

Form **990-PF**

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

OMB No. 1545-0052

2011

Department of the Treasury
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year **2011** or tax year beginning , **2011**, and ending , **20**

Name of foundation THE J.M. KAPLAN FUND, INC. C/O CONDON O'MEARA MCGINTY & DONNELLY		A Employer identification number 13-6090286
Number and street (or P.O. box number if mail is not delivered to street address) ONE BATTERY PARK PLAZA 7TH FLOOR	Room/suite	B Telephone number (see instructions) (212) 661-7777
City or town, state, and ZIP code NEW YORK, NY 10004-1405		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply:	<input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change	<input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 129,632,831.	J Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ <i>(Part I, column (d) must be on cash basis.)</i>	E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/> F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Part I Analysis of Revenue and Expenses <i>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)</i>				
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule). Check <input type="checkbox"/> if the foundation is not required to attach Sch. B			
	2 Interest on savings and temporary cash investments	1,276.	1,276.	ATCH 1
	3 Dividends and interest from securities	2,108,834.	2,108,834.	ATCH 2
	4 Gross rents			
	5 a Net rental income or (loss)			
	6 a Net gain or (loss) from sale of assets not on line 10	26,328,308.		
	6 b Gross sales price for all assets on line 6a	34,957,226.		
	7 Capital gain net income (from Part IV, line 2)		12,322,056.	
	8 Net short-term capital gain			
	9 Income modifications			
	10 a Gross sales less returns and allowances			
	10 b Less: Cost of goods sold			
10 c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)	1,821,918.	1,688,090.	ATCH 3	
12 Total. Add lines 1 through 11	30,260,336.	16,120,256.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	362,081.	70,270.	278,012.
	14 Other employee salaries and wages	359,878.	64,901.	277,834.
	15 Pension plans, employee benefits	148,086.	36,400.	119,913.
	16 a Legal fees (attach schedule) ATCH 4	236,938.		228,206.
	16 b Accounting fees (attach schedule) ATCH 5	31,096.	18,658.	21,564.
	16 c Other professional fees (attach schedule) *	814,027.	497,394.	298,041.
	17 Interest. ATTACHMENT 7	273,797.		
	18 Taxes (attach schedule) (see instructions) **	-50,470.	55,322.	28,627.
	19 Depreciation (attach schedule) and depletion			
	20 Occupancy	276,211.	27,621.	225,952.
	21 Travel, conferences, and meetings	161,366.	32,273.	204,160.
	22 Printing and publications	3,581.	716.	2,865.
	23 Other expenses (attach schedule) ATCH 9	1,403,745.	1,332,950.	44,665.
	24 Total operating and administrative expenses. Add lines 13 through 23	4,020,336.	2,136,505.	1,729,839.
	25 Contributions, gifts, grants paid	9,317,658.		8,253,827.
26 Total expenses and disbursements. Add lines 24 and 25	13,337,994.	2,136,505.	9,983,666.	
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements	16,922,342.			
b Net investment income (if negative, enter -0-)		13,983,751.		
c Adjusted net income (if negative, enter -0-)				

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)		Beginning of year	End of year		
		(a) Book Value	(b) Book Value	(c) Fair Market Value			
Assets	1	Cash - non-interest-bearing		500.	500.	500.	
	2	Savings and temporary cash investments		4,019,264.	12,423,129.	12,423,129.	
	3	Accounts receivable	7,652,406.				
		Less: allowance for doubtful accounts		194,707.	7,652,406.	7,652,406.	
	4	Pledges receivable					
		Less: allowance for doubtful accounts					
	5	Grants receivable					
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)					
	7	Other notes and loans receivable (attach schedule)	*		303,571.		
		Less: allowance for doubtful accounts		302,942.	303,571.	303,571.	
	8	Inventories for sale or use					
	9	Prepaid expenses and deferred charges	ATCH 10		39,084.	11,814.	11,814.
	10 a	Investments - U.S. and state government obligations (attach schedule).	**		3,799,657.	360,803.	360,803.
	b	Investments - corporate stock (attach schedule)	ATCH 12		56,956,670.	44,068,873.	44,068,873.
	c	Investments - corporate bonds (attach schedule)	ATCH 13		872,799.	888,417.	888,417.
	11	Investments - land, buildings, and equipment: basis					
	Less: accumulated depreciation (attach schedule)						
12	Investments - mortgage loans						
13	Investments - other (attach schedule)	ATCH 14		72,922,992.	63,862,315.	63,862,315.	
14	Land, buildings, and equipment: basis						
	Less: accumulated depreciation (attach schedule)		3,503.	3,503.	3,503.	3,503.	
15	Other assets (describe)	ATCH 15		57,500.	57,500.	57,500.	
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)			139,169,618.	129,632,831.	129,632,831.	
Liabilities	17	Accounts payable and accrued expenses		184,171.	300,444.		
	18	Grants payable		1,918,518.	2,982,349.		
	19	Deferred revenue					
	20	Loans from officers, directors, trustees, and other disqualified persons					
	21	Mortgages and other notes payable (attach schedule)					
	22	Other liabilities (describe)	ATCH 16		208,000.	163,000.	
23	Total liabilities (add lines 17 through 22)			2,310,689.	3,445,793.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. <input type="checkbox"/>						
	24	Unrestricted					
	25	Temporarily restricted					
	26	Permanently restricted					
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. <input checked="" type="checkbox"/>						
	27	Capital stock, trust principal, or current funds			18,370,506.	18,370,506.	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund			118,488,423.	107,816,532.	
29	Retained earnings, accumulated income, endowment, or other funds			136,858,929.	126,187,038.		
30	Total net assets or fund balances (see instructions)			139,169,618.	129,632,831.		
31	Total liabilities and net assets/fund balances (see instructions)						

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	136,858,929.
2	Enter amount from Part I, line 27a	2	16,922,342.
3	Other increases not included in line 2 (itemize)	3	
4	Add lines 1, 2, and 3	4	153,781,271.
5	Decreases not included in line 2 (itemize) ATTACHMENT 17	5	27,594,233.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	126,187,038.

**ATCH 11

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE PART IV SCHEDULE				
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
(j) F.M.V. as of 12/31/69	(k) Adjusted basis as of 12/31/69	(l) Excess of col. (j) over col. (k), if any		
a				
b				
c				
d				
e				
2 Capital gain net income or (net capital loss)		{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	12,322,056.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8			{ }	3

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2010	8,592,741.	130,964,884.	0.065611
2009	11,498,922.	122,925,341.	0.093544
2008	8,639,921.	155,764,247.	0.055468
2007	10,936,429.	171,283,309.	0.063850
2006	7,640,328.	157,677,796.	0.048455
2 Total of line 1, column (d)			2 0.326928
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.065386
4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5			4 134,793,179.
5 Multiply line 4 by line 3			5 8,813,587.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 139,838.
7 Add lines 5 and 6			7 8,953,425.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.			8 9,983,666.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1 a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. . . . Date of ruling or determination letter: _____ (attach copy of letter if necessary - see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b. . . .	1	139,838.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2. . . .	3	139,838.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	139,838.
6	Credits/Payments:		
a	2011 estimated tax payments and 2010 overpayment credited to 2011. . . .	6a	440,000.
b	Exempt foreign organizations - tax withheld at source. . . .	6b	
c	Tax paid with application for extension of time to file (Form 8868). . . .	6c	
d	Backup withholding erroneously withheld. . . .	6d	
7	Total credits and payments. Add lines 6a through 6d. . . .	7	440,000.
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached. . . .	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed. . . .	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid. . . .	10	300,162.
11	Enter the amount of line 10 to be: Credited to 2012 estimated tax <input type="checkbox"/> 150,000. Refunded <input type="checkbox"/>	11	150,162.

Part VII-A Statements Regarding Activities

	Yes	No
1 a		X
b		X
c		X
d		
e		
2		X
3		X
4 a	X	
4 b	X	
5		X
6	X	
7	X	
8 a		
b	X	
9		X
10		X

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?
Website address WWW.JMKFUND.ORG
14 The books are in care of THE J.M. KAPLAN FUND Telephone no. 212-661-7777
Located at ONE BATTERY PARK PLAZA, 7TH FLOOR ZIP+4 10004-1405
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here
16 At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.
1a During the year did the foundation (either directly or indirectly):
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?
(6) Agree to pay money or property to a government official?
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):
a At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011?
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income?
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?
b If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period?
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? Yes No
 Organizations relying on a current notice regarding disaster assistance check here

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? Yes No
 If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No
 If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? Yes No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE ATTACHED SCHEDULE		362,081.	58,244.	0

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATTACHMENT 18		359,878.	89,841.	0

Total number of other employees paid over \$50,000 Yes No 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
ATTACHMENT 19		612,418.
Total number of others receiving over \$50,000 for professional services		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 NOT APPLICABLE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1	
2	
All other program-related investments. See instructions.	
3 NONE	
Total. Add lines 1 through 3	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	64,301,195.
b	Average of monthly cash balances	1b	10,951,126.
c	Fair market value of all other assets (see instructions)	1c	61,593,546.
d	Total (add lines 1a, b, and c)	1d	136,845,867.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	136,845,867.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	2,052,688.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	134,793,179.
6	Minimum investment return. Enter 5% of line 5	6	6,739,659.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	6,739,659.
2a	Tax on investment income for 2011 from Part VI, line 5	2a	139,838.
b	Income tax for 2011. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	139,838.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	6,599,821.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	6,599,821.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	6,599,821.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	9,983,666.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	9,983,666.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	139,838.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	9,843,828.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				6,599,821.
2 Undistributed income, if any, as of the end of 2011:				
a Enter amount for 2010 only				
b Total for prior years: 20 09, 20 08, 20 07				
3 Excess distributions carryover, if any, to 2011:				
a From 2006				
b From 2007 2,855,707.				
c From 2008 1,005,969.				
d From 2009 5,399,427.				
e From 2010 2,030,366.				
f Total of lines 3a through e	11,291,469.			
4 Qualifying distributions for 2011 from Part XII, line 4: ▶ \$ 9,983,666.				
a Applied to 2010, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2011 distributable amount				6,599,821.
e Remaining amount distributed out of corpus	3,383,845.			
5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:	14,675,314.			
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount - see instructions				
e Undistributed income for 2010. Subtract line 4a from line 2a. Taxable amount - see instructions				
f Undistributed income for 2011. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2012				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2006 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a	14,675,314.			
10 Analysis of line 9:				
a Excess from 2007 2,855,707.				
b Excess from 2008 1,005,969.				
c Excess from 2009 5,399,427.				
d Excess from 2010 2,030,366.				
e Excess from 2011 3,383,845.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling

Empty box for ruling date

b Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Table with columns: Tax year (a) 2011, Prior 3 years (b) 2010, (c) 2009, (d) 2008, (e) Total. Rows include 85% of line 2a, Qualifying distributions from Part XI, and alternative tests (Assets, Endowment, Support).

