

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2010, or tax year beginning , 2010, and ending , 20

G Check all that apply: Initial return Initial return of a former public charity Final return
 Amended return Address change Name change

Name of foundation
THE J.M. KAPLAN FUND, INC.
C/O CONDON O'MEARA MCGINTY & DONNELLY

Number and street (or P.O. box number if mail is not delivered to street address) Room/suite
ONE BATTERY PARK PLAZA
7TH FLOOR

City or town, state, and ZIP code
NEW YORK, NY 10004-1405

A Employer identification number
13-6090286

B Telephone number (see page 10 of the instructions)
(212) 661-7777

H Check type of organization: Section 501(c)(3) exempt private foundation
 Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) **\$ 139,169,618.**

J Accounting method: Cash Accrual
 Other (specify) _____
(Part I, column (d) must be on cash basis.)

C If exemption application is pending, check here

D 1. Foreign organizations, check here
2. Foreign organizations meeting the 85% test, check here and attach computation

E If private foundation status was terminated under section 507(b)(1)(A), check here

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	0.			
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	1,154.	1,154.		ATCH 1
	4 Dividends and interest from securities	2,613,801.	2,613,801.		ATCH 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	2,886,588.			
	b Gross sales price for all assets on line 6a	17,231,772.			
	7 Capital gain net income (from Part IV, line 2)		2,722,602.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less: Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)	542,768.	251,870.		ATCH 3	
12 Total. Add lines 1 through 11	6,044,311.	5,589,427.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	313,314.	59,078.		289,422.
	14 Other employee salaries and wages	313,830.	54,300.		274,505.
	15 Pension plans, employee benefits	150,309.	36,240.		118,233.
	16a Legal fees (attach schedule) ATCH 4	14,064.	0.		14,064.
	b Accounting fees (attach schedule) ATCH 5	31,126.	18,676.		1,324.
	c Other professional fees (attach schedule) *	852,340.	507,469.		349,251.
	17 Interest ATTACHMENT 7	267,219.	30,743.		
	18 Taxes (attach schedule) (see page 14 of the instructions) **	397,713.	49,047.		27,383.
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy	272,322.	27,232.		245,372.
	21 Travel, conferences, and meetings	229,355.	45,871.		112,063.
	22 Printing and publications	6,065.	1,213.		4,852.
	23 Other expenses (attach schedule) ATCH 9	1,454,626.	1,369,275.		51,289.
	24 Total operating and administrative expenses. Add lines 13 through 23	4,302,283.	2,199,144.		1,487,758.
	25 Contributions, gifts, grants paid	6,140,007.			7,138,886.
26 Total expenses and disbursements. Add lines 24 and 25	10,442,290.	2,199,144.		8,626,644.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements					
b Net investment income (if negative, enter -0-)		3,390,283.			
c Adjusted net income (if negative, enter -0-)					

TAXPAYER'S COPY

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See Instructions.)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	500.	500.	500.
	2 Savings and temporary cash investments	2,258,309.	4,019,264.	4,019,264.
	3 Accounts receivable ▶ 194,707.			
	Less: allowance for doubtful accounts ▶	5,012,444.	194,707.	194,707.
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ *		* 302,942.	
	Less: allowance for doubtful accounts ▶	299,848.	302,942.	302,942.
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges ATCH 10	39,433.	39,084.	39,084.
	10 a Investments - U.S. and state government obligations (attach schedule). **	5,027,840.	3,799,657.	3,799,657.
	b Investments - corporate stock (attach schedule) ATCH 12	47,468,383.	56,956,670.	56,956,670.
	c Investments - corporate bonds (attach schedule) ATCH 13	556,217.	872,799.	872,799.
	11 Investments - land, buildings, and equipment: basis ▶			
Less: accumulated depreciation (attach schedule) ▶				
12 Investments - mortgage loans				
13 Investments - other (attach schedule) ATCH 14	69,555,565.	72,922,992.	72,922,992.	
14 Land, buildings, and equipment: basis ▶ 3,503.				
Less: accumulated depreciation (attach schedule) ▶	3,503.	3,503.	3,503.	
15 Other assets (describe ▶ ATCH 15)	57,500.	57,500.	57,500.	
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	130,279,542.	139,169,618.	139,169,618.	
Liabilities	17 Accounts payable and accrued expenses	133,214.	184,171.	
	18 Grants payable	2,917,397.	1,918,518.	
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ ATCH 16)	171,000.	208,000.	
23 Total liabilities (add lines 17 through 22)	3,221,611.	2,310,689.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. <input checked="" type="checkbox"/>			
	27 Capital stock, trust principal, or current funds	18,370,506.	18,370,506.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
29 Retained earnings, accumulated income, endowment, or other funds	108,687,425.	118,488,423.		
30 Total net assets or fund balances (see page 17 of the instructions)	127,057,931.	136,858,929.		
31 Total liabilities and net assets/fund balances (see page 17 of the instructions)	130,279,542.	139,169,618.		

Part III Analysis of Changes in Net Assets or Fund Balances		
1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	127,057,931.
2 Enter amount from Part I, line 27a	2	-4,397,979.
3 Other increases not included in line 2 (itemize) ▶ ATTACHMENT 17	3	14,198,977.
4 Add lines 1, 2, and 3	4	136,858,929.
5 Decreases not included in line 2 (itemize) ▶	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	136,858,929.

