128	PC	For the book, "Italian Futurism, 1909-1944: Reconstructing the Universe"	\$	5,000
Source Music Inc. Cooper Station P.O. Box 1066 New York, NY 10276-1066	PC	General Support	\$	3,000
Star Island Corporation Morton-Benedict House 30 Middle Street Portsmouth, NH 03801	PC	For the book, "Polaroid Vitae Vol. 4: Vaughn Cottage"	\$	1,500
Texas Agricultural and Mechanical College System	PC	For the book, "The Ship That Held Up Wall Street: Unearthing the Ronson Ship Wreck"	\$	4,500
The Battery Conservancy One Whitehall Street 17th Floor New York, NY 10004	PC	General Support	\$	7,500
The Bronx Museum Of The Arts 1040 Grand Concourse Bronx, NY 10456-3999	PC	For the book, "Beyond the Supersquare: Art & Architecture in Latin America after Modernism"	\$	4,500
The Center for Book Arts 28 West 27th Street 3rd Floor New York, NY 10001	PC	General Support	\$	
Central Park Conservancy 14 East 60th Street, 8th Floor New York, NY 10021	PC	For the book, "Painting Central Park"	\$	



The George Washington Institute for Religious Freedom 50 Broad Street Suite 1137 New York, NY 10004	PC	For the book, "A Genesis of Religious Freedom: The Jews of Newport RI and Touro Synagogue: Including Washington's Letter"	\$	3,500
The Graduate School and University Center The City University of New York 365 Fifth Avenue Suite 8204 New York, NY 10016-4309	PC	For the book, "Lost & Found: The CUNY Poetics Document Initiative, Volume 4, Fall 2013"	\$	1,500
The Library of America 14 East 60th Street New York, NY 10022	PC	General Support	\$	2,000
The Municipal Art Society of New York 111 West 57th Street New York, NY 10019	PC	General Support	\$	7,500
The Museum of Fine Arts, Houston Houston, TX 77005	PC	For the book, "American Adversaries: West and Copley in a Transatlantic World"	\$	3,500
The Museum of Fine Arts, Houston Houston, TX 77005	PC	For the book, "Antonio Berni: Juanito and Ramona"	\$	5,000
The New Inquiry 70 Nevins #1 Brooklyn, NY 11217	PC	For the book, "How to Live Online: The Best Internet Criticism from TNI"	\$	2,500
The New Press 38 Greene Street 4th Floor New York, NY 10013	PC	For the book, "The New Jim Crow"	\$	4,000
The New-York Historical Society Two West 77th Street New York, NY 10024-5194	PC	For the book, "Making It Modern: The Folk Art Collection of Elie Nadelman"	\$	5,000

COPY

The Olana Partnership P.O. Box 199 5720 State Route 9G Hudson, NY 12534-0199	PC	General Support	\$	5,000
The Phillips Collection 1600 21st Street, NW Washington, DC 20009	PC	For the book, "American Voices: Masterworks from The Phillips Collection, 1850-1960"	\$	4,500
The Preservation League of New York State 44 Central Lane Albany, NY 12206	PC	General Support	\$	10,000
The Public Theater 425 Lafayette Street New York, NY 10003	PC	General Support	\$	5,000
The SS Columbia Project 232 East 11th Street New York, NY 10003	PC	General Support	\$	5,000
The Woodstock School of Art PO Box 338 2470 Route 212 Woodstock, NY 12498	PC	General Support	\$	1,000
Time & Space Limited (TSL) P.O. Box 343 434 Columbia Street Hudson, NY 12534	PC	General Support	\$	2,500
University of Chicago 5801 South Ellis Avenue Chicago, IL 60637	PC	For the book, "Performing Images"	\$	
University of Delaware Newark, DE 19716	PC	For the book "Goya's War: Los Desastres de la Guerra"	\$	