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000).

ATTACHMENT 20

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here [] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds.

a The name, address, and telephone number of the person to whom applications should be addressed:

ATTACHMENT 21

b The form in which applications should be submitted and information and materials they should include:

SEE STATEMENT ATTACHED

c Any submission deadlines:

SEE STATEMENT ATTACHED

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

SEE STATEMENT ATTACHED

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Table with 5 main columns: (a) Business code, (b) Amount, (c) Exclusion code, (d) Amount, (e) Related or exempt function income. Rows include Program service revenue, Membership dues, Interest on savings, Dividends, Net rental income, Gain or (loss) from sales, and Subtotal.

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Table with 2 columns: Line No. and Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes.

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code... a Transfers from the reporting foundation to a noncharitable exempt organization of: (1) Cash (2) Other assets b Other transactions: (1) Sales of assets to a noncharitable exempt organization (2) Purchases of assets from a noncharitable exempt organization (3) Rental of facilities, equipment, or other assets (4) Reimbursement arrangements (5) Loans or loan guarantees (6) Performance of services or membership or fundraising solicitations c Sharing of facilities, equipment, mailing lists, other assets, or paid employees d If the answer to any of the above is "Yes," complete the following schedule.

Table with 4 columns: (a) Line no., (b) Amount involved, (c) Name of noncharitable exempt organization, (d) Description of transfers, transactions, and sharing arrangements. Row 1 contains 'N/A' for (b) and (d).

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? Yes No

b If "Yes," complete the following schedule.

Table with 3 columns: (a) Name of organization, (b) Type of organization, (c) Description of relationship.

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee, Date, Title. May the IRS discuss this return with the preparer shown below (see instructions)? Yes No

Paid Preparer Use Only section containing fields for Print/Type preparer's name (JAMES J. REILLY), Preparer's signature, Date (NOV 15 2012), Firm's name (CONDON O'MEARA MCGINTY & DONNELLY L), Firm's address (ONE BATTERY PARK PLAZA NEW YORK, NY), Firm's EIN (13-3628255), and Phone no. (212-661-7777).

**FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		SEE SCHEDULE ATTACHED PROPERTY TYPE: SECURITIES				P	12322056.	
TOTAL GAIN (LOSS)							<u>12322056.</u>	

The J.M. Kaplan Fund, Inc.
990PF

31-Dec-11

	Cost	Proceeds	Gains/ Losses	Per Tax Return
Asia Capital Debt Investors	\$ 30,630	\$ 30,630	\$ -	
Colchester Bond Fund-See attached	\$ 28,756	\$ 42,539	\$ 13,783	
Elliott/Westgate	\$ 217,404	\$ 1,500,000	\$ 1,282,596	
Farallon Capital	\$ 59,672	\$ 59,672	\$ -	
Special Opportunity Fund	\$ 239,615	\$ 239,615	\$ -	
Sun Capital	\$ 257,300	\$ 257,300	\$ -	
Emerging Market Growth	\$ 7,857,703	\$ 8,457,960	\$ 600,257	
Pimco- See Attached	\$ 4,627,454	\$ 4,500,041	\$ (127,413)	
Dover Street VI	\$ 217,411	\$ 217,411	\$ -	
Spindrift--see attached	\$ -	\$ 1,080,527	\$ 1,080,527	
Special Situations- See Attached	\$ 15,615	\$ 222,703	\$ 207,088	
Prospect Harbor	\$ 732,112	\$ 732,112	\$ -	
Cedar Rock Capital	\$ 965,143	\$ 13,814,421	\$ 12,849,278 *	
High Rise	\$ 2,250,000	\$ 2,880,940	\$ 630,940 *	
Cedar Bridge	\$ 750,000	\$ 921,355	\$ 171,355 *	
	\$ 18,248,815	\$ 34,957,226	\$ 16,708,411	\$ 16,708,411
Limited Partnerships Gains and Losses	\$ -	\$ 9,619,897	\$ 9,619,897	
	\$ 18,248,815	\$ 44,577,123	\$ 26,328,308	\$ 26,328,308
Less: Partnership gains shown on Books Accounted for on K-1's	\$ -		\$ (13,651,573)	<u>\$ (13,651,573) *</u>
			\$ -	
Subtotal: Gains for Tax Purposes			<u>\$ 12,676,735</u>	
Loss on Limited Partnerships liquidated				\$ (256,746)
Less: Gains from Partnerships subject to UBIT				\$ (97,933)
Total Gains for Tax Return				<u>\$ 12,322,056</u>

The J.M. Kaplan Fund, Inc.
990PF December 31, 2011
EIN: 13-6090286
Partnership Gains and Losses

Adamas Opportunities	\$ 504,294
Adamas Opportunities 3yr	\$ 427,040
Adamas Partners	\$ 158,560
Adamas Partners 3yr	\$ 334,681
AEW Global	\$ (290,646)
Avanti II (Passive)	\$ 14,064
Avanti III(Passive)	\$ 275
Brandywine Global	\$ 15,910
Capital Z Partners	\$ 455,455
Cedar Bridge Capital	\$ 70,577
Cedar Rock Partners	\$ 6,961,624
Colchester Bond Fund	\$ 136,376
Dover Street III	\$ 45
Dover Street IV	\$ 76,637
Dover Street V	\$ 70,300
Farallon Capital	\$ (3,811)
High Rise Institutional Partners	\$ 296,457
Keyhaven Capital	\$ 14,713
KPP Investors II	\$ (115,954)
KPP Investors III	\$ 828
Metropolitan RE Global II	\$ 3,509
Metropolitan RE Partners IV	\$ (13,507)
Metropolitan RE Partners Int'l II	\$ (27,891)
Metropolitan RE Partners V	\$ 4,319
Paul Capital VI (10%)	\$ 2,762
Paul Capital VII(7.69%)	\$ 45,866
Paul Capital VIII	\$ 97,973
Prosepct Harbor	\$ 9,947
Semper Vic	\$ (35,251)
Special Opportunity Fund	\$ (2,967)
TCV III	\$ (49,623)
TCV IV	\$ 15,227
TCV V	\$ 40,465
Varde Fund VIII	\$ 60,264
Varde Fund IX	\$ 86,484
Venture Investment Assoc. IV	\$ 144,892
Venture Investment Assoc. V	\$ 71,156
Venture Inv. Assoc. VI	\$ 38,847

\$ 9,619,897

ATTACHMENT 1

FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
COMMUNITY CAPITAL BANK-CD	1,276.	1,276.
TOTAL	<u>1,276.</u>	<u>1,276.</u>

ATTACHMENT 2

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
INTEREST AND DIVIDENDS ON PARTNERSHIPS	2,019,002.	2,019,002.
INTEREST ON MONEY MARKET FUNDS	84,944.	84,944.
INTEREST ON NOTES RECEIVABLE	4,888.	4,888.
TOTAL	<u>2,108,834.</u>	<u>2,108,834.</u>

ATTACHMENT 3

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
OTHER PARTNERSHIP INCOME (LOSS)	1,814,309.	1,814,309.
LESS: AMOUNT ATTRIBUTABLE TO UBI		-133,828.
OTHER INCOME	2,409.	2,409.
PRI INCOME	5,200.	5,200.
TOTALS	<u>1,821,918.</u>	<u>1,688,090.</u>

ATTACHMENT 4

FORM 990PF, PART I - LEGAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
PROSKAUER ROSE-GENERAL COUNSEL	236,938.			228,206.
TOTALS	<u>236,938.</u>			<u>228,206.</u>

ATTACHMENT 5

FORM 990PF, PART I - ACCOUNTING FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
CONDON O'MEARA MCGINTY & DONNELLY-AUDIT & TAX SERVICE	31,096.	18,658.		21,564.
TOTALS	<u>31,096.</u>	<u>18,658.</u>		<u>21,564.</u>

ATTACHMENT 6

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>CHARITABLE PURPOSES</u>
CONSULTANT FEES AND STIPENDS	316,633.		
BRANDYWINE MANAGEMENT COMPANY- ADVISORY FEES	452,556.	452,556.	
BANK OF NEW YORK-CUSTODIAL FEE COLCHESTER	42,539.	736.	
PHILADELPHIA FINANCIAL	1,563.	42,539.	
TOTALS	<u>814,027.</u>	<u>497,394.</u>	<u>298,041.</u>

ATTACHMENT 7

FORM 990PF, PART I - INTEREST EXPENSE

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
INTEREST EXPENSE THRU PARTNERS	273,797.	273,797.
LESS: AMOUNT ALLOCATED TO UBI		-273,797.
TOTALS	<u>273,797.</u>	

ATTACHMENT 8

FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>CHARITABLE PURPOSES</u>
PAYROLL TAXES	35,423.	6,796.	28,627.
STATE TAXES ON U.B.I.	-8,800.		
DEFERRED EXCISE TAXES	-45,000.		
LESS: AMOUNT ALLOCATED TO UBI			
FEDERAL TAXES ON UBI	-7,993.	48,526.	
FOREIGN WITHHOLDING-L.P.'S	48,526.		
FEDERAL EXCISE TAX	-72,626.		
TOTALS	<u>-50,470.</u>	<u>55,322.</u>	<u>28,627.</u>

ATTACHMENT 9

FORM 990PF, PART I - OTHER EXPENSES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>CHARITABLE PURPOSES</u>
INSURANCE	21,195.	6,359.	13,309.
TELEPHONE	16,503.	4,951.	10,920.
SUPPLIES	6,397.	1,919.	4,416.
REPAIRS AND MAINTENANCE	13,977.	3,935.	9,608.
FILING FEES	1,500.		1,500.
MISCELLANEOUS	13,045.	4,364.	4,912.
LIMITED PARTNERSHIP DEDUCTIONS		1,331,128.	
LESS: AMOUNT ALLOCATED TO UBI	1,331,128.	-19,706.	
TOTALS	<u>1,403,745.</u>	<u>1,332,950.</u>	<u>44,665.</u>