**ATCH 11

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	SEE PART IV SCHEDULE			
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		
a				
b				
c				
d				
e				
2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2,722,602.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions). If (loss), enter -0- in Part I, line 8.	}		

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see page 18 of the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2009	11,498,922.	122,925,341.	0.093544
2008	8,639,921.	155,764,247.	0.055468
2007	10,936,429.	171,283,309.	0.063850
2006	7,640,328.	157,677,796.	0.048455
2005	8,873,037.	145,871,177.	0.060828
2	Total of line 1, column (d)		0.322145
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years		0.064429
4	Enter the net value of noncharitable-use assets for 2010 from Part X, line 5		130,964,884.
5	Multiply line 4 by line 3		8,437,937.
6	Enter 1% of net investment income (1% of Part I, line 27b)		33,903.
7	Add lines 5 and 6		8,471,840.
8	Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.		8,626,644.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)

Table with 11 rows for excise tax calculations. Includes fields for exempt foundations, tax under section 511, add lines 1 and 2, subtitle A tax, tax based on investment income, credits/payments (2010 estimated tax payments, exempt foreign organizations, etc.), total credits and payments, tax due, overpayment, and amount owed. Total tax: 100,000. Refunded: 111,097.

Part VII-A Statements Regarding Activities

Table with 10 rows for statements regarding activities. Columns: Question, Yes, No. Includes questions about political campaign participation, political expenditures, foundation file Form 1120-POL, unrelated business gross income, liquidation, requirements of section 508(e), assets, states reported to, and substantial contributors.

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address WWW.JMKFUND.ORG	13	X	
14	The books are in care of THE J.M. KAPLAN FUND Telephone no. 212-661-7777 Located at ONE BATTERY PARK PLAZA, 7TH FLOOR ZIP + 4 10004-1405			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15		
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
1b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>	1b	X
1c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see page 22 of the instructions.)	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010.)	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

- 5a During the year did the foundation pay or incur any amount to:
 - (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No
 - (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? Yes No
 - (3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No
 - (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) Yes No
 - (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No
- b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Yes No
 Organizations relying on a current notice regarding disaster assistance check here
- c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? Yes No
 If "Yes," attach the statement required by Regulations section 53.4945-5(d).
- 6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No
- b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No
 If "Yes" to 6a, file Form 8870.
- 7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No
- b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? Yes No

5b		X
6b		X
7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE ATTACHED SCHEDULE		313,314.	55,336.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATTACHMENT 18		313,830.	81,641.	0.

Total number of other employees paid over \$50,000

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
ATTACHMENT 19		615,270.
Total number of others receiving over \$50,000 for professional services		NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 NOT APPLICABLE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see page 24 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2	
All other program-related investments. See page 24 of the instructions.	
3 NONE	
Total. Add lines 1 through 3	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	69,744,523.
b	Average of monthly cash balances	1b	3,770,357.
c	Fair market value of all other assets (see page 25 of the instructions)	1c	59,444,393.
d	Total (add lines 1a, b, and c)	1d	132,959,273.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	132,959,273.
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see page 25 of the instructions)	4	1,994,389.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	130,964,884.
6	Minimum investment return. Enter 5% of line 5	6	6,548,244.

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	6,548,244.
2a	Tax on investment income for 2010 from Part VI, line 5	2a	33,903.
b	Income tax for 2010. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	33,903.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	6,514,341.
4	Recoveries of amounts treated as qualifying distributions	4	81,937.
5	Add lines 3 and 4	5	6,596,278.
6	Deduction from distributable amount (see page 25 of the instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	6,596,278.

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	8,626,644.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	0.
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	0.
b	Cash distribution test (attach the required schedule)	3b	0.
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	8,626,644.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	33,903.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	8,592,741.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7				6,596,278.
2 Undistributed income, if any, as of the end of 2010:				6,806,547
a Enter amount for 2009 only				
b Total for prior years: 20 08, 20 07, 20 06				
3 Excess distributions carryover, if any, to 2010:				
a From 2005	1,753,887.			
b From 2006				
c From 2007	2,855,707.			
d From 2008	1,005,969.			
e From 2009	5,399,427.			
f Total of lines 3a through e	11,014,990.			
4 Qualifying distributions for 2010 from Part XII, line 4: ▶ \$ 8,626,644. 10, 11, 291,469, 10, 293,702				
a Applied to 2009, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see page 26 of the instructions)				
c Treated as distributions out of corpus (Election required - see page 26 of the instructions)				
d Applied to 2010 distributable amount				6,596,278.
e Remaining amount distributed out of corpus	2,030,366.			
5 Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	13,045,356.			
b Prior years' undistributed income. Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount - see page 27 of the instructions				
e Undistributed income for 2009. Subtract line 4a from line 2a. Taxable amount - see page 27 of the instructions				
f Undistributed income for 2010. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2011				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)				
8 Excess distributions carryover from 2005 not applied on line 5 or line 7 (see page 27 of the instructions)	1,753,887.			
9 Excess distributions carryover to 2011. Subtract lines 7 and 8 from line 6a	11,291,469.			
10 Analysis of line 9:				
a Excess from 2006				
b Excess from 2007	2,855,707.			
c Excess from 2008	1,005,969.			
d Excess from 2009	5,399,427.			
e Excess from 2010	2,030,366.			

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9) NOT APPLICABLE

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

Table with 5 columns: (a) 2010, (b) 2009, (c) 2008, (d) 2007, (e) Total. Rows include 2a (Adjusted net income), 2b (85% of line 2a), 2c (Qualifying distributions from Part XII), 2d (Amounts included in line 2c not used directly for active conduct of exempt activities), 2e (Qualifying distributions made directly for active conduct of exempt activities), 3 (Alternative tests: Assets, Endowment, Support).

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see page 28 of the instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

ATTACHMENT 20

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here [] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

ATTACHMENT 21

b The form in which applications should be submitted and information and materials they should include:

SEE STATEMENT ATTACHED

c Any submission deadlines:

SEE STATEMENT ATTACHED

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

SEE STATEMENT ATTACHED

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<p>a <i>Paid during the year</i></p> <p>ATTACHMENT 22</p>				
Total				7,056,949.
<p>b <i>Approved for future payment</i></p> <p>ATTACHMENT 23</p>				
Total				1,918,518.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See page 28 of the instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	1,154.	
4 Dividends and interest from securities			14	2,613,801.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory	900001	163,986.	18	2,722,602.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a					
b ATTACHMENT 24		208,961.		251,870.	81,937.
c _____					
d _____					
e _____					
12 Subtotal. Add columns (b), (d), and (e)		372,947.		5,589,427.	81,937.
13 Total. Add line 12, columns (b), (d), and (e)			13		6,044,311.