4,500
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3,000

University of Hawai'i at Manoa School of Ocean & Earth Science & Technology Honolulu, HI 96822	PC	For the book, "Chinese Architecture in the Age of Turmoil, 200-600"	\$	6,500
University of Pennsylvania Press 3905 Spruce Street Philadelphia, PA 19104-4112	SO I	For the book, "Creating the Japanese Garden: Landscape Inspirations, 1650-1950"	\$	6,000
University of Virginia Office of the President, Box 9011 Charlottesville, VA 22906	PC	For the book, "Easy On, Easy Off: The Urban Pathology of America's Small Towns"	\$	7,000
University Press of Mississippi, Inc. 3825 Ridgewood Road Jackson, MS 39211-6492	PC	For the book, "The Origins of Comics: From William Hogarth to Winsor McCay"	\$	3,500
WAMC Northeast Public Radio 318 Central Avenue Albany, NY 12206-2522	PC	General Support	\$	5,000
Whatcom Museum 121 Prospect Avenue Bellingham, WA 98225	PC	For th book, "Vanishing Ice"	\$	4,000
Winnakee Land Trust Inc. P.O. Box 610 Rhinebeck, NY 12572	PC	General Support	\$	2,500
Young Concert Artists, Inc. 250 West 57th Street Suite 1222 New York, NY 10107	PC	General Support	\$	2,500
Society for Cultural Exchange 4729 Hatfield Street Pittsburgh, pa 15201	PC	For the Book:"Regenerative Infrastructure:2012 Land Art Generator Initiative Fresh Kills Park NYC"	\$	

503
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Hudson River Foundation 17 Battery Place Suite 915 New York, NY 10004	PC	For the Documentary Hudson Rising!	\$	5,000
Columbia University New York, NY	PC	Paul Klebnikov Fund	\$	5,000
New Netherland PO Box 2536 ESP Station Albany, NY 12220	PC	For the book: "New Netherland in a nutshell: A Concise History of the Dutch Colony in N.A."	\$	3,500
Vancouver Art Gallery 750 Hornby Street Vancouver, BC Canada	PC	For the book: "Grand Hotel"	\$	7,500
African Rainforest Conservancy 277 Broadway Suite 210 New York, NY 10007	PC	General Support	\$	5,000
Essential Information P.O. Box 19405 1530 P Street, NW (20005) Washington, DC 20036	PC	General Support	\$	100,000
Essential Information P.O. Box 19405 1530 P Street, NW (20005) Washington, DC 20036	PC	General Support	\$	140,000
Forest Ethics One Haight Street San Francisco, CA 94102	PC	General Support	\$	

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75,000

Foundation for Sustainability and Peacemaking in Mesoamerica 1501 Lisa Rae Drive Round Rock, TX 78665	PC	General Support	\$	14,000
Free Africa Foundation 910 17th Street, NW, Suite 419 Washington, DC 20006	PC	General Support	\$	10,000
Godwin-Ternbach Museum 405 Klapper Hall 65-30 Kissena Boulevard Flushing, NY 11367	PC	For the exhibition, Biala: Vision and Memory	\$	15,000
Non-Objectif Sud 560 Lorimer Street Brooklyn, NY 11211	PC	General Support - Summer Program	\$	11,000
Poets House 10 River Terrace New York, NY 10282-1240	PC	General Support in honor of their 25th Anniversary	\$	100,000
Public Citizen 1600 20th Street NW Washington, DC 20010	PC	General Support	\$	25,000
The Ensemble Studio Theatre 549 West 52nd Street New York, NY 10019	PC	General Support	\$	40,000
The New York Foundation for the Arts 20 Jay Street, Suite 740 Brooklyn, NY 11201	PC	Artist Fund: awarded to Tom Breidenbach to work on his non-fiction book IX XI; awarded to Maxwell Lane for travel to Indonesia and related expenses for completion of a series of books related to The Buru Quartet;	\$	18,900
The New York Foundation for the Arts 20 Jay Street, Suite 740 Brooklyn, NY 11201	PC	"Artspire" (NYFA Sponsorship Program) - For the documentary film, Silent Witness by Edward Moran	\$	

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The San Francisco Art and Film Program 540 Alabama Street San Francisco, CA 94110	PC	General Support	\$	10,000
Zadock Pratt Museum 14540 Main Street PO Box 333 Prattville, NY 12468	PC	General Support and Restoration Activities	\$	15,000