ATTACHMENT 10

FORM 990PF, PART II - PREPAID EXPENSES AND DEFERRED CHARGES

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
PREPAID EXPENSES	11,814.	11,814.
TOTALS	<u>11,814.</u>	<u>11,814.</u>

FORM 990PF, PART II - U.S. AND STATE OBLIGATIONS

ATTACHMENT 11

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
PIMCO	360,803.	360,803.
US OBLIGATIONS TOTAL	<u>360,803.</u>	<u>360,803.</u>

ATTACHMENT 12

FORM 990PF, PART II - CORPORATE STOCK

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
SEE ATTACHED SCHEDULE	44,068,873.	44,068,873.
TOTALS	<u>44,068,873.</u>	<u>44,068,873.</u>

The J.M. Kaplan Fund, Inc.
Investments- Corporate Stock
990PF- Part II Line 10B
EIN : 13-6090286

	Cost Value	Market Value
Sun Capital	\$ 2,606,262	\$ 1,102,497
Brookside Cayman	\$ 7,664,037	\$ 12,014,607
Chilton Global Natural Resources	\$ 4,500,000	\$ 4,046,625
Westgate Associates	\$ 2,455,638	\$ 15,027,820
HopLite	\$ 5,000,000	\$ 5,108,087
Special Opportunitfes Fund	\$ 2,433,023	\$ 2,503,943
Dover Street VI	\$ 1,056,665	\$ 1,437,293
Spindrift A Class Series 1	\$ -	\$ 409,343
Asia Capital Debt Investors	\$ 1,376,548	\$ 1,681,276
Asia Capital Tax Exempt Investors	\$ 559,721	\$ 737,382
	<u>\$ 27,651,894</u>	<u>\$ 44,068,873</u>

ATTACHMENT 13

FORM 990PF, PART II - CORPORATE BONDS

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
PHILADELPHIA FIN.- AVENTI VI	888,417.	888,417.
TOTALS	<u>888,417.</u>	<u>888,417.</u>

ATTACHMENT 14

FORM 990PF, PART II - OTHER INVESTMENTS

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
EQUITY IN LIMITED PARTNERSHIPS PROGRAM RELATED INVESTMENTS	63,602,315. 260,000.	63,602,315. 260,000.
TOTALS	<u>63,862,315.</u>	<u>63,862,315.</u>

ATTACHMENT 15

FORM 990PF, PART II - OTHER ASSETS

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
ART WORK	57,500.	57,500.
TOTALS	<u>57,500.</u>	<u>57,500.</u>

ATTACHMENT 16

FORM 990PF, PART II - OTHER LIABILITIES

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>
DEFERRED TAXES	163,000.
TOTALS	<u>163,000.</u>

ATTACHMENT 17FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES

<u>DESCRIPTION</u>	<u>AMOUNT</u>
UNREALIZED LOSS FROM INVESTMENTS & L.P.	27,594,233.
TOTAL	<u>27,594,233.</u>

The J.M. Kaplan Fund, Inc.
Ein: 13-6090286
Attachment to the 2011 Form 990PF
Statement Required by Reg. 53.4945-5(d)

Information with Respect to Expenditure Responsibility Grants

- (1) Grantee: Train Foundation**
6 West 48th Street, 10th Floor
New York, NY 10036
- (2) Amount and Date Paid: \$10,000 paid on December 19, 2011**
- (3) Total paid: \$10,000**
- (4) Purpose of Grant: For the Paul Klebnikov Fund's journalism internship program.**
- (5) Amount of Grant Spent by Grantee: \$10,000**
- (6) Diversion: To the knowledge of the foundation, and based on the report furnished by the grantee, no part has been used for other than its intended purpose.**
- (7) Date of Report Received from Grantee: October 2012**
- (8) The J.M. Kaplan Fund, Inc. reviewed the grant report on October 15, 2012 but did not undertake any verification of the grantee's reports as there has not been any reason to doubt their accuracy or reliability (Reg. 53.4945-5 (c)).**

The J.M. Kaplan Fund, Inc.

Ein: 13-6090286

Attachment to the 2011 Form 990PF

Statement Required by Reg. 53.4945-5(d)

Information with Respect to Expenditure Responsibility Grants

- (1) Grantee: Saint Gaudens Memorial
34 South Highland Avenue
Ossining, NY 10562**
- (2) Amount and Date Paid: \$5,000 paid on December 22, 2011**
- (3) Total paid: \$5,000**
- (4) Purpose of Grant: For the on-going exhibition programs and concert series that support the National Historic Site in Cornish, NH and to promote awareness of the work of Augustus Saint-Gaudens.**
- (5) Amount of Grant Spent by Grantee: \$5,000**
- (6) Diversion: To the knowledge of the foundation, and based on the report furnished by the grantee, no part has been used for other than its intended purpose.**
- (7) Date of Report Received from Grantee: May 1, 2012**
- (8) The J.M. Kaplan Fund, Inc. reviewed the grant report on May 1, 2012 but did not undertake any verification of the grantee's reports as there has not been any reason to doubt their accuracy or reliability (Reg. 53.4945-5 (c)).**

The J.M. Kaplan Fund, Inc.
For the year ended
December 31, 2011

<u>TRUSTEE/Address</u>	<u>Hours Worked/Wk</u>	<u>Contributions to "EE" Benefit Plans</u>	<u>Expense Account</u>	<u>Compensation</u>
Note: The mailing address for All Trustees is:				
C/o The J.M Kaplan Fund, Inc. 261 Madison Avenue 19 th Fl. New York, NY 10016				
Joan Davidson, Trustee	3-5	None	None	5,000
Elizabeth K. Fonseca, Trustee	3-5	None	None	5,000
Mary E. Kaplan, Trustee	3-5	None	None	5,000
Richard D. Kaplan, Trustee	3-5	None	None	5,000
J. Matthew Davidson, Trustee	3-5	None	None	9,000
Brad Davidson, Trustee	3-5	None	None	9,000
Elizabeth Davidson Pickering, Trustee	3-5	None	None	9,000
Peter Davidson, Trustee	3-5	None	None	9,000
Caio Fonseca, Trustee	3-5	None	None	7,000
Isabel Fonseca, Trustee	3-5	None	None	9,000
Quina Fonseca, Trustee	3-5	None	None	9,000
Conn Nugent, Exec. Director/Secretary	40	<u>58,244</u>	<u>None</u>	<u>\$281,081</u>
		<u>\$ 58,244</u>	<u>\$ -0-</u>	<u>\$362,081</u>

990PE, PART VIII - COMPENSATION OF THE FIVE HIGHEST PAID EMPLOYEES

ATTACHMENT 18

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS EXPENSE ACCT TO EMPLOYEE AND OTHER BENEFIT PLANS ALLOWANCES</u>
WILLIAM FALAHEE 261 MADISON AVENUE NEW YORK, NY 10016	CONTROLLER 40.00	129,802.	43,678. 0
ANGELA CARABINE 261 MADISON AVENUE NEW YORK, NY 10016	GRANTS MANAGER 40.00	103,057.	35,156. 0
LAURA HANSEN 261 MADISON AVENUE NEW YORK, NY 10016	PROGRAM OFFICER 30.00	65,493.	5,624. 0
ANN BIRCKMAYER 261 MADISON AVENUE NEW YORK, NY 10016	OFFICE ASSISTANT 30.00	61,526.	5,383. 0
TOTAL COMPENSATION		<u>359,878.</u>	<u>89,841. 0</u>

990PF, PART VIII- COMPENSATION OF THE FIVE HIGHEST PAID PROFESSIONALSATTACHMENT 19

<u>NAME AND ADDRESS</u>	<u>TYPE OF SERVICE</u>	<u>COMPENSATION</u>
BRANDYWINE MANAGEMENT CO. 880 THIRD AVENUE NEW YORK, NY	INVESTMENT SERVICES	452,556.
SUZETTE BROOKS MASTERS 40 EAST 88TH STREET NEW YORK, NY 10075	GRANT CONSULTANT	87,762.
KEN LUSTBADER 37 WEST 12TH STREET NEW YORK, NY 10011	GRANT CONSULTANT	72,100.
	TOTAL COMPENSATION	<u>612,418.</u>

FORM 990PF, PART XV - INFORMATION REGARDING FOUNDATION MANAGERS

JACOB M. KAPLAN-DECEASED
HENRY C. KAPLAN-DECEASED

ATTACHMENT 21

FORM 990PF, PART XV - NAME, ADDRESS AND PHONE FOR APPLICATIONS

SEE STATEMENT ATTACHED

J.M.

KAPLAN

FUND

[Letter from the Chairman](#)
[History of the Fund](#)
[Grant Programs](#)
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Guidelines

Organizations interested in applying for a grant from the Environment, Historic Preservation, or Migration programs should send a brief letter of inquiry to Angela Carabine, Grants Manager, J.M. Kaplan Fund, 261 Madison Ave, 19th floor, NY NY 10016. Applicants will be notified within thirty days if they are to submit a full proposal.

Requests for interviews or personal meetings will be honored only after the submission of a written letter of inquiry.

Organizations interested in the Furthermore program should contact Ann Birckmayer, Program Associate, Furthermore Grants in Publishing, PO Box 667, Hudson NY 12534, telephone 518-828-8900.

Discretionary grants are made by individual trustees on the basis of their own investigations; no applications or proposals will be reviewed.

J.M.
KAPLAN
FUND

Letter from the Chairman
History of the Fund
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Grant Programs

The J. M. Kaplan Fund is a New York-based family foundation. The four children and seven grandchildren of Jacob M. Kaplan constitute the Fund's Board of Trustees. Assets as of March 2009 are approximately \$120 million. Three-fifths of the annual grants budget is awarded by the trustees acting in common.

I. COMMON GRANTS

There are four official Fund grant programs:

- City Life
- Environment
- Historic Preservation
- Migrations

City Life Program.

The City Life Program concentrates on public spaces and public services throughout the five boroughs of New York City. It focuses particularly on *parks* and *streets*.

- **Parks.** Projects to: assure public access to well-maintained parks and greenery in all neighborhoods; enhance public uses of harbor and shoreline; and promote community participation in parks governance and operations.
- **Streets.** Projects to: advocate more and better rail, bus, and water transport; expand pedestrian zones and bikepaths; and regulate truck and automobile traffic.