(See worksheet in line 13 instructions on page 29 to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No.	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See page 29 of the instructions.)
11	RETURN OF GRANTS

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?
- | | Yes | No |
|--|-----|----|
| a Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| (1) Cash | | X |
| (2) Other assets | | X |
| b Other transactions: | | |
| (1) Sales of assets to a noncharitable exempt organization | | X |
| (2) Purchases of assets from a noncharitable exempt organization | | X |
| (3) Rental of facilities, equipment, or other assets | | X |
| (4) Reimbursement arrangements | | X |
| (5) Loans or loan guarantees | | X |
| (6) Performance of services or membership or fundraising solicitations | | X |
| c Sharing of facilities, equipment, mailing lists, other assets, or paid employees | | X |
| d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | X |

(a) Line no.	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
	N/A		N/A

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3) or in section 527? Yes No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Sign Here

Signature of officer or trustee: *[Signature]* Date: **NOV 14 2011** Title: _____

Paid Preparer Use Only

Print/Type preparer's name: **JAMES J. REILLY** Preparer's signature: *[Signature]* Date: **NOV 14 2011** Check if self-employed PTIN: **P00183769**

Firm's name: **CONDON O'MEARA MCGINTY & DONNELLY L** Firm's EIN: **13-3628255**

Firm's address: **ONE BATTERY PARK PLAZA NEW YORK, NY 10004-1405** Phone no.: **212-661-7777**

**FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
17231772.		MARKETABLE SECURITIES PROPERTY TYPE: SECURITIES 16422122.				P	809,650.	
		PARTNERSHIP GAINS (LOSSES) - SEE SCHEDULE PROPERTY TYPE: OTHER				P	2,076,938.	
		LESS: AMOUNT ATTRIBUTABLE TO UBI PROPERTY TYPE: OTHER				P	-163,986.	
TOTAL GAIN (LOSS)							<u>2,722,602.</u>	

The J.M. Kaplan Fund, Inc.
990PF

31-Dec-10

	Cost	Proceeds	Gains/ Losses	Per Tax Return
Asia Capital Debt Investors	\$ 60,144	\$ 60,144	\$ -	
Colchester Bond Fund-See attached	\$ 1,121,310	\$ 1,547,914	\$ 426,604	
Brookside Capital	\$ 991,209	\$ 4,000,000	\$ 3,008,791	
Farallon Capital	\$ 82,854	\$ 82,854	\$ -	
Special Opportunity Fund	\$ 175,292	\$ 175,292	\$ -	
Sun Capital	\$ 123,800	\$ 123,800	\$ -	
BCM Capital	\$ -	\$ 25,979	\$ 25,979	
Pimco- See Attached	\$ 9,503,674	\$ 10,014,784	\$ 511,110	
Dover Street VI	\$ 175,824	\$ 175,824	\$ -	
Spindrift--see attached	\$ -	\$ 307,809	\$ 307,809	
Special Situations- See Attached	\$ 5,181	\$ 223,807	\$ 218,626	
Prospect Harbor	\$ 2,530,120	\$ 46,015	\$ (2,484,105)	
Steel Japan	\$ 1,616,000	\$ 410,836	\$ (1,205,164)	
	\$ 16,385,408	\$ 17,195,058	\$ 809,650	\$ 809,650
Limited Partnerships Gains and Losses	\$ -	\$ 2,076,938	\$ 2,076,938	
	\$ 16,385,408	\$ 19,271,996	\$ 2,886,588	\$ 2,886,588
Less: Gains from Partnerships subject to UBIT			\$ (163,986)	
Less: Gains from L.P.'s not taxable			\$ -	
Subtotal: Gains for Tax Purposes			\$ 2,722,602	
Less: Partnership gains shown on Books Accounted for on K-1's	\$ -		\$ -	\$ -
Total Gains for Tax Return			\$ 2,722,602	\$ 2,886,588

The J.M. Kaplan Fund, Inc.
 990PF December 31, 2009
 EIN: 13-6090286
 Partnership Gains and Losses

Adamas Opportunities	\$ 235,282
Adamas Opportunities 3yr	\$ 406,612
Adamas Partners	\$ 136,116
Adamas Partners 3yr	\$ 275,341
AEW Global	\$ (321,298)
Avanti II Strategic	\$ -
Avanti Strategic III	\$ 2,069
Avanti IV	\$ (16,454)
Capital Z Partners	\$ (36,233)
Cedar Bridge Capital	\$ 104,360
Cedar Rock Partners	\$ (16,362)
Colchester Bond Fund	\$ 178,484
Dover Street III	\$ (9,703)
Dover Street IV	\$ 14,846
Dover Street V	\$ 46,431
Farallon Capital	\$ (8,435)
High Rise Institutional Partners	\$ 179,184
Keyhaven Capital	\$ 149,117
KPP Investors II	\$ (18,890)
KPP Investors III	\$ 258,789
Metropolitan RE Global II	\$ 15,580
Metropolitan RE Partners IV	\$ (11,557)
Metropolitan RE Partners Int'l II	\$ (14,935)
Metropolitan RE Partners V	\$ 2,368
Paul Capital VI (10%)	\$ 18,882
Paul Capital VII(7.69%)	\$ 35,935
Paul Capital VIII	\$ 111,147
Prosepct Harbor	\$ 61,768
Semper Vic	\$ (18,706)
Steel Japan	\$ (653)
TCV III	\$ -
TCV IV	\$ (15,977)
TCV V	\$ 86,889
Varde Fund VIII	\$ 35,392
Varde Fund IX	\$ 70,658
Venture Investment Assoc. IV	\$ 103,792
Venture Investment Assoc. V	\$ 37,314
Venture Inv. Assoc. VI	\$ (215)

Total \$ 2,076,938

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only Part II and check this box. **Note.** Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.
- If you are filing for an **Automatic 3-Month Extension**, complete only Part I (on page 1).