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3rd Generation Fund

Americans for Oxford 500 Fifth Avenue 32nd Floor New York, NY 10110	PC	For Exeter College's Annual Fund in honor of its 700th Anniversary	\$	10,000
Americans for Oxford 500 Fifth Avenue 32nd Floor New York, NY 10110	PC	For the endowment of the History Fellowship, on occasion of Wadham College's 400th Anniversary	\$	10,000
Annapolis Film Festival c/o Filmsters 107 Annapolis Street Suite J Annapolis, MD 21401	PC	General Support	\$	1,000
Annapolis Opera Company Maryland Hall for the Creative Arts 801 Chase Street Suite 304A Annapolis, MD 21401	PC	General Support	\$	1,000
Annapolis Symphony Orchestra 801 Chase Street Annapolis, MD 21401	PC	General Support	\$	1,000
Annapolis Symphony Orchestra 801 Chase Street Annapolis, MD 21401	PC	General Support	\$	1,500
Atlas DIY 278 44th Street 2nd Floor Brooklyn, NY 11232	PC	General Support	\$	

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Ballet Theatre of Maryland 801 Chase Street Annapolis, MD 21401	PC	General Support	\$	1,000
Barnard College 3009 Broadway Box AS New York, NY 10027-6598	PC	Grammar Enhancement Program	\$	7,500
Barnard College 3009 Broadway Box AS New York, NY 10027-6598	PC	Grammar Enhancement Program	\$	7,500
Bennington College One College Drive Bennington, VT 05201-6001	PC	2012/2013 Annual Fund	\$	5,000
Boys & Girls Club of Kenosha Inc. 1330-52nd Street Kenosha, WI 53140	PC	General Support	\$	2,500
Brooklyn Kindergarten Society 57 Willoughby Street 4th Floor Brooklyn, NY 11201	PC	General Support	\$	10,000
BUILD 2385 Bay Road Redwood City, CA 94063	PC	General Support	\$	5,000
Calvert Institute for Policy Research 8 West Hamilton Street Baltimore, MD 21201	PC	General Support	\$	1,000
CASES 346 Broadway 3rd Floor West New York, NY 10036	PC	General Support	\$	5,000



Cato Institute 1000 Massachusetts Avenue, NW Washington, DC 20001	PC	General Support	\$	3,000
Center of Help 1906 Forest Drive, Suite 2B Annapolis, MD 21401	PC	General Support	\$	2,500
Chicago Public Media Navy Pier 848 East Grand Avenue Chicago, IL 60611	PC	General Support	\$	5,000
Christodora One East 53rd Street Suite 1401 New York, NY 10022	PC	General Support	\$	1,000
City Parks Foundation The Arsenal, Central Park 830 Fifth Avenue New York, NY 10065	PC	CityParks Tennis Program	\$	10,000
Colorado College 14 East Cache La Poudre Street Colorado Springs, CO 80903-3298	PC	2013/2014 Annual Fund	\$	5,000
Community Foundation of Anne Arundel County 914 Bay Ridge Road Suite 220 Annapolis, MD 21403	PC	\$1500 Anne Arundel Women Giving Together Enn dowment Fund (AAWGT) and \$500 AAWGT General Fund	\$	2,000
Compass Rose Studio Theater P.O. Box 4152 Annapolis, MD 21403	PC	General Support	\$	

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Concord Academy 166 Main Street Concord, MA 01742	PC	General Support in honor of Janet Eisendrath, inspirational teacher, History of Art, on the occasion of her 90th birthday.	\$	10,000
Cypress Hills Local Development Corporation 625 Jamaica Avenue Brooklyn, NY 11208	PC	General Support	\$	5,000
Doctors Without Borders 333 Seventh Avenue 2nd Floor New York, NY 10001-5004	PC	General Support - Typhone	\$	10,000
Doctors Without Borders 333 Seventh Avenue 2nd Floor New York, NY 10001-5004	PC	General Support	\$	5,000
DonorsChoose.org 213 West 35th Street 2nd Floor New York, NY 10001	PC	For Sebastian B. Clegg's Music Program at Mott Haven Charter School	\$	1,500
Education Matters 10902 75th Street, #202 Kenosha, WI 53142	PC	Kenosha "Be the Change" School Program	\$	5,000
Endometriosis Foundation of America 90 Park Avenue 17th Floor New York, NY 10016	PC	General Support	\$	10,000
Family Service Prevention Education & Counseling NFP 777 Central Avenue Suite 17 Highland Park, IL 60035	PC	Nuestro Center, a Latino family welcome center in Highland, Illinois that provides Latino educational support and services initiated and supported by Family Service.	\$	