Environment Program.

The Environment Program concentrates on marine conservation, especially in ocean waters that lie beyond the jurisdiction of a single national government. The program currently supports grantees working to: create international protections for species and ecoregions of the High Seas; educate scientists and the public about the value and vulnerability of the ocean as a world system; and foment civil society movements to protect Arctic waters and Arctic coastal communities.

Historic Preservation.

The Operating Board is currently reviewing proposals for the preservation and protection of major archaeological sites in North Africa and Southwest Asia. In addition, the Fund also supports: development of a movement to inventory and protect North American industrial architecture; zoning protections for twentieth century architecture in Miami and Havana; and the rescue of particularly valuable / particularly threatened buildings in New York City.

Migrations.

The Fund aims to support: a) comprehensive immigration policy reform for the United States; and b) the integration of immigrants into local and national communities. The former aim is pursued through grants for public education and advocacy. The latter is pursued by efforts, initiated by the Fund, to: bolster local immigrant-friendly policies and programs; highlight immigrant contributions to the commonweal; and establish, with the Migration Policy Institute, a new award – the E Pluribus Unum Prize – intended to honor government agencies, non-profit organizations, businesses, and individuals who have succeeded in integrating recent immigrants and adding value to the larger community.

Application Procedures.

The great majority of projects funded through the four Common Grant programs

are solicited by Kaplan Fund staff. Unsolicited written inquiries are welcome, however. Organizations should submit a brief letter – no more than two pages – describing their work and its relevance to the specific program interests of the Fund. Send to: Angela Carabine, Grants Manager, JM Kaplan Fund, 261 Madison Avenue 19th Floor, New York NY 10016. Telephone inquiries are discouraged.

II. FURTHERMORE


In addition to these principal efforts, the Fund also sponsors Furthermore grants in publishing, a program directed by president emeritus Joan K. Davidson. Furthermore supports books on art, architecture, and design; conservation; cultural history; and public issues. More information about Furthermore can be obtained from the program's office at PO Box 667, Hudson NY 12534, telephone 518-828-8900.

III. DISCRETIONARY GRANTS

Approximately two-fifths of the Fund's annual grants budget is distributed to organizations selected by individual trustees on the basis of their own investigations. These discretionary grants support a wide variety of activities, including:

- art, architecture, publishing, and design;
- conservation of land and buildings;
- policy analysis and discussion of civic issues;
- human rights and social justice.

Most discretionary grants support groups or activities in New York City and New York State, the traditional focus of Kaplan Fund philanthropy. **Discretionary grant proposals are by invitation only.**



FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 22

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR
AND
FOUNDATION STATUS OF RECIPIENT

RECIPIENT NAME AND ADDRESS

PURPOSE OF GRANT OR CONTRIBUTION

AMOUNT

SEE STATEMENT ATTACHED
ED

NONE
PUBLIC

SEE SCHEDULE ATTACHED

8,253,827.

TOTAL CONTRIBUTIONS PAID

8,253,827.

The J.M. Kaplan Fund, Inc.

Ein: 13-6090286

List of Paid Grants for 2011

Payee Legal Name	Request ID	Request Project Title	Amount
The Walter and Duncan Gordon Foundation	2009104	Gordon-Kaplan Northern Fellowship	\$100,000.00
President and Fellows of Harvard College	2009319	Conservation of the Lydian Altar and Synagogue Mosaics at Sardis, Turkey	\$35,000.00
Montana Preservation Alliance Inc.	2009323	Montana's Endangered Industrial Architecture Re-Grant Program: "Titans of Industry" Grants	\$40,000.00
Consultative Group on Biological Diversity	2010029	2010/2011 Membership	\$5,000.00
Barnard College	2010077	Enhancing Grammar Studies at Barnard College	\$12,000.00
New York University	2009315	Excavations at Aphrodisias: 1) Anastylis of Propylon (\$300,000 1:1 match); 2) Conservation of the Hadrianic Baths (\$100,00 1:1 match); 3) Stabilization of Colonnades of Theater & Agora (\$100,000); 4) Operating Support (\$50,000)	\$175,000.00
Penn Praxis Inc.	2009318	Gordion Pebble Mosaic Conservation Program	\$50,000.00
World Monuments Fund Inc.	2009317	1) Restoration of the Ani Cathedral (\$450,000); 2) Restoration of Ani Church of the Redeemer (\$100,000)	\$150,000.00
The Municipal Art Society of New York	2010081	Demonstration Project to Improve Efficiency of a Historic Building; and "Green" House Manual Publication	\$37,500.00
New York Landmarks Conservancy, Inc.	2010076	Sacred Sites Program	\$50,000.00
Cherokee Charitable Foundation	2010225	Mindful Living Initiative, aka The Green Communities Initiative	\$250,000.00
Essential Information, Inc.	2010280	General Operating Support	\$45,753.00
Raritan Baykeeper Inc.	2010278	Fair Share Harbor Funding Campaign: Feasibility Study	\$5,000.00
Support Center for Nonprofit Management Inc.	2010284	General Operating Support	\$750.00
Philanthropy New York	2010283	General Operating Support	\$750.00
Interfaith Center of New York Inc.	2010281	General Operating Support	\$10,000.00
New York Foundation for the Arts Inc.	2010282	Artist Fund	\$22,992.00
National Parks Conservation Association	2010312	To convene a roundtable and project briefing in 2011 for NY/NJ Harbor Coalition members and potential funders.	\$10,000.00
Center for American Progress	2010270	Receiving Communities Initiative (RCI)	\$40,000.00
The New-York Historical Society	2010322	For the book, "Stories in Sterling: Four Centuries of Silver in New York"	\$5,000.00
Brooklyn Institute of Arts & Sciences	2010314	For the book, "Youth and Beauty: Art of the American Twenties"	\$10,000.00
Printed Matter Inc.	2010325	For the book, "Field Guide to The High Line"	\$4,000.00
Photo Based Art Inc.	2010324	For the book, "Blind Spot Series 01: Hudson Valley"	\$4,000.00

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Payee Legal Name	Request ID	Request Project Title	Amount
The Museum of Fine Arts of Houston	2010320	For the book, "Carlos Cruz-Diez: Color in Time and Space"	\$5,000.00
Smithsonian Institution	2010326	For the book, "To Make a World: George Ault in 1940s America"	\$5,000.00
American Friends of the Trasimeno Music Festival, Inc.	2011071	General Support	\$10,000.00
Coudert Institute, Villa Dei Fiori Inc.	2011031	General Operating Support	\$15,000.00
The English Speaking Union of the United States	2011030	General Operating Support	\$12,000.00
Playwrights' Preview Productions Ltd.	2011029	General Operating Support	\$15,000.00
Musica Sacra of New York Inc.	2011053	General Operating Support	\$2,500.00
WNYC Radio	2011051	General Operating Support	\$2,500.00
The Olana Partnership	2011050	General Operating Support	\$2,500.00
Scenic Hudson, Inc.	2011049	General Operating Support	\$2,500.00
PEN American Center, Inc.	2011046	General Operating Support	\$5,000.00
W.A.M.C.	2011047	General Operating Support	\$5,000.00
Essential Information, Inc.	2011074	General Operating Support	\$15,000.00
Poets House Inc.	2011073	General Operating Support	\$10,000.00
American Heart Association, Inc.	2011085	General Support	\$75.00
Cardinal's Archdiocesan Appeal	2011087	The Stewardship Appeal - Holy Rosary Church, Hawthorne, NY	\$120.00
Gilman School Inc.	2011083	The Gilman Fund - 2010/2011	\$2,000.00
Key School Inc.	2011078	2010/2011 Annual Fund	\$5,000.00
The Nature Conservancy	2011099	General Operating Support	\$2,500.00
St. Luke's Chamber Ensemble, Inc.	2011102	General Operating Support	\$2,500.00
Annapolis Maritime Museum Inc.	2011081	General Operating Support	\$2,000.00
Free Africa Foundation, Inc.	2011114	General Operating Support	\$15,000.00
Puente de la Costa Sur	2011120	General Operating Support	\$10,000.00
Foundation for Sustainability and Peacemaking in Mesoamerica	2011075	General Operating Support	\$20,000.00
Bard College	2011096	Bard Music Festival	\$2,500.00
Battery Conservancy, Inc.	2011092	General Operating Support	\$5,000.00
Glynwood Center Inc.	2011093	General Operating Support	\$2,500.00
Mohonk Preserve, Inc.	2011097	General Operating Support	\$2,500.00
National Association for Olmsted Parks	2011098	General Operating Support	\$2,500.00
Seventh Regiment Armory Conservancy Inc.	2011100	General Operating Support	\$2,500.00
International Rescue Committee, Inc.	2010310	General Operating Support	\$2,500.00
Coalition for the Homeless, Inc.	2010305	General Operating Support	\$2,500.00
Amnesty International USA Inc.	2010309	General Operating Support	\$2,500.00
Board of Trustees of the Leland Stanford Jr. University	2010279	\$25,000 for The Stanford Fund for Undergraduate Education; \$100,000 for The J. M. Kaplan Fund for the English Department	\$25,000.00
Manhattan Soccer Club Inc.	2011017	General Operating Support	\$5,000.00
Essential Information, Inc.	2011016	General Operating Support	\$60,000.00
HelpArgentina	2011026	Tango Digital Archive Initiative of TangoVía Buenos Aires	\$10,000.00
Holy Rosary Church	2011072	General Support	\$1,668.00
L.S.U. Foundation	2010319	For the book, "A History of New Orleans Landscape Design"	\$5,000.00