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Type or print File by the extended due date for filing your return. See instructions.	Name of exempt organization THE J.M. KAPLAN FUND, INC.	Employer identification number 13-6090286
	C/O CONDON O'MEARA MCGINTY & DONNELLY	
	Number, street, and room or suite no. If a P.O. box, see instructions. ONE BATTERY PARK PLAZA	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. NEW YORK, NY 10004-1405	

Enter the Return code for the return that this application is for (file a separate application for each return) 04

Application Is For	Return Code	Application Is For	Return Code
Form 990	01		
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- The books are in the care of **THE J.M. KAPLAN FUND**
 Telephone No. **212 661-7777** FAX No. _____
- If the organization does not have an office or place of business in the United States, check this box
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ . If this is for the whole group, check this box . If it is for part of the group, check this box and attach a list with the names and EINs of all members the extension is for.

- I request an additional 3-month extension of time until 11/15, 2011.
- For calendar year 2010, or other tax year beginning _____, 20____, and ending _____, 20____.
- If the tax year entered in line 5 is for less than 12 months, check reason: Initial return Final return
 Change in accounting period
- State in detail why you need the extension **ALL THE INFORMATION NECESSARY TO COMPLETE THE RETURN IS NOT AND WILL NOT BE AVAILABLE BY THE DUE DATE. THEREFORE WE RESPECTFULLY REQUEST ADDITIONAL TIME TO COMPLETE THE RETURN.**

8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a \$
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b \$
c Balance Due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8c \$

Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature Title Accountants Authorized to Sign Date **AUG 12 2011**

Application for Extension of Time To File an Exempt Organization Return

▶ **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Type or print <small>File by the due date for filing your return. See instructions.</small>	Name of exempt organization THE J.M. KAPLAN FUND, INC.	Employer identification number
	C/O CONDON O'MEARA MCGINTY & DONNELLY	13-6090286
	Number, street, and room or suite no. If a P.O. box, see instructions. ONE BATTERY PARK PLAZA	
	7TH FLOOR	
City, town or post office, state, and ZIP code. For a foreign address, see instructions.		
NEW YORK, NY 10004-1405		

Enter the Return code for the return that this application is for (file a separate application for each return)

Application Is For	Return Code	Application Is For	Return Code
Form 990	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

• The books are in the care of ▶ THE J.M. KAPLAN FUND

Telephone No. ▶ 212 661-7777 FAX No. ▶ _____

- If the organization does not have an office or place of business in the United States, check this box
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ . If this is for the whole group, check this box . If it is for part of the group, check this box and attach a list with the names and EINs of all members the extension is for.

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 08/15, 20 11, to file the exempt organization return for the organization named above. The extension is for the organization's return for:

▶ calendar year 20 10 or

▶ tax year beginning _____, 20____, and ending _____, 20____.

2 If the tax year entered in line 1 is for less than 12 months, check reason: Initial return Final return Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$ <u>182,000.</u>
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$ <u>245,000.</u>
c Balance Due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$ _____

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

ATTACHMENT 1

FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
COMMUNITY CAPITAL BANK-CD	1,154.	1,154.
TOTAL	<u>1,154.</u>	<u>1,154.</u>

ATTACHMENT 2

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
INTEREST AND DIVIDENDS ON PARTNERSHIPS	2,324,641.	2,324,641.
DIVIDENDS ON SECURITIES		
INTEREST ON MONEY MARKET FUNDS	53.	53.
INTEREST ON BOND FUND	136,343.	136,343.
INTEREST ON NOTES RECEIVABLE	11,890.	11,890.
INTEREST ON EMERGING MARKET FUND	140,874.	140,874.
TOTAL	<u>2,613,801.</u>	<u>2,613,801.</u>

ATTACHMENT 3

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
OTHER PARTNERSHIP INCOME (LOSS)	449,972.	449,972.
LESS: AMOUNT ATTRIBUTABLE TO UBI		-208,961.
OTHER INCOME	4,677.	4,677.
PRI INCOME	5,200.	5,200.
INTEREST ON TAX REFUNDS	982.	982.
RETURN OF GRANTS	81,937.	
TOTALS	<u>542,768.</u>	<u>251,870.</u>

ATTACHMENT 4

FORM 990PF, PART I - LEGAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
PROSKAUER ROSE-GENERAL COUNSEL	14,064.			14,064.
TOTALS	<u>14,064.</u>	<u>0.</u>	<u>0.</u>	<u>14,064.</u>

ATTACHMENT 5

FORM 990PF, PART I - ACCOUNTING FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
CONDON O'MEARA MCGINTY & DONNELLY-AUDIT & TAX SERVICE	31,126.	18,676.		1,324.
TOTALS	<u>31,126.</u>	<u>18,676.</u>	<u>0.</u>	<u>1,324.</u>

ATTACHMENT 6

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>CHARITABLE PURPOSES</u>
CONSULTANT FEES AND STIPENDS BRANDYWINE MANAGEMENT COMPANY- ADVISORY FEES	344,871.	459,021.	349,251.
BANK OF NEW YORK-CUSTODIAL FEE COLCHESTER	459,021. 534. 47,914.	534. 47,914.	
TOTALS	<u>852,340.</u>	<u>507,469.</u>	<u>349,251.</u>