2500
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Food Link Inc. 2666 Riva Road, Suite 390 MS 8302 Annapolis, MD 21401	PC	General Support	\$	2,000
Fractured Atlas 248 West 35th Street 10th Floor New York, NY 10001	PC	For the short film entitled 'The Trouble I've Been Looking For', as part of the NYU graduate film program	\$	5,000
Free Arts NYC 1431 Broadway 7th Floor New York, NY 10018	PC	General Support	\$	5,000
Getting Out and Staying Out 91 East 116th Street New York, NY 10029	PC	General Support	\$	5,000
Gilman School 5407 Roland Avenue Baltimore, MD 21210	PC	The Gilman Fund - 2013/2014	\$	1,000
Greater Homewood Community Corporation 3503 North Charles Street Baltimore, MD 21218	PC	General Support - Waverly Elementary/Middle School	\$	2,000
Greene Hill School 39 Adelphi Street Brooklyn, NY 11205	PC	General Support	\$	5,000
Grosse Pointe United Methodist Church 211 Moross Road Grosse Pointe Farms, MI 48236-2950	PC	General Support in honor of Evelyn Montgomery	\$	1,000
Gulf of Maine Research Institute 350 Commercial Street Portland, ME 04101	PC	General Support	\$	1,000

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Healthy Planet USA PO Box 27371 San Francisco, CA 94127	PC	General Support	\$	5,000
IDignity 424 E. Central Blvd. #199 Orlando, FL 32801	PC	General Support	\$	5,000
Institute for Justice 901 North Glebe Road Suite 900 Arlington, VA 22203	PC	General Support	\$	3,000
International Network for Public Schools 50 Broadway Suite 2200 New York, NY 10004	PC	General Support	\$	5,000
Island Institute 386 Main Street P.O. Box 648 Rockland, ME 04841-0648	PC	General Support	\$	12,000
Kenosha Christmas Charities Inc. 5800 7th Avenue Kenosha, WI 53140-4131	PC	General Support	\$	2,500
London Town Foundation, Inc. 839 Londontown Road Edgewater, MD 21037-2120	PC	General Support	\$	1,000
Maryland Charter School Network 4639 Falls Road Baltimore, MD 21209	PC	General Support	\$	
Maryland Federation of Art 18 State Circle Annapolis, MD 21401	PC	Paint Annapolis Program	\$	

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Maryland Hall for the Creative Arts, Inc. 801 Chase Street Annapolis, MD 21401	PC	General Support	\$	5,000
MASA PO Box 935 Bronx, NY 10451	PC	General Support	\$	5,000
Movement Strategy Center Oakland, CA	PC	Game Changer Labs	\$	5,000
Natural Resources Defense Council, Inc. 40 West 20th Street New York, NY 10011	PC	General Support - Midwest Regional Office	\$	5,000
New Foundation of Hope 8144-48 South Kedzie Avenue Chicago, IL 60652	PC	General Support	\$	5,000
New Foundation of Hope 8144-48 South Kedzie Avenue Chicago, IL 60652	PC	General Support	\$	5,000
North Country School P.O. Box 187 4382 Cascade Road (Fed Ex) Lake Placid, NY 12946	PC	\$5,000 for 2012/2013 Annual Fund	\$	5,000
North Country School P.O. Box 187 4382 Cascade Road (Fed Ex) Lake Placid, NY 12946	PC	\$5,000 for 2013/2014 Annual Fund	\$	5,000
North Country School P.O. Box 187 4382 Cascade Road (Fed Ex) Lake Placid, NY 12946	PC	\$4,000 2013/2014 Annual Fund \$1,000 toward The Reginald Gilliam Endowment	\$	