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Payee Legal Name	Request ID	Request Project Title	Amount
Whitney Museum of American Art	2010328	For the book, "Lyonel Feininger: At the Edge of the World"	\$7,500.00
Parks & Trails New York Inc.	2010323	For the book, "Cycling in the Hudson Valley: A guide to 150 mile adventure, history, art, and architecture along the Hudson River"	\$5,000.00
Smithsonian Institution	2010316	For the book, "Color Moves: Art and Fashion by Sonia Delaunay"	\$7,500.00
West Virginia University Foundation Inc.	2010327	For the book, "Uncommon Vernacular: The Early Houses of Jefferson County, WV 1735-1835"	\$7,000.00
C & O Canal Trust Inc.	2010315	For the book, "A Washington Sketchbook: Drawings by Robert L. Dickinson, from 1917-1918"	\$3,500.00
The Grolier Club of the City of New York	2010317	For the book, "The Best of Both Worlds: Finely Printed Livres d'Artiste"	\$4,500.00
City Harvest, Inc.	2010302	General Operating Support	\$2,500.00
Civitas Citizens Inc.	2010288	General Operating Support	\$2,500.00
North Country School	2010297	General Operating Support	\$2,500.00
Parks & Trails New York Inc.	2010298	General Operating Support	\$2,500.00
Shaker Museum and Library	2010299	General Operating Support	\$2,500.00
City Harvest, Inc.	2011063	General Operating Support	\$600.00
Fifth Avenue Committee Inc.	2011065	General Operating Support	\$400.00
Food Bank for New York City, Food for Survival	2011064	General Operating Support	\$600.00
Make the Road New York	2011060	General Operating Support	\$1,000.00
Transportation Alternatives Inc.	2011062	General Operating Support	\$600.00
Civitas Citizens Inc.	2011057	General Operating Support	\$2,500.00
Friends of the Upper East Side Historic Districts	2011056	General Operating Support	\$2,500.00
New York Stem Cell Foundation Inc.	2011044	General Operating Support	\$10,000.00
Landmark West Inc.	2011054	General Operating Support	\$2,500.00
New York Society Library Trustees	2011052	General Operating Support	\$2,500.00
Association of Community Employment Programs for the Homeless Inc.	2011037	General Operating Support	\$10,000.00
The British Memorial Garden Trust Inc.	2011038	General Operating Support	\$5,000.00
Columbia-Greene Hospital Foundation	2011039	General Operating Support	\$5,000.00
Westminster College	2011035	For preservation, archival and general operating support for the National Churchill Museum	\$15,000.00
Cookies for Kids Cancer	2011086	General Support	\$150.00
Environmental Grantmakers Association	2011024	2011 Membership	\$3,145.00
Association of Small Foundations	2011023	2011 Membership	\$2,000.00
Philanthropy New York	2011021	2011 Membership	\$12,600.00
Riverkeeper Inc.	2011048	General Operating Support	\$2,500.00
Hudson Opera House, Inc.	2011040	General Operating Support	\$5,000.00
The Municipal Art Society of New York	2011041	General Operating Support	\$5,000.00
Museum of Arts & Design	2011034	General Operating Support	\$10,000.00
Regional Plan Association, Inc.	2011032	General Operating Support	\$50,000.00
Payee Legal Name	Request ID	Request Project Title	Amount

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The Skyscraper Museum	2011042	General Operating Support	\$5,000.00
Susan Smith Blackburn Prize, Inc.	2011045	General Operating Support	\$1,000.00
Beacon School Parent Association	2011020	General Support	\$25,000.00
Brooklyn Friends School	2011058	2010/2011 Annual Fund	\$4,000.00
Center for Urban Pedagogy Inc.	2011059	General Operating Support	\$500.00
Transportation Alternatives Inc.	2011007	Winning Public Opinion through a Bicycle Ambassador Team	\$75,000.00
Mayor's Fund to Advance New York City	2011006	Bike Share - Public Information Campaign	\$200,000.00
Trust for Public Land	2011011	New York Harbor Program	\$50,000.00
City Parks Alliance Inc.	2011012	International Urban Parks Conference 2012	\$50,000.00
Metropolitan Waterfront Alliance Inc.	2011010	Implementing the Waterfront Action Agenda	\$50,000.00
Prospect Park Alliance, Inc.	2011013	General Operating Support - Tribute to Tupper Thomas' 30-year Leadership	\$30,000.00
Regional Plan Association, Inc.	2011009	Governors Island Alliance: Creating An Invested Constituency	\$50,000.00
Ocean Foundation	2011028	To launch High Seas Alliance	\$25,000.00
Atlas Economic Research Foundation	2010242	Fund for the Study of Spontaneous Orders	\$220,000.00
National Parks Conservation Association	2011008	New York/New Jersey Harbor Coalition	\$200,000.00
Antarctic and Southern Ocean Coalition	2011005	For campaign to achieve MPA status for the Ross Sea and the proposed network of Southern Ocean MPAs.	\$37,500.00
National Trust for Historic Preservation in the U.S.	2011001	To support Industrial Heritage Protection in North America - Advancing the Movement	\$50,000.00
Illinois Coalition for Immigrant and Refugee Rights	2011004	"Uniting America: Citizens. Volunteers. Good Neighbors" Project, Receiving Communities Liaison component	\$75,000.00
Public Interest Projects Inc.	2011002	To support the activities of the Four Freedoms Fund, a project of Public Interest Projects	\$150,000.00
World Education Services	2011003	Poised to Launch: Catalyzing Career Pathways for Foreign-Trained Professionals, a new alliance to address the workforce needs of foreign trained professionals.	\$175,000.00
Van Alen Institute: Projects in Public Architecture	2011022	Launch of Van Alen Books	\$25,000.00
Prisoner & Visitation Support Committee	2011019	General Operating Support	\$10,000.00
Restore NYC, Inc.	2011018	General Operating Support	\$10,000.00
Time & Space Limited Theatre Company Inc.	2010300	General Operating Support	\$2,500.00
Wilderstein Preservation	2010301	General Operating Support	\$2,500.00
Food Bank for New York City, Food for Survival	2010304	General Operating Support	\$2,500.00
Church of the Holy Apostles	2010306	Holy Apostle Soup Kitchen	\$2,500.00
New York Cares, Inc.	2010307	General Operating Support	\$2,500.00
Citymeals on-Wheels	2010303	General Operating Support	\$2,500.00
New York League of Conservation Voters Education Fund Inc.	2010295	General Operating Support	\$2,500.00
Columbia Land Conservancy, Inc.	2010289	General Operating Support	\$10,000.00
Historic Hudson, Inc.	2010290	General Operating Support	\$1,500.00
Payee Legal Name	Request ID	Request Project Title	Amount
Hudson Opera House, Inc.	2010291	General Operating Support	\$9,924.00

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Hudson River Sloop Clearwater, Inc.	2010292	General Operating Support	\$5,000.00
Berkshire Taconic Community Foundation, Inc.	2010287	TBD/General Operating Support	\$2,500.00
National Association for Olmsted Parks	2010294	General Operating Support	\$2,500.00
American Farmland Trust	2010286	New York State General Operating Support	\$2,500.00
Martha Graham Center of Contemporary Dance	2010296	General Operating Support	\$1,000.00
Hudson River Heritage, Inc.	2010293	General Operating Support	\$2,500.00
Beaux Arts Alliance, Inc.	2010313	For the book, "Magnificent Enemies"	\$4,000.00
Nation Institute	2010321	For the book, "The Noir 40s"	\$5,000.00
Oxfam-America Inc.	2010311	General Operating Support	\$2,500.00
International Rescue Committee, Inc.	2010308	General Operating Support	\$2,500.00
Parks & Trails New York Inc.	2011101	General Operating Support	\$2,500.00
Young Life	2011119	General Operating Support	\$10,000.00
Publicolor Inc.	2011115	General Operating Support	\$1,000.00
Lenox Hill Hospital	2011055	General Operating Support	\$5,000.00
The Society of the Four Arts Inc.	2011036	General Operating Support	\$10,000.00
Beth Israel Medical Center	2011043	\$10,000 The Martin M. Feuer M.D. Education Fund \$10,000 General Operating Support allocated by Dr. Marvin McMillen	\$20,000.00
Neighborhood Economic Development Advocacy Project	2011061	General Operating Support	\$1,000.00
City Lore Inc.	2011066	General Operating Support	\$300.00
Urban Justice Center	2011070	Street Vendor Project	\$250.00
Mount Holyoke College	2011116	Alumnae Annual Fund	\$10,000.00
Maryland Hall for the Creative Arts, Inc.	2011079	General Operating Support	\$5,000.00
New York Foundation for the Arts Inc.	2011015	Artists Fund	\$234,605.00
The Preservation League of New York State	2011089	General Operating Support	\$10,000.00
Historic Districts Council, Inc.	2011094	General Operating Support	\$2,500.00
Winnakee Land Trust Inc.	2011105	General Operating Support	\$2,500.00
North Country School	2011080	2010/2011 Annual Fund	\$5,000.00
London Town Foundation, Inc.	2011084	General Operating Support	\$1,000.00
St. John's College	2011076	SJC Annual Fund: 2011/2012	\$30,000.00
New York Preservation Archive Project	2011106	General Operating Support	\$1,000.00
The Municipal Art Society of New York	2011088	General Operating Support	\$10,000.00
Int'l Union for Conservation of Nature & Natural Resources	2011137	High Seas Conservation Initiative	\$100,000.00
Natural Resources Defense Council, Inc.	2011138	Integrating Strengthened International Marine Governance into U.S. Arctic Policy in partnership with WWF US and Clear Air Cool Planet	\$50,000.00
Clean Air Cool Planet Inc. A Northeast Alliance	2011139	"Strengthening the Arctic Council's Conservation Role"	\$40,000.00
The Brookings Institution	2011153	Metropolitan Policy Program	\$20,000.00
The Bryn Mawr School for Girls of Baltimore City	2011136	Annual Fund 2011/2012	\$20,000.00
Philharmonic-Symphony Society of New York, Inc.	2011152	General Operating Support	\$5,000.00
Payee Legal Name	Request ID	Request Project Title	Amount
Parikrma USA Inc.	2011191	General Operating Support	\$3,000.00