ATTACHMENT 7

FORM 990PF, PART I - INTEREST EXPENSE

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
INTEREST EXPENSE THRU PARTNERS LESS:AMOUNT ALLOCATED TO UBI	267,219.	267,219. -236,476.
TOTALS	<u>267,219.</u>	<u>30,743.</u>

ATTACHMENT 8

FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>CHARITABLE PURPOSES</u>
PAYROLL TAXES	34,126.	6,743.	27,383.
STATE TAXES ON U.B.I.	2,601.	2,601.	
DEFERRED EXCISE TAXES	37,000.		
LESS:AMOUNT ALLOCATED TO UBI		-2,601.	
FEDERAL TAXES ON UBI	21,077.		
FOREIGN WITHHOLDING-L.P.'S	42,304.	42,304.	
FEDERAL EXCISE TAX	260,605.		
TOTALS	<u>397,713.</u>	<u>49,047.</u>	<u>27,383.</u>

ATTACHMENT 9

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
INSURANCE	20,382.	6,115.	15,904.
TELEPHONE	14,085.	4,226.	11,417.
SUPPLIES	8,057.	2,417.	5,740.
REPAIRS AND MAINTENANCE	21,824.	6,399.	10,462.
FILING FEES	1,500.		1,500.
MISCELLANEOUS	9,965.	3,439.	6,266.
LIMITED PARTNERSHIP DEDUCTIONS		1,378,813.	
LESS: AMOUNT ALLOCATED TO UBI	1,378,813.	-32,134.	
TOTALS	<u>1,454,626.</u>	<u>1,369,275.</u>	<u>51,289.</u>

ATTACHMENT 10

FORM 990PF, PART II - PREPAID EXPENSES AND DEFERRED CHARGES

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
PREPAID EXPENSES	39,084.	39,084.
TOTALS	<u>39,084.</u>	<u>39,084.</u>

FORM 990PE, PART II - U.S. AND STATE OBLIGATIONS

ATTACHMENT 11

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
PIMCO	3,799,657.	3,799,657.
US OBLIGATIONS TOTAL	<u>3,799,657.</u>	<u>3,799,657.</u>

ATTACHMENT 12

FORM 990PF, PART II - CORPORATE STOCK

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
SEE ATTACHED SCHEDULE	56,956,670.	56,956,670.
TOTALS	<u>56,956,670.</u>	<u>56,956,670.</u>

The J.M. Kaplan Fund, Inc.
Investments- Corporate Stock
990PF- Part II Line 10B
EIN : 13-6090286

	Cost Value	Market Value
Sun Capital	\$ 2,863,562	\$ 1,246,909
Brookside Cayman	\$ 7,664,037	\$ 13,577,579
Chilton Global Natural Resources	\$ 4,500,000	\$ 5,576,198
Westgate Associates	\$ 2,673,043	\$ 16,010,853
HopLite	\$ 5,000,000	\$ 4,772,930
Special Opportunities Fund	\$ 2,672,639	\$ 2,596,532
Dover Street VI	\$ 1,274,076	\$ 1,540,912
Spindrift A Class Series 1	\$ -	\$ 1,389,863
Emerging Markets Growth Fund	\$ 7,857,703	\$ 8,323,037
Asia Capital Debt Investors	\$ 1,248,975	\$ 1,609,695
Asia Capital Tax Exempt Investors	\$ 278,309	\$ 312,162
	<u>\$ 36,032,344</u>	<u>\$ 56,956,670</u>

ATTACHMENT 13

FORM 990PF, PART II - CORPORATE BONDS

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
ASSURED GUAR LIFE AVENTI VI	872,799.	872,799.
TOTALS	<u>872,799.</u>	<u>872,799.</u>

ATTACHMENT 14

FORM 990PF, PART II - OTHER INVESTMENTS

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
EQUITY IN LIMITED PARTNERSHIPS PROGRAM RELATED INVESTMENTS	72,662,992. 260,000.	72,662,992. 260,000.
TOTALS	<u>72,922,992.</u>	<u>72,922,992.</u>

ATTACHMENT 15

FORM 990PF, PART II - OTHER ASSETS

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
ART WORK	57,500.	57,500.
TOTALS	<u>57,500.</u>	<u>57,500.</u>

ATTACHMENT 16

FORM 990PF, PART II - OTHER LIABILITIES

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>
DEFERRED TAXES	208,000.
TOTALS	<u>208,000.</u>

ATTACHMENT 17

FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

<u>DESCRIPTION</u>	<u>AMOUNT</u>
UNREALIZED GAINS FROM INVESTMENTS & L.P.	14,198,977.
TOTAL	<u>14,198,977.</u>

The J.M. Kaplan Fund, Inc.
For the year ended
December 31, 2010

<u>TRUSTEE/Address</u>	<u>Hours Worked/Wk</u>	<u>Contributions to "EE" Benefit Plans</u>	<u>Expense Account</u>	<u>Compensation</u>
Note: The mailing address for All Trustees is:				
C/o The J.M Kaplan Fund, Inc. 261 Madison Avenue 19 th Fl. New York, NY 10016				
Joan Davidson, Trustee	3-5	None	None	4,000
Elizabeth K. Fonseca, Trustee	3-5	None	None	5,000
Mary E. Kaplan, Trustee	3-5	None	None	5,000
Richard D. Kaplan, Trustee	3-5	None	None	5,000
J. Matthew Davidson, Trustee	3-5	None	None	9,000
Brad Davidson, Trustee	3-5	None	None	9,000
Elizabeth Davidson Pickering, Trustee	3-5	None	None	9,000
Peter Davidson, Trustee	3-5	None	None	9,000
Caio Fonseca, Trustee	3-5	None	None	5,000
Isabel Fonseca, Trustee	3-5	None	None	8,000
Quina Fonseca, Trustee	3-5	None	None	9,000
Conn Nugent, Exec. Director/Secretary	40	55,336	None	\$236,314
		<u>\$ 55,336</u>	<u>\$ -0-</u>	<u>\$313,314</u>