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North Country School P.O. Box 187 4382 Cascade Road (Fed Ex) Lake Placid, NY 12946	PC	Julia Jonathan CTT Scholarship Fund	\$	5,000
North Country School P.O. Box 187 4382 Cascade Road (Fed Ex) Lake Placid, NY 12946	PC	2012/2013 Annual Fund/Three by Friends Challenge	\$	10,000
Open Space Institute, Inc. 1350 Broadway, Suite 201 New York, NY 10018-7799	PC	General Support	\$	5,000
Partners in Island Education PO Box 664 Vinalhaven, ME 04863	PC	General Support	\$	2,500
Planned Parenthood Federation of America Inc. 434 West 33rd Street New York, NY 10001	PC	General Support	\$	2,500
Planned Parenthood of Maryland 330 North Howard Street Baltimore, MD 21201	PC	General Support	\$	1,000
Restore NYC PO Box 1003 Bowling Green Station New York, NY 10274	PC	General Support	\$	5,000
Rift Valley Institute 1 St. Luke's Mews London W11IDF United Kingdom	PC	Two scholarships for regional participants in RVI summer courses in and on the Horn of Africa	\$	

10,000
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Sadie Nash Leadership Project 157 Montague Street Brooklyn, NY 11201	PC	General Support	\$	5,000
Sadie Nash Leadership Project 157 Montague Street Brooklyn, NY 11201	PC	General Support	\$	10,000
Saint Ann's School 129 Pierrepont Street Brooklyn Heights, NY 11201	PC	Annual Fund	\$	10,500
Sanctuary for Families P.O. Box 1406 Wall Street Station New York, NY 10268	PC	General Support	\$	5,000
SPCA of Anne Arundel County, MD 1815 Bay Ridge Avenue Annapolis, MD 21403	PC	General Support	\$	1,000
St. Ann's Warehouse 55 Washington Avenue #458 Brooklyn, NY 11201	PC	The Tobacco Warehouse Capital Campaign	\$	20,000
St. John's College 60 College Avenue Annapolis, MD 21404	PC	2013/2014 Annual Fund	\$	25,000
Team Rubicon 1030 W. Hillcrest Boulevard Los Angeles, CA 90301	PC	General Support	\$	5,000
The Bryn Mawr School for Girls of Baltimore City 109 W. Melrose Ave Baltimore, MD 21210	PC	2013/2014 Annual Fund	\$	

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20,000

The Green-Wood Historic Fund 500 25th Street at Fifth Avenue Brooklyn, NY 11232	PC	The Historic Fund	\$	5,000
The Key School 534 Hillsmere Drive Annapolis, MD 21403	PC	2012/2013 Annual Fund	\$	2,500
The Marion Institute 202 Spring Street Marion, MA 02738	PC	S.A.F.E. Kenya - General Support	\$	10,000
The New Press 38 Greene Street 4th Floor New York, NY 10013	PC	Internship Program	\$	5,000
The New Press 38 Greene Street 4th Floor New York, NY 10013	PC	Internship Program	\$	5,000
The New York Foundation for the Arts 20 Jay Street, Suite 740 Brooklyn, NY 11201	PC	Artist Fund: awarded to Alf Bishai for a series of forums for the creation and performance of "new music";	\$	20,000
The Shakespeare Society 118-122 Baxter Street Suite 705 New York, NY 10013	PC	General Support	\$	25,000
The Walters Art Museum 600 North Charles Street Baltimore, MD 21201	SO I	FY 2014 Annual Giving Campaign that supports its programming and exhibitions.	\$	12,500
Triangle Arts Association 20 Jay Street Suite 318 Brooklyn, NY 11201	PC	General Support	\$	

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Volunteers of Legal Service 281 Park Avenue 5th Floor New York, NY 10010	PC	Dream Not Deferred Program	\$	5,000
Wellness House of Annapolis 2625 Mas Que Farm Road Annapolis, MD 21403	PC	General Support	\$	1,000
WHEDco 50 East 168th Street Bronx, NY 10452	PC	General Support	\$	5,000
YWCA of Brooklyn 30 Third Avenue Brooklyn, NY 11217	PC	Eliminating Racism Empowering Women, A Case Management Model Program - to support the hire of a case manager to work with a group of YWCA residents who are domestic violence survivors with trauma-related issues.	\$	12,500
The Alex Fund 211 West 106th Street #4A New York, NY 10025	PC	Ovidiuro helps Romanian schools and communities successfully integrate impoverished children into the education system at the appropriately early age	\$	25,500
Stanford University 326 Galvez Street Stanford, CA` 94086	PC	The Stanford Fund for Undergraduate Education	\$	31,000
Stanford University 326 Galvez Street Stanford, CA` 94086	PC	The Stanford Fund for Undergraduate Education	\$	25,000