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The Point Community Development Corporation	2011189	General Operating Support	\$40,000.00
PEN American Center, Inc.	2011192	PEN/Saul Bellow Award for Achievement in American Fiction	\$2,500.00
Phoenix Art Museum	2011147	To support the acquisitions of Western American Art	\$40,000.00
Natural Resources Defense Council, Inc.	2011169	General Operating Support	\$5,000.00
Natural Resources Defense Council, Inc.	2011170	General Operating Support - Midwest Regional Office	\$5,000.00
The Colorado College	2011172	2011/2012 Annual Fund	\$5,000.00
Chicago Public Media Inc.	2011174	General Operating Support	\$5,000.00
Open Space Institute, Inc.	2011176	General Operating Support	\$5,000.00
Bennington College Corporation	2011177	Annual Fund	\$5,000.00
Community Foundation of Anne Arundel County Inc.	2011077	General Operating Support	\$5,000.00
Annapolis Symphony Orchestra Association Inc.	2011082	General Operating Support	\$2,000.00
Tri-State Transportation Campaign Inc.	2011127	BRT/Select Bus Service (SBS) Coalition	\$50,000.00
Sustainable South Bronx	2011131	South Bronx Greenway	\$30,000.00
The Point Community Development Corporation	2011132	South Bronx Greenway and Riverside Campus for Arts and the Environment	\$30,000.00
New Yorkers for Parks	2011133	Open Space Index: Growing Up Healthy in East Harlem, and Report Card on Large Parks	\$30,000.00
Transportation Alternatives Inc.	2011125	BRT/Select Bus Service (SBS) Coalition - Rider Rebellion Campaign	\$50,000.00
UPROSE	2011135	Sunset Park Greenway-Blueway and Waterfront Park	\$30,000.00
Pratt Institute	2011126	Pratt Center for Community Development: BRT/Select Bus Service (SBS) Coalition	\$50,000.00
Bronx River Alliance Inc.	2011130	Bronx River Greenway	\$50,000.00
Environmental Defense Fund Inc.	2011128	BRT/Select Bus Service (SBS) Coalition	\$50,000.00
Brooklyn Greenway Initiative	2011129	Brooklyn Waterfront Greenway	\$50,000.00
Brooklyn Bridge Park Conservancy Inc.	2011134	Environmental Education Programming	\$30,000.00
Pacific Environment and Resource Center	2011140	Amplifying Community Voices: Mitigating the Impact of International Shipping and other Threats to Arctic Communities and their Environment	\$40,000.00
Environmental Defense Fund Inc.	2011141	Protecting Biodiversity in Cuba by Developing a Strong Foundation for Scientific Exchange and Environmental Cooperation between Cuba and the US	\$45,000.00
New York Foundation for the Arts Inc.	2011025	Artists Fund	\$10,300.00
Habitat for Humanity International	2011143	Global Village Department Event Code: GV11343	\$2,000.00
American Heart Association, Inc.	2011142	Jump Rope for Heart Program	\$75.00
Ocean Foundation	2011027	Tri-national Initiative for Marine Research & Conservation in the Gulf of Mexico and Western Caribbean	\$30,000.00

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Payee Legal Name	Request ID	Request Project Title	Amount
1The Pew Charitable Trusts	2011145	Oceans North International Arctic Fisheries Campaign	\$50,000.00
Endometriosis Foundation of America Inc.	2011117	General Operating Support	\$5,000.00
Gentlemen of the Gardens Inc.	2011033	General Operating Support	\$10,000.00
New York Public Interest Research Group Fund Inc.	2011124	Straphangers Campaign: Winning Faster and More Reliable Bus Service	\$50,000.00
Westchester Community College Foundation Inc.	2011112	Community College Consortium for Immigration Education (CCCIE)	\$125,000.00
Bermuda Biological Station for Research Inc.	2011107	To develop the core of a data repository for all scientific data collected in the Sargasso Sea.	\$50,000.00
Welcoming America, Inc.	2011109	General Operating Support	\$100,000.00
Migration Policy Institute	2011108	E Pluribus Unum National Awards Program	\$300,000.00
Progressive States Network	2011111	States Immigration Project	\$40,000.00
Center for New Community	2011110	Building Democracy Initiative	\$150,000.00
Grants Managers Network Inc.	2011123	2011 Membership	\$2,000.00
OneAmerica	2011113	To fund the track, "Engaging Host Communities" at the 4th Annual National Immigrant Integration Conference.	\$20,000.00
The Foundation Center.	2011122	2011 Membership	\$7,500.00
The Architectural League of New York	2011091	General Operating Support	\$5,000.00
Time & Space Limited Theatre Company Inc.	2011104	General Operating Support	\$2,500.00
North Country School	2011146	\$25,000 for 2010/2011 Capital Campaign; \$10,000 for 2010/2011 Annual Fund	\$35,000.00
New York Stem Cell Foundation Inc.	2011194	General Operating Support	\$15,000.00
The Culture Project Inc.	2011197	General Operating Support	\$10,000.00
Thomas Cole Historic House	2011208	General Operating Support	\$5,000.00
Constitutional Education Foundation, Inc.	2011238	The Constitution Works Program	\$2,500.00
Chicago Public Media Inc.	2011239	"Sound Opinions" Programming	\$2,500.00
ANAI Inc.	2011150	Stream and Watershed Biomonitoring in the Greater Talamanca Region Program	\$5,000.00
Ensemble Studio Theatre, Inc.	2011241	General Operating Support for Program, including theater development	\$100,000.00
Brooklyn Academy of Music, Inc.	2011180	General Operating Support	\$5,000.00
Columbia County Historical Society, Inc.	2011181	General Operating Support	\$5,000.00
Columbia-Greene Hospital Foundation	2011182	General Operating Support	\$5,000.00
New York Shakespeare Festival	2011183	General Operating Support	\$5,000.00
The SS Columbia Project	2011184	General Operating Support	\$5,000.00
Four Nations, Inc.	2011185	General Operating Support	\$3,000.00
Manitoga Inc.	2011186	General Operating Support	\$2,500.00
Thomas Cole Historic House	2011187	General Operating Support	\$2,500.00
Historic Hudson, Inc.	2011188	General Operating Support	\$1,500.00
North Country School	2011171	For Capital Campaign in general, and the paying down of outstanding debt, in particular.	\$250,000.00
Poets House Inc.	2011247	General Operating Support	\$6,000.00
Putney School Inc.	2011246	Annual Fund	\$5,000.00
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Free Africa Foundation, Inc.	2011245	General Support for Current Programs	\$15,000.00
Foundation for Sustainability and Peacemaking in Mesoamerica	2011244	General Operating Support and Mesoamerica Peace Center	\$15,000.00
Immigration Equality	2011242	General Operating Support	\$7,500.00
Land Institute	2011237	General Operating Support	\$25,000.00
Navy Seal Foundation Inc.	2011193	General Operating Support	\$1,000.00
Alex Fund Inc.	2011190	"Every Child in 'Gradinita': A way to dramatically increase 'gradinita' attendance of impoverished children	\$30,000.00
Great Neck Center for the Visual and Performing Arts, Inc.	2011199	General Operating Support	\$10,000.00
Broadway Walk of Stars Foundation Inc.	2011195	General Operating Support	\$10,000.00
Kenosha Christmas Charities Inc.	2011175	General Operating Support in honor of Howard Brown	\$5,000.00
Penn Praxis Inc.	2011158	Certification Program in the Conservation and Management of Archaeological Sites for Turkish Nationals	\$37,500.00
Trustees of Phillips Academy	2011211	For the book, "Making a Presence: F. Holland Day in Artistic Photography"	\$3,500.00
Aperture Foundation, Inc.	2011212	For the book, "Aperture Magazine: An Anthology, Volume 1 (1952-1977)"	\$5,000.00
Bard College	2011213	For the book, "Jean Sibelius and His World"	\$5,000.00
Cornell University	2011214	For the book, "The Sanctified Landscape: The Hudson River Valley, 1820-1909"	\$3,000.00
The Detroit Institute of Arts	2011215	For the book, "Detroit Revealed: Photographs, 2000-2010"	\$3,000.00
Lyme Historical Society Inc.	2011216	For the book, "With Needle and Brush: Schoolgirl Embroidery From the Connecticut River Valley, 1740-1840"	\$5,000.00
Marist College	2011217	For the journal, "The Hudson River Valley Review"	\$3,500.00
Japan Society Inc.	2011218	For the book, "Fiber Futures: Japan's Textile Pioneers"	\$7,500.00
The Museum of the City of New York	2011219	For the book, "The Greatest Grid: The Master Plan of Manhattan"	\$7,500.00
Natural Resources Defense Council, Inc.	2011220	For the magazine, "OnEarth"	\$15,000.00
New York Landmarks Conservancy, Inc.	2011221	For the anniversary edition of the journal, "Common Bond"	\$5,000.00
Wesleyan University	2011234	For the book, "Gervase Wheeler: A British Architect in America, 1847-1860"	\$4,500.00
The William A. Farnsworth Library & Art Museum Inc.	2011235	For the book, "Impressionist Summers: Frank W. Benson's North Haven"	\$4,500.00
Florida International University Foundation, Inc.	2011236	For the book, "Liberty, Equality, and Fraternity: French Design for Living"	\$5,000.00
Fiction Inc.	2011249	For "Fiction" Magazine	\$10,000.00
The San Francisco Art and Film Program	2011248	General Operating Support	\$7,500.00
Essential Information, Inc.	2011243	General Support for Projects	\$65,000.00
Friends of City Reliquary Inc.	2011240	Project Neon	\$6,000.00
The Grant Foundation	2011206	General Operating Support	\$5,000.00