990PF, PART VIII - COMPENSATION OF THE FIVE HIGHEST PAID EMPLOYEES

ATTACHMENT 18

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS</u>	<u>EXPENSE ACCT TO EMPLOYEE AND OTHER ALLOWANCES</u>
WILLIAM P. FALAHEE 261 MADISON AVENUE NEW YORK, NY 10016	CONTROLLER 40.00	108,511.	38,186.	0.
ANGELA CARABINE 261 MADISON AVENUE NEW YORK, NY 10016	GRANTS MANAGER 40.00	89,730.	34,314.	0.
LAURA HANSEN 261 MADISON AVENUE NEW YORK, NY 10016	PROGRAM OFFICER 30.00	63,719.	4,842.	0.
ANN BIRCKMAYER 261 MADISON AVENUE NEW YORK, NY 10016	PROGRAM ASSOCIATE 35.00	51,870.	4,299.	0.
<u>TOTAL COMPENSATION</u>		<u>313,830.</u>	<u>81,641.</u>	<u>0.</u>

990PF, PART VIII- COMPENSATION OF THE FIVE HIGHEST PAID PROFESSIONALSATTACHMENT 19

<u>NAME AND ADDRESS</u>	<u>TYPE OF SERVICE</u>	<u>COMPENSATION</u>
BRANDYWINE MANAGEMENT CO. 880 THIRD AVENUE NEW YORK, NY	INVESTMENT SERVICES	459,021.
SUZETTE BROOKS MASTERS 40 EAST 88TH STREET NEW YORK, NY 10075	GRANT CONSULTANT	83,332.
KEN LUSTBADER 37 WEST 12TH STREET NEW YORK, NY 10011	GRANT CONSULTANT	72,917.
	TOTAL COMPENSATION	<u>615,270.</u>

FORM 990PF, PART XV - INFORMATION REGARDING FOUNDATION MANAGERS

JACOB M. KAPLAN-DECEASED
HENRY C. KAPLAN-DECEASED

FORM 990PF, PART XV - NAME, ADDRESS AND PHONE FOR APPLICATIONS

SEE STATEMENT ATTACHED



Letter from the Chairman
History of the Fund
Grant Programs
Discretionary Grants
Guidelines
Trustees & Staff
Contact Us
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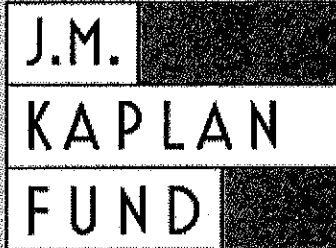
Guidelines

Organizations interested in applying for a grant from the Environment, Historic Preservation, or Migration programs should send a brief letter of inquiry to Angela Carabine, Grants Manager, J.M. Kaplan Fund, 261 Madison Ave, 19th floor, NY NY 10016. Applicants will be notified within thirty days if they are to submit a full proposal.

Requests for interviews or personal meetings will be honored only after the submission of a written letter of inquiry.

Organizations interested in the Furthermore program should contact Ann Birckmayer, Program Associate, Furthermore Grants in Publishing, PO Box 667, Hudson NY 12534, telephone 518-828-8900.

Discretionary grants are made by individual trustees on the basis of their own investigations; no applications or proposals will be reviewed.



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Grant Programs

The J. M. Kaplan Fund is a New York-based family foundation. The four children and seven grandchildren of Jacob M. Kaplan constitute the Fund's Board of Trustees. Assets as of March 2009 are approximately \$120 million. Three-fifths of the annual grants budget is awarded by the trustees acting in common.

I. COMMON GRANTS

There are four official Fund grant programs:

- City Life
- Environment
- Historic Preservation
- Migrations

City Life Program.

The City Life Program concentrates on public spaces and public services throughout the five boroughs of New York City. It focuses particularly on *parks* and *streets*.

- **Parks.** Projects to: assure public access to well-maintained parks and greenery in all neighborhoods; enhance public uses of harbor and shoreline; and promote community participation in parks governance and operations.
- **Streets.** Projects to: advocate more and better rail, bus, and water transport; expand pedestrian zones and bikepaths; and regulate truck and automobile traffic.

Environment Program.

The Environment Program concentrates on marine conservation, especially in ocean waters that lie beyond the jurisdiction of a single national government. The program currently supports grantees working to: create international protections for species and ecoregions of the High Seas; educate scientists and the public about the value and vulnerability of the ocean as a world system; and foment civil society movements to protect Arctic waters and Arctic coastal communities.

Historic Preservation.

The Operating Board is currently reviewing proposals for the preservation and protection of major archaeological sites in North Africa and Southwest Asia. In addition, the Fund also supports: development of a movement to inventory and protect North American industrial architecture; zoning protections for twentieth century architecture in Miami and Havana; and the rescue of particularly valuable / particularly threatened buildings in New York City.

Migrations.

The Fund aims to support: a) comprehensive immigration policy reform for the United States; and b) the integration of immigrants into local and national communities. The former aim is pursued through grants for public education and advocacy. The latter is pursued by efforts, initiated by the Fund, to: bolster local immigrant-friendly policies and programs; highlight immigrant contributions to the commonweal; and establish, with the Migration Policy Institute, a new award – the E Pluribus Unum Prize – intended to honor government agencies, non-profit organizations, businesses, and individuals who have succeeded in integrating recent immigrants and adding value to the larger community.

Application Procedures.

The great majority of projects funded through the four Common Grant programs

are solicited by Kaplan Fund staff. Unsolicited written inquiries are welcome, however. Organizations should submit a brief letter – no more than two pages – describing their work and its relevance to the specific program interests of the Fund. Send to: Angela Carabine, Grants Manager, JM Kaplan Fund, 261 Madison Avenue 19th Floor, New York NY 10016. Telephone inquiries are discouraged.