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Other Discretionary Grants

American Foundation for Suicide Prevention 120 Wall Street 29th Floor New York, NY 10005	PC	General Support	\$	75
Autism Speaks 2 Park Avenue 11th Floor New York, NY 10016	PC	General Support	\$	75
Children's Hospital Foundation at Westchester Medical Center 100 Woods Road Taylor Pavilion Suite C3 Valhalla, NY 10595	PC	Go the Distance Walk Team: Remember Jenna	\$	75
Chore Service 322 Main Street, 2nd Floor PO Box 522 Lakeville, CT 06039	PC	General Support	\$	150
Girl Scouts Heart of the Hudson 2 Great Oak Lane Pleasantville, NY 10570	PC	General Support	\$	75
Holy Rosary Church 170 Bradhurst Avenue Hawthorne, NY 10532	PC	General Support	\$	1,680
Hotchkiss Library of Sharon 10 Upper Main Street P.O. Box 277 Sharon, CT 06069	PC	General Support	\$	

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Little Guild of St. Francis 285 Sharon Goshen Turnpike West Cornwall, CT 06796	PC	General Support	\$	75
Memorial Sloan-Kettering Cancer Center 1275 York Avenue New York, NY 10021	PC	General Support	\$	75
National Audubon Society 225 Varick Street New York, NY 10014	PC	Sharon Audubon/General Support	\$	150
Pets for the Elderly Foundation 530 E Hunt Highway Suite 103 PMB 472 San Tan Valley, AZ 85143	PC	General Support	\$	150
Philanthropy New York 79 Fifth Avenue Fourth Floor New York, NY 10003-3076	PC	General Support	\$	450
Philanthropy New York 79 Fifth Avenue Fourth Floor New York, NY 10003-3076	PC	General Support	\$	450
Salisbury Visiting Nurse Association 30A Salmon Kill Road Salisbury, CT 06068	PC	General Support	\$	75
Sharon Community Foundation Inc. PO Box 385 Sharon, CT 06069	PC	General Support	\$	150
Sharon Day Care Center PO Box 1031 80 Hilltop Road Sharon, CT 06069	PC	Sharon Classic Road Race	\$	

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Sharon Day Care Center PO Box 1031 80 Hilltop Road Sharon, CT 06069	PC	General Support	\$	150
Sharon Fire Department Inc. 36 West Main Street P.O. Box 357 Sharon, CT 06069	PC	General Support	\$	300
Sharon Fire Department Inc. 36 West Main Street P.O. Box 357 Sharon, CT 06069	PC	Sharon Ambulance Fund	\$	300
Sharon Green Preservation Association Inc. P.O. Box 1461 Sharon, CT 06069	PC	General Support	\$	150
Sharon Historical Society 18 Main Street P.O. Box 511 Sharon, CT 06069	PC	SHS Conservation & Preservation Campaign	\$	750
Sharon Land Trust Inc. P.O. Box 1027 Sharon, CT 06069	PC	General Support	\$	150
Sharon Woman's Club PO Box 283 Sharon, CT 06069	PC	SWC Scholarship Fund	\$	150
Sharon Woman's Club PO Box 283 Sharon, CT 06069	PC	HYSB Summer Internship Program	\$	

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St. Jude Children's Research Hospital 262 Danny Thomas Place Memphis, TN 38105-3678	PC	St. Jude Math-A-Thon	\$	90
Support Center for Nonprofit Management 305 Seventh Avenue 11th Floor New York, NY 10001-6008	PC	General Support	\$	750
Support Center for Nonprofit Management 305 Seventh Avenue 11th Floor New York, NY 10001-6008	PC	General Support	\$	750
The Leukemia & Lymphoma Society 1311 Mamaroneck Avenue White Plains, NY 10605	PC	General Support	\$	75
Tour De Force 156 E. Main Street PO Box 395 Washingtonville, NY 10992	PC	General Support	\$	1,500
Women's Support Services 158 Gay Street PO Box 341 Sharon, CT 06069	PC	General Support	\$	75
Wounded Warrior Project 370 7th Avenue New York, NY 10001	PC	General Support	\$	150
YAI/National Institute for People with Disabilities 460 West 34th Street New York, NY 10001-2382	PC	General Support	\$	