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The Franklin and Eleanor Roosevelt Institute	2011198	FDR Four Freedoms Park	\$10,000.00
Daniel Murphy Scholarship Fund	2011179	General Operating Support	\$2,500.00
Alaska Native Science Commission Inc.	2011168	Strengtheing and Expanding the Arctic Alliance	\$100,000.00
The Train Foundation	2011118	For the Journalism Internship Program of the Paul Klebnikov Fund, a project of The Train Foundation	\$10,000.00
Hope for Depression Research Foundation	2011200	General Operating Support	\$10,000.00
Aston Magna Foundation for Music and the Humanities Inc.	2011209	General Operating Support	\$2,000.00
North Country Underground Railroad Historical Society	2011222	For the book, "The Secret Lives of Sidney Howard Gay and Louis Napoleon in the Underground Railroad"	\$2,500.00
Performa Inc.	2011224	For the book, "Performa 09: Back to Futurism"	\$2,500.00
Sharon Historical Society	2011226	For the book, "Iron Making in and around Sharon, Connecticut"	\$1,500.00
Sharon Historical Society	2011149	SHS Historic Window Restoration Project	\$750.00
Sharon Historical Society	2011148	Support for its 100th Anniversary Event: Let Them Eat (Birthday) Cake	\$225.00
Ohio University Foundation	2011223	For the book, "The Early Engravers of Cincinnati"	\$3,500.00
Research Foundation of the City University of New York	2011225	For the book, "Who Hears the Fishes When They Cry"	\$2,500.00
Sustainable Development Institute	2011227	For the book, "Hudson Valley Revival"	\$5,000.00
Textile Museum of DC	2011228	For the book, "Weaving Abstraction: Kuba textiles and the Woven Art of Central Africa"	\$6,000.00
Trout Unlimited	2011229	For guidebooks, Book 1 and Book 2	\$2,500.00
The Regents of the University of California	2011230	For the book, "State of Mind: New California Art Circa 1970"	\$5,000.00
The University of Tennessee	2011231	For the book, "The Amphibians of Tennessee"	\$3,000.00
Trustees of Dartmouth College	2011232	For the book, "The Cultured Canvas: New Perspectives on American Landscape Painting"	\$5,000.00
Village of Red Hook, New York	2011233	For the book, " Pattern Book and Architectural Guide of Housing Types and Commercial Infill Buildings"	\$2,500.00
The New Press Inc.	2011253	General Operating Support	\$5,000.00
Edible Schoolyard New York	2011264	General Operating Support	\$5,000.00
Visiting Nurse Service of New York	2011286	General Operating Support	\$5,000.00
Planned Parenthood of Maryland Inc.	2011288	General Operating Support	\$1,000.00
Washington Empowered Against Violence	2011290	General Operating Support	\$2,000.00
The ISUS Institute of Health Care	2011292	General Operating Support	\$5,000.00
The Point Community Development Corporation	2011334	General Support	\$11,500.00
The Triangle Arts Association Ltd.	2011269	General Operating Support	\$5,000.00
iMentor	2011291	General Operating Support	\$5,000.00
Fugees Damily Inc.	2011293	General Operating Support	\$5,000.00
The Point Community Development Corporation	2011335	General Support	\$8,000.00

The J.M. Kaplan Fund, Inc.

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List of Paid Grants for 2011

Payee Legal Name	Request ID	Request Project Title	Amount
Pro Bono Net Inc.	2011301	General Operating Support	\$1,500.00
Ocean Classroom Foundation Inc.	2011302	World Ocean Observatory	\$4,000.00
Tides Center	2011300	Across the Aisle Foundation/NoLabels, a project of the Tides Center	\$5,000.00
Manhattan Institute for Policy Research Inc.	2011380	Social Entrepreneurship Initiative	\$100,000.00
Association of Community Employment Programs for the Homeless Inc.	2011381	general support	\$10,000.00
Garrison Institute	2011265	General Operating Support	\$5,000.00
Historic Hudson Valley	2011259	General Operating Support	\$5,000.00
Council on the Environment, Inc.	2011260	General Operating Support	\$5,000.00
Dalton Schools, Inc.	2011261	Annual Fund	\$20,000.00
Black Rock Forest Consortium Inc.	2011273	General Operating Support	\$5,000.00
PTA of MS 54 Inc.	2011263	General Operating Support	\$6,000.00
Friends of PS 163 Inc.	2011262	General Operating Support	\$3,000.00
The George Mason University Foundation Inc.	2011382	Project for New Inquiry into Social Science Research	\$70,000.00
The University of Tennessee	2011318	For the book, "The Springfield Gas Machine: Illuminating Industry and Leisure, 1860-1920s"	\$2,000.00
Getting the Word Out, Inc.	2011311	For the journal, "A Jerry Russell Retrospective"	\$4,000.00
Center for Book Arts	2011307	For the book, "Fine & Dirty"	\$3,000.00
College Art Association of America, Inc.	2011308	CAA Publications Business Analysis	\$5,000.00
The Graduate Center Foundation Inc.	2011310	For the book, "Lost & Found: The CUNY Poetics Document Initiative, Volume 3"	\$4,000.00
Thomas Cole Historic House	2011317	For the book, "Thomas Cole National Historic Site: The Place Where American Landscape Painting Began"	\$2,500.00
Brandeis University	2011306	For the book, "Encounter on th Great Plains: Nordic Newcomers abd Dakota Survival, 1890-1930"	\$4,000.00
Columbia Land Conservancy, Inc.	2011309	For the book, "Columbia County Outdoors"	\$5,000.00
West Virginia University Foundation Inc.	2011320	For the book, "Sharing Our Story: New Deal Photographs of West Virginia, 1934-1943"	\$3,000.00
Friends of the New York Tansit Museum	2011331	For the book, "Celebrating the Centennial of Grand Central Station"	\$5,000.00
The Literary Classics of the United States Inc.	2011313	For the book, "The Collected Writings of Joe Brainard"	\$5,000.00
Yale University	2011322	For the book, "The Incidental Steward: Citizen Science for a New Century"	\$5,000.00
Center for the Study of Classical Architecture Inc.	2011312	For the book, "Gardens For A Beautiful America, 1885-1935, Photographs by Frances Benjamin Johnston"	\$6,500.00
Library of American Landscape History, Inc.	2011314	For the book, "Frederick Law Olmsted, Calvert Vaux, and the Buffalo Park and Parkway System"	\$6,000.00
Proteus Gowanus Interdisciplinary Gallery Inc.	2011326	For the book, "Libraries on the Edge: the Proteus Gowanus Libraries Project"	\$3,500.00
Environmental Concern Inc.	2011327	For the book, "POW! The Planning of Wetlands"	\$2,000.00

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List of Paid Grants for 2011

Payee Legal Name	Request ID	Request Project Title	Amount
Yale University	2011321	For the book, "The Bassoon"	\$5,000.00
Meserve-Kundhardt Foundation Inc.	2011332	For the book, "Gordon Parks"	\$5,000.00
SUNY New Paltz Foundation Inc.	2011315	For the book, "Russel Wright: Modern Design Trailblazer"	\$3,500.00
Council on Tall Buildings and Urban Habitat	2011330	For the book, "Tall Buildings: The 2012 Reference Guide of the What, When, and Where of Tall and Urban"	\$3,500.00
Kent State University Foundation Inc.	2011333	For the book, "Cleveland Goes Modern: Design for the Home, 1930-1970"	\$2,500.00
University of Texas Foundation Inc.	2011319	For the book, "Norman Bel Geddes Designs America"	\$5,000.00
The American-Scandinavian Foundation	2011328	For the book, "Luminous Modernism: Nordic Art in America"	\$4,000.00
The Center for the Study of Place, Inc.	2011329	For the book, "The West Coast: From Bering to Baja"	\$5,000.00
Public Interest Projects Inc.	2011274	To support Four Freedoms Funds's commissioned research conducted by Westen Strategies in the two new meta-narratives	\$35,000.00
Marine Conservation Biology Institute	2011282	Deep Sea Conservation Coalition: Hig Seas Bottom Trawling Campaign	\$60,000.00
Sharon Green Preservation Association Inc.	2011351	general support	\$75.00
Sharon Land Trust Inc.	2011350	general support	\$150.00
Sharon Fire Department Inc.	2011349	general support	\$225.00
American Friends of the Trasimeno Music Festival, Inc.	2011364	general support	\$5,000.00
Prisoner & Visitation Support Committee	2011365	general support	\$5,000.00
Charity Global Inc.	2011366	general support	\$5,000.00
Doctors Without Borders USA Inc.	2011367	general support	\$5,000.00
Friends of the Forest Preserve	2011356	general support	\$2,500.00
American Farmland Trust	2011357	general support	\$2,500.00
Hudson River Sloop Clearwater, Inc.	2011358	general support	\$5,000.00
Bard College	2011359	general support	\$2,500.00
The New York Botanical Garden	2011360	To aid with the recovery and restoration of damaged trees from the October 2011 snowstorm	\$2,500.00
Neighborhood Coalition For Shelter, Inc.	2011363	general support	\$2,500.00
Shaker Museum and Library	2011103	General Operating Support	\$2,500.00
Asian Americans for Equality Inc.	2011162	Year 2 - Lower East Side (LES) Chinatown Bicycling Coalition	\$35,000.00
Good Old Lower East Side	2011163	Year 2 - Lower East Side (LES) Chinatown Bicycling Coalition	\$30,000.00
Hester Street Collaborative Inc.	2011164	Year 2 - Lower East Side (LES) Chinatown Biking Coalition	\$30,000.00
Recycle-A-Bicycle Inc.	2011165	Year 2 - Lower East Side (LES) Chinatown Biking Coalition	\$30,000.00
Green Map System Inc.	2011166	Year 2 - Lower East Side (LES) Chinatown Biking Coalition	\$30,000.00

The J.M. Kaplan Fund, Inc.

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List of Paid Grants for 2011

Payee Legal Name	Request ID	Request Project Title	Amount
Times Up Inc.	2011167	Year 2 - Lower East Side (LES) Chinatown Biking Coalition	\$15,000.00
Scenic Hudson, Inc.	2011159	Conservation of Industrial Archaeological Ruins at West Point Foundry	\$100,000.00
The Working Group	2011154	Not In Our Town's Inclusive Communities Campaign	\$30,000.00
Ocean Foundation	2011284	For the 2012 activities of IPSO (International Programme on the State of the Ocean), a project of the Ocean Foundation	\$100,000.00
Natural Resources Defense Council, Inc.	2011283	Improving Governance of the High Seas	\$50,000.00
Int'l Union for Conservation of Nature & Natural Resources	2011281	Expanding Shipping and other Marine Activities and the Ecology of the Bering Strait Region	\$35,000.00
Urban Assembly Inc.	2011285	General Operating Support	\$8,000.00
Young Women's Christian Association of Brooklyn	2011295	Towards low-income women's housing	\$7,000.00
National Audubon Society Inc.	2011252	The Florida Invasive Species Task Force	\$15,000.00
Anne Arundel County CASA Inc.	2011287	General Operating Support	\$2,000.00
Compass Rose Studio Theater	2011289	General Operating Support	\$1,000.00
Casa of Maryland Inc. Ctr. Amer. Solidarity & Assistance of Maryland	2011254	General Operating Support	\$9,000.00
Food Link Inc.	2011255	General Operating Support	\$3,000.00
St. Paul's Episcopal Church	2011256	St. Paul's Rector Discretionary Fund	\$3,000.00
Community Foundation of Anne Arundel County Inc.	2011257	Anne Arundel Women Giving Together Endowment Fund	\$1,000.00
Light House Inc.	2011258	Light House Shelter	\$1,000.00
Elawa Farm Foundation	2011251	General Operating Support	\$2,500.00
Marine Conservation Biology Institute	2011014	Safeguarding the Least Protected Jewels on Earth: The High Seas Jewels Project	\$100,000.00
World Monuments Fund Inc.	2011266	General Operating Support	\$5,000.00
Arts & Recreation Center	2011267	General Operating Support	\$1,000.00
Citizens Budget Commission Inc.	2011268	General Operating Support	\$2,000.00
Saint Ann's School	2011297	229 Baltic Street Fund	\$20,000.00
Partners in Island Education	2011299	Towards the purchase of curtain wall for gymnasium	\$5,000.00
The Green-Wood Historic Fund Inc.	2011323	The Historic Fund	\$5,000.00
Metropolitan Waterfront Alliance Inc.	2011324	General Operating Support	\$5,000.00
The Brookings Institution	2011343	Metropolitan Policy Program	\$2,500.00
Regional Plan Association, Inc.	2011344	General Support	\$2,500.00
Brooklyn Academy of Music, Inc.	2011345	General Support	\$1,500.00
Island Institute	2011298	General Operating Support	\$15,000.00
New York Studio School of Drawing, Painting & Sculpture, Inc.	2011341	General Support	\$45,000.00
Freedom Institute, Inc.	2011342	General Support	\$5,000.00
Hudson Opera House, Inc.	2011361	general support	\$10,000.00
Seven West Forty Third Street Foundation Inc.	2011362	Facade Trust	\$5,000.00
Ann Norton Sculpture Gardens Inc.	2011379	general support	\$10,000.00

The J.M. Kaplan Fund, Inc.