II. FURTHERMORE


In addition to these principal efforts, the Fund also sponsors Furthermore grants in publishing, a program directed by president emeritus Joan K. Davidson. Furthermore supports books on art, architecture, and design; conservation; cultural history; and public issues. More information about Furthermore can be obtained from the program's office at PO Box 667, Hudson NY 12534, telephone 518-828-8900.

III. DISCRETIONARY GRANTS

Approximately two-fifths of the Fund's annual grants budget is distributed to organizations selected by individual trustees on the basis of their own investigations. These discretionary grants support a wide variety of activities, including:

- art, architecture, publishing, and design;
- conservation of land and buildings;
- policy analysis and discussion of civic issues;
- human rights and social justice.

Most discretionary grants support groups or activities in New York City and New York State, the traditional focus of Kaplan Fund philanthropy. **Discretionary grant proposals are by invitation only.**



FORM 990EF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 22

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

FOUNDATION STATUS OF RECIPIENT

RECIPIENT NAME AND ADDRESS

PURPOSE OF GRANT OR CONTRIBUTION

AMOUNT

SEE STATEMENT 23

NONE
PUBLIC

SEE SCHEDULE ATTACHED

7,138,886.

TOTAL CONTRIBUTIONS PAID

7,138,886.

The J.M. Kaplan Fund, Inc.

List of Grants

31-Dec-10

Ein: 13-6090286

Payee Name	Request ID	Amount	Request Project Title
ACE Programs for the Homeless	2010070	\$10,000.00	General Operating Support
Adirondack Wild: Friends of the Forest Preserve	2010224	\$5,000.00	General Operating Support
Adoption-Link	2010052	\$5,000.00	General Operating Support
Alliance for Justice	2010083	\$5,000.00	General Operating Support
Amend Org	2010251	\$5,000.00	General Operating Support
American Cancer Society	2010053	\$10,000.00	For "Hope Lodge New York City"
American Civil Liberties Union Foundation	2010201	\$25,000.00	Immigrants' Rights Project
American Federation of Arts	2010227	\$2,500.00	General Operating Support
American Heart Association, Inc.	2010025	\$120.00	General Support
American Immigration Council	2010120	\$85,000.00	Immigration Policy Center (IPC) Rapid Response Project on Immigration Reform
American Red Cross in Greater New York	2010002	\$750.00	Earthquake in Haiti Relief Operation
America's Voice Education Fund	2010021	\$135,000.00	National Blog Desk and Online Advocacy Project
ANAI Inc.	2010176	\$5,000.00	Stream and Watershed Biomonitoring in the Greater Talamanca Region
Annapolis Maritime Museum	2010214	\$1,000.00	General Operating Support
Annapolis Symphony Orchestra	2010215	\$1,000.00	General Operating Support
Appalachian Mountain Club	2010141	\$2,500.00	For the book, "Nature Guide to the Northern Forest: Exploring the Ecology of the Forests of New York, New Hampshire, Vermont and Maine"

The J.M. Kaplan Fund, Inc.
 List of Grants
 31-Dec-10
 EIN: 13-6090286

Archaeological Institute of America	2010079	\$25,000.00	To provide a comprehensive analysis and report based on criteria for funding international conservation/restoration projects in the Near East and Mediterranean Basin.
Ascension Outreach Inc.	2010131	\$5,000.00	The Michael D. Fender Memorial Food Pantry
Asian Americans for Equality	2010195	\$60,000.00	Lower East Side (LES)/Chinatown Biking Coalition
Association of Small Foundations	2010031	\$2,000.00	2010 Membership
Aston Magna Foundation for Music and the Humanities	2010054	\$2,000.00	General Operating Support
Bard College	2010142	\$5,000.00	For the book, "Alban Berg and His World"
Barnard College	2010077	\$12,000.00	Enhancing Grammar Studies at Barnard College
Bennington College	2010240	\$5,000.00	The Bennington Fund Scholarship Challenge
Berkshire Taconic Community Foundation	2010277	\$7,500.00	Kaplan Mount Washington Fund
Beth Israel Medical Center	2010168	\$20,000.00	\$10,000 The Martin M. Feuer M.D. Education Fund \$10,000 General Operating Support allocated by Dr. Marvin McMillen
BLOOM	2010019	\$75,000.00	Deep-sea Fisheries Strategy
Broadway Walk of Stars Foundation	2010170	\$10,000.00	General Operating Support
Brooklyn Friends School	2010008	\$7,500.00	2009/2010 Annual Fund
Brooklyn Public Library	2010268	\$5,000.00	General Operating Support

The J.M. Kaplan Fund, Inc.
 List of Grants
 31-Dec-10
 Ein: 13-6090286

ccSCOOP	2010086	\$2,500.00	General Operating Support	
Center for New Community	2010118	\$125,000.00	Nativism Watch Project	
Center for Reproductive Rights	2010045	\$10,000.00	General Operating Support	
Century Association Archives Foundation	2010203	\$1,000.00	General Support	
charity: water	2010247	\$10,000.00	General Operating Support	
Cherokee Gives Back	2010225	\$250,000.00	The Green Communities Initiative	
Chicago Public Media	2010041	\$5,000.00	Campaign for a Sound Future	
Citizens Budget Commission	2010263	\$4,000.00	General Operating Support	
City Futures Inc.	2010017	\$60,000.00	The Center for an Urban Future: "Getting to Work" Quality Transportation for New York's Working Poor	
City Parks Alliance	2010030	\$2,500.00	2010 Membership	
City Parks Foundation	2010088	\$2,500.00	Fort Tryon Park Trust	
City Parks Foundation	2010145	\$3,500.00	For the book, "Notable Trees of New York"	
Colorado College	2010039	\$5,000.00	Annual Fund	
Columbia County Historical Society, Inc.	2010089	\$5,000.00	General Operating Support	
Columbia Land Conservancy, Inc.	2010178	\$10,000.00	General Operating Support	
Columbia-Greene Hospital Foundation	2010090	\$5,000.00	General Operating Support	
Columbia-Greene Hospital Foundation	2010056	\$5,000.00	General Operating Support	
The J.M. Kaplan Fund, Inc.				
List of Grants				
31-Dec-10				
Ein: 13-6090286				
Conscious Capitalism Inc.	2010245	\$25,000.00	General Operating Support	
Conservacion Patagonica	2010267	\$25,000.00	General Operating Support	