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Community Foundations of the Hudson Valley 80 Washington Street Poughkeepsie, NY 12601	PC	Roosevelt-Vanderbilt Conservancy	\$	5,000
Environmental Advocates 353 Hamilton Street Albany, NY 12210	PC	General Support	\$	2,500
National Audubon Society 225 Varick Street New York, NY 10014	PC	Florida Invasive Species Task Force	\$	30,000
Riverkeeper 20 Secor Road Ossining, NY 10562	PC	General Support	\$	7,000
Save Our Ships New York 100 West 72nd Street Suite 6G New York, NY 10023	PC	Fireboat John J. Harvey	\$	2,500
The Cultural Landscape Foundation 1711 Connecticut Avenue NW Suite 200 Washington, DC 20009	PC	Nicholas Quennell Oral History Project	\$	1,000
Mayo Clinic Arizona 13400 East Shea Boulevard Scottsdale, AZ 85251	PC	To support the endowment for the General Operations Fund of Mayo Medical School - Arizona Campus	\$	40,000
Storm King Art Center P.O. Box 280 Old Pleasant Hill Road Mountainville, NY 10953	PC	General Support	\$	

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The Brearley School 610 East 83rd Street New York, NY 10028	PC	2013-14 Annual Fund	\$	5,000
The Center for Humans and Nature 20 North Wacker Drive Suite 1422 Chicago, IL 60606	PC	General Support	\$	5,000
The Dalton School 108 East 89th Street New York, NY 10128-1599	PC	2013-14 Annual Fund	\$	20,000
Yale University New Haven, CT 06510-7003	PC	Yale Alumni Fund	\$	5,000
Brooklyn Museum of Art 200 Eastern Parkway Brooklyn, NY 11238	PC	The Alice Prize - For the publication, "Youth and Beauty: Art of the American Twenties	\$	25,000
Philanthropy New York 79 Fifth Avenue Fourth Floor New York, NY 10003-3076	PC	General Support/Membership	\$	15,100
Consultative Group on Biological Diversity Presidio Building 1016 P.O. Box 29361 San Francisco, CA 94129-0361	PC	General Support/Membership	\$	6,500
Grantmakers Concerned with Immigrants P.O. Box 1100 Sebastopol, CA 95473	PC	General Support/Membership	\$	

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City Parks Alliance 2121 Ward Court, NW 5th Floor Washington, DC 20037	PC	General Support/Membership	\$	2,500
Association of Small Foundations 1720 N Street, NW Washington, DC 20036	PC	General Support/Membership	\$	2,000
Foundation Financial Officers Group 216 W. Jackson Boulevard Suite 625 Chicago, IL 60606	PC	General Support/Membership	\$	2,000
Grants Managers Network 1666 K Street, NW Suite 440 Washington, DC 20006	PC	General Support/Membership	\$	2,000
Environmental Grantmakers Association 475 Riverside Drive Suite 902 New York, NY 10015	PC	General Support/Membership	\$	5,450
Saint Ann's School 129 Pierrepont Street Brooklyn Heights, NY 11201	PC	Campaign for Saint Ann's School	\$	200,000

Total Grants Paid in 2013

\$

6,006,026

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FORM 990FF, PART XV - CONTRIBUTIONS APPROVED FOR FUTURE PAYMENT

ATTACHMENT 23

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

FOUNDATION STATUS OF RECIPIENT

RECIPIENT NAME AND ADDRESS

PURPOSE OF GRANT OR CONTRIBUTION

AMOUNT

SEE STATEMENT ATTACHED

NONE

PUBLIC

SEE SCHEDULE ATTACHED

1,327,800.

TOTAL CONTRIBUTIONS APPROVED

1,327,800.