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List of Paid Grants for 2011

Payee Legal Name	Request ID	Request Project Title	Amount
Mystic Seaport Museum Inc.	2011378	For the restoration of the Charles W. Morgan and related educational programming	\$15,000.00
Rocky Mountain Institute	2011376	general support	\$20,000.00
Royal Oak Foundation, Inc.	2011375	general support	\$10,000.00
Great Neck Center for the Visual and Performing Arts, Inc.	2011374	general support	\$10,000.00
Museum of Arts & Design	2011373	general support	\$10,000.00
Regional Plan Association, Inc.	2011372	general support	\$40,000.00
Playwrights' Preview Productions Ltd.	2011371	general support	\$15,000.00
Hudson Opera House, Inc.	2011370	general support	\$2,000.00
Gentlemen of the Gardens Inc.	2011369	general support	\$10,000.00
The American Ireland Fund	2011368	Cill Rialaig Project	\$5,000.00
Cambridge in America	2011377	Churchill Archives Centre's 2012 exhibition, "Churchill: The Power of Words"	\$20,000.00
Westlake Athletic Booster Club Inc.	2011336	General Support	\$1,620.00
The Leukemia & Lymphoma Society Inc.	2011337	General Support	\$150.00
Support Center for Nonprofit Management Inc.	2011338	General Support	\$750.00
Philanthropy New York	2011339	General Support	\$450.00
Environmental Advocates of New York Inc.	2011270	General Operating Support	\$2,500.00
Wilderstein Preservation	2011272	General Operating Support	\$2,500.00
Eleanor Roosevelt Center at Val-Kill Inc.	2011271	General Operating Support	\$2,500.00
Columbia Land Conservancy, Inc.	2011348	general support	\$10,000.00
W.A.M.C.	2011347	general support	\$2,500.00
Old Merchants House of New York Inc.	2011346	general support	\$2,500.00
Salisbury Visiting Nurse Association Inc.	2011355	general support	\$150.00
Chore Service Inc.	2011354	general support	\$150.00
Womens Support Services Inc.	2011353	general support	\$75.00
Hotchkiss Library of Sharon Inc.	2011352	general support	\$150.00
Interfaith Center of New York Inc.	2011202	General Operating Support	\$10,000.00
The Oliverian School Inc.	2011173	Annual Fund	\$5,000.00
Planned Parenthood Federation of America Inc.	2011178	General Operating Support	\$5,000.00
Saint-Gaudens Memorial	2011250	For the on-going exhibition programs and concert series that support the National Historic Site in Cornish, NH and to promote awareness and appreciation of the work of Augustus Saint-Gaudens	\$5,000.00
University of Toronto	2011160	Conservation and Interpretation of Bronze- and Iron-Age Architectural Ruins at Atchana and Tayinat	\$50,000.00
The American Ireland Fund	2011296	Cill Rialaig Program	\$5,000.00
American Scottish Foundation Inc.	2011205	General Support	\$5,000.00
Wings Trust Inc.	2011204	General Support	\$10,000.00
Churchill Centre	2011196	For Churchill Museum/War Rooms in London	\$10,000.00
Mary Obolensky Underwood Leukaemia Foundation Inc.	2011210	General Support	\$1,000.00
Payee Legal Name	Request ID	Request Project Title	Amount

The J.M. Kaplan Fund, Inc.

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List of Paid Grants for 2011

Added Value	2011068	General Support	\$250.00
Centro Hispano "Cuzcatlan"	2011069	General Support	\$250.00
Coney Island USA	2011067	General Support	\$250.00
Cotidiano Mujer	2011385	Nunca en Domingo Radio Program	\$25,000.00
Hudson River Foundation	2011201	General Support	\$30,000.00
		To convene High Seas Alliance planning meeting, a workshop of non-governmental organizations working in the fields of ocean conservation and marine science to identify pathways for preserving the high seas.	
The Zoological Society of London	2010390		\$100,000.00
Critical Review	2011340	General Support	\$100,000.00
International Committee for the Conservation of Industrial Architecture	2011121	Publication and Website for "Understanding and Conserving Industrial Heritage: A Guide to International Best Practices"	\$15,000.00
Foundation Financial Officers Group	2011027	General Support/2011 Membership	\$2,000.00
Alliance for Justice	2011090	\$2500 for Scholarship Program/\$5000 for General Support	\$7,500.00
Historic House Trust of New York City, Inc.	2011095	General Operating Support	\$2,500.00
Tides Center	2011155	Define American	\$25,000.00
Trustees of Columbia University in the City of New York	2011304	Paul Spencer Byard Lecture Series	\$2,500.00
Berkshire Taconic Community Foundation, Inc.	2011303	General Support	\$5,000.00
Albany Institute of History and Art	2011305	For the book, "Capitol Story"	\$10,000.00

Total Grants Paid in 2011

\$8,253,827.00

FORM 990F, PART XV - CONTRIBUTIONS APPROVED FOR FUTURE PAYMENT

ATTACHMENT 23

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR
AND
FOUNDATION STATUS OF RECIPIENT

RECIPIENT NAME AND ADDRESS

PURPOSE OF GRANT OR CONTRIBUTION

AMOUNT

SEE STATEMENT ATTACHED

NONE
PUBLIC

SEE SCHEDULE ATTACHED

2,982,349.

TOTAL CONTRIBUTIONS APPROVED

2,982,349.

The J.M. Kaplan Fund, Inc.
Grants Payable
31-Dec-11

Hudson River Foundation	\$	5,000.00
Syracuse University	\$	3,500.00
Donors Trust	\$	100,000.00
Critical Review Foundation	\$	50,000.00
Donor's Trust	\$	50,000.00
Donor's Trust	\$	50,000.00
Cotidiano Muller	\$	25,000.00
Textile Museum	\$	2,500.00
Irish Georgian Society	\$	10,000.00
Irish Georgian Society	\$	20,000.00
Wassminster College	\$	10,000.00
	\$	<u>328,000.00</u>

Sumando	\$	12,500.00
NYC Public Private Initiatives	\$	70,000.00
University of Miami	\$	45,000.00
Fifth Avenue Committee	\$	1,350.00
Canadian Parks & Wilderness Society	\$	5,000.00
World Monuments Fund	\$	27,500.00
New York University	\$	200,000.00
World Monuments Fund	\$	200,000.00
Penn. Praxis Inc.	\$	50,000.00
Harvard University	\$	30,000.00
Iquailt	\$	20,000.00
Board of Trustees Stamford Univ.	\$	25,000.00
Board of Trustees Stamford Univ.	\$	25,000.00
Board of Trustees Stamford Univ.	\$	25,000.00
Board of Trustees Stamford Univ.	\$	25,000.00
M&T Kids	\$	5,000.00
Brad Tigs	\$	5,000.00
Governors Island Alliance	\$	50,000.00
Metropolitan Waterfront Alliance	\$	50,000.00
Trust For Public Land	\$	50,000.00
Transportation Alternatives	\$	50,000.00
National Parks Conserv. Assoc.	\$	75,000.00
Welcoming America	\$	200,000.00
World Education Services	\$	100,000.00
World Education Services	\$	125,000.00
Koc University	\$	120,000.00
French Institute	\$	40,000.00
German Arch Institute	\$	50,000.00
Global Heritage Fund	\$	45,000.00
Unity of Wisconsin	\$	40,000.00
Italian Architecture Mission	\$	50,000.00
The Austrian Institute	\$	75,000.00
Vermont Studio Centre	\$	20,000.00
Penn Praxis Inc.	\$	37,500.00
University of Toronto	\$	50,000.00
Koc University	\$	50,000.00
French Institute	\$	40,000.00
German Arch Institute	\$	50,000.00
Global Heritage Fund	\$	40,000.00
University of Wisconsin	\$	40,000.00
Italian Architecture Mission	\$	25,000.00
German Arch Institute	\$	50,000.00
Global Heritage Fund	\$	40,000.00
University of Wisconsin	\$	40,000.00
Italian Architecture Mission	\$	25,000.00
International Union for Conservation	\$	100,000.00
The Pew Charitable Trusts	\$	50,000.00
Environmental Defense Fund	\$	45,000.00
Marine Conservation Biology Inst.	\$	75,000.00
Antarctic Southern Ocean Coalition	\$	37,500.00
	\$	<u>2,656,350.00</u>
	\$	<u>2,982,350.00</u>

FORM 990-PF, PART XVI-A - ANALYSIS OF OTHER REVENUE

ATTACHMENT 24

<u>DESCRIPTION</u>	<u>BUSINESS CODE</u>	<u>AMOUNT</u>	<u>EXCLUSION CODE</u>	<u>AMOUNT</u>	<u>RELATED OR EXEMPT FUNCTION INCOME</u>
PARTNERSHIP INCOME	900001	133,828.	18	1,688,090.	
PRI INCOME AND OTHER INCOME					
RETURN OF GRANTS					
TOTALS		<u>133,828.</u>		<u>1,688,090.</u>	