COPY

The J.M. Kaplan Fund, Inc.
List of Grants Payable
31-Dec-13
EIN: 13-6090286

Program Grants

Organization	Status	Description	Amount
Regional Plan Association 4 Irving Place, 7th Floor New York, NY 10003	PC	General Support	\$ 21,800
McGill Queens University Press Friends of McGill University New York, NY 10087	PC	For the book: "The Once & Future Great Lakes County. An Ecological History".	\$ 5,500
Donor's Trust 109 North Henry Street Alexandria, VA 22314	PC	For the Philanthropic Enterprise, a project merging the activities of the project for New Philanthropy Studies & the Fund for the Study of Spontaneous Orders.	\$ 50,000
Cotidiano Mujer San Jose 1436 Montevideo C.P. 11.200 URUGUAY	PC	"Nunca en Domingo" (Never on Sunday) Radio Program	\$ 50,000
Irish Georgian Society New York, NY 10128		General Operating Support	\$ 10,000
Irish Georgian Society New York, NY 10128		General Operating Support	\$ 20,000
Stanford University 326 Galvez Street Stanford, CA 94086	PC	The Stanford Fund for Undergraduate Education	\$ 25,000
Stanford University 326 Galvez Street Stanford, CA 94086	PC	The Stanford Fund for Undergraduate Education	\$ 25,000
Penn Praxis 409 Duhring Wing School of Design	SOMI NF1	Kaplan Fellowship for Certification in the Conservation & Management of Archaeological Sites for Turkish Nationals.	\$ 37,500

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Philadelphia, PA 19104

German Archaeological Institute Inonu Caddes, 10 34437 Istanbul Turkey	PC	Conservation of Three Terraced Hellenistic Gymnasium at Pergamon	\$	50,000
Global Heritage Fund 625 Emerson Street Suite 200 Palo Alto, CA 94301	PC	Conservation of the World's First Temple at Gobekli Tepe	\$	40,000
University of Wisconsin 1848 University Avenue P.O. Box 8860 Madison, WI	PC	Conservation and Column Reconstruction of the Greek and Roman Agora at Troy	\$	40,000
New York University New York, NY	PC	Anastylosis of the Propylon of the Sebasteion at Aphrodisias	\$	50,000
New York University New York, NY	PC	Anastylosis of the Propylon of the Sebasteion at Aphrodisias	\$	50,000
Stanford University 326 Galvez Street Stanford, CA 94086	PC	First Ever Comprehensive Conservation Partnership and Training Program at Catalhoyuk, Turkey	\$	50,000
Saint Ann's School 129 Pierrepont Street Brooklyn Heights, NY 11201	PC	Campaign for Saint Ann's School	\$	250,000
Saint Ann's School 129 Pierrepont Street Brooklyn Heights, NY 11201	PC	Campaign for Saint Ann's School	\$	250,000
Saint Ann's School 129 Pierrepont Street Brooklyn Heights, NY 11201	PC	Campaign for Saint Ann's School	\$	250,000
Red Hook Initiative 767 Hicks Street Brooklyn, NY 11231	PC	General Support	\$	5,000
Michigan Technological University Lakeshore Center, 3rd Floor 1400 Townsend Drive Houghton, MI 49931-1295	PC	To Create the First-Ever Comprehensive Nationwide Inventory of Industrial Heritage Resources	\$	25,000
Istanbul Kultur University	PC	Second Conservation Training Seminar for Turkish	\$	23,000

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ES Freeway Bakirkoy 34156
Istanbul
TURKEY

Site Managers and Assistant Site Managers

Grants Payable

\$

1,327,800

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FORM 990-PF, PART XVI-A - ANALYSIS OF PROGRAM SERVICE REVENUE

ATTACHMENT 23

DESCRIPTION	BUSINESS		EXCLUSION		RELATED OR EXEMPT	
	CODE	AMOUNT	CODE	AMOUNT	FUNCTION	INCOME
PRI INCOME AND OTHER INCOME						
						3,127.
TOTALS						3,127.

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FORM 990-PF, PART XVI-A - ANALYSIS OF OTHER REVENUE

ATTACHMENT 24

DESCRIPTION	BUSINESS CODE	EXCLUSION		RELATED OR EXEMPT FUNCTION INCOME	
		AMOUNT	CODE	AMOUNT	
PARTNERSHIP INCOME	900099	107,829.	18	7,476,115.	118,850.
RETURN OF GRANTS					57,407.
FEDERAL TAX REFUND					
TOTALS		107,829.		7,476,115.	176,257.

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