Constitutional Education Foundation, Inc.	2010182	\$2,500.00	The Constitution Works Program
Consultative Group on Biological Diversity	2010078	\$30,000.00	Success Stories in Grantmaking and Policy Change
Consultative Group on Biological Diversity	2010029	\$5,000.00	2010/2011 Membership
Cotiliano Mujer	2010244	\$30,000.00	"Nunca en Domingo" Never on Sunday Radio Program
Coudert Institute	2010232	\$15,000.00	General Operating Support and Development
Coudert Institute	2010057	\$15,000.00	General Operating Support
Critical Review Foundation	2010116	\$35,000.00	General Operating Support
Doctors Without Borders	2010248	\$10,000.00	General Operating Support
Donors Trust	2010241	\$131,753.00	The Project for New Philanthropy Studies
Ensemble Studio Theatre, Inc.	2010006	\$40,000.00	General Operating Support
Ensemble Studio Theatre, Inc.	2010211	\$50,000.00	General Operating Support
Environmental Advocates	2010091	\$2,500.00	General Operating Support
Environmental Defense	2010190	\$5,000.00	Fair Share Harbor Funding Campaign: Feasibility Study
Environmental Defense	2010015	\$50,000.00	BRT/Select Bus Service (SBS) Coalition
Environmental Grantmakers Association	2010124	\$5,000.00	General Operating Support
Essential Information, Inc.	2010005	\$60,000.00	General Support
Essential Information, Inc.	2010080	\$40,000.00	General Support
Essential Information, Inc.	2010184	\$25,000.00	General Operating Support
Essential Information, Inc.	2010172	\$30,000.00	General Support
The J.M. Kaplan Fund, Inc.			
List of Grants			
31-Dec-10			
Ein: 13-6090286			
Film Forum	2010075	\$5,000.00	General Operating Support
FIRN	2010222	\$1,000.00	General Operating Support
FJC	2010059	\$25,000.00	The Streaming Museum Project
Food Link Inc.	2010185	\$10,000.00	To fund the salary of the Operations Coordinator
Fordham University	2010026	\$1,800.00	Global Outreach Project at Fordham University

Four Nations Ensemble	2010092	\$3,000.00	General Operating Support
Franklin D. Roosevelt Four Freedoms Park	2010228	\$50,000.00	FDR Four Freedoms Park - Roosevelt Legacy Chapter
Franklin D. Roosevelt Four Freedoms Park	2010129	\$50,000.00	FDR Four Freedoms Park in memory of Alice M. Kaplan
Freedom Institute	2010246	\$5,000.00	General Operating Support
Friends of Clermont	2010093	\$2,500.00	General Operating Support
Friends of Clermont	2010146	\$5,000.00	For the book, "Clermont"
Friends of P.S. 166	2010217	\$4,485.00	General Operating Support
Friends of P.S. 166	2010138	\$5,000.00	General Operating Support
Friends of the Earth	2010117	\$50,000.00	Protecting the Arctic Environment from Shipping Pollution
Friends of the Upper East Side Historic Districts	2010094	\$2,500.00	General Operating Support
Gentlemen of the Gardens	2010060	\$20,000.00	\$10,000 toward landscaping at Ann Norton/ \$10,000 General Operating Support
Glynwood	2010095	\$5,000.00	General Operating Support
Good Old Lower East Side	2010200	\$80,000.00	Lower East Side (LES)/Chinatown Biking Coalition
Grantmakers Concerned with Immigrants and Refugees	2010033	\$2,000.00	2010 Membership
Grants Managers Network	2010032	\$2,000.00	2010 Membership
The J.M. Kaplan Fund, Inc.			
List of Grants			
31-Dec-10			
Ein: 13-6090286			
Green Map System	2010196	\$50,000.00	Lower East Side (LES)/Chinatown Biking Coalition
Greenway Heritage Conservancy HRV, Inc.	2010194	\$10,000.00	For the documentary, Bend in the River: The Future of the Hudson River Valley
Greenwich Village Society for Historic Preservation	2010234	\$5,000.00	General Operating Support
Growing Power	2010252	\$5,000.00	General Operating Support
Hester Street Collaborative	2010197	\$50,000.00	Lower East Side (LES)/Chinatown Biking Coalition
High Mountain Institute	2010051	\$5,000.00	General Operating Support
Historic Columbia Foundation	2010274	\$2,500.00	Woodrow Wilson Family Home Restoration Project
Historic Districts Council, Inc.	2010097	\$2,500.00	General Operating Support

Historic House Trust of New York City, Inc.	2010098	\$2,500.00	General Operating Support
Hope for Depression Research Foundation	2010233	\$10,000.00	General Operating Support
Hopital Albert Schweitzer Haiti	2010061	\$5,000.00	General Operating Support
Hudson Area Association Library	2010134	\$2,500.00	General Operating Support
Hudson Opera House	2010179	\$10,000.00	General Operating Support
Hudson Opera House	2010062	\$5,000.00	General Operating Support
Hudson Valley Chamber Music Circle	2010099	\$2,500.00	General Operating Support
Hudsonia Limited	2010100	\$2,500.00	General Operating Support
ImmigrationWorks Foundation	2010023	\$90,000.00	General Operating Support
The J.M. Kaplan Fund, Inc.			
List of Grants			
31-Dec-10			
Ein: 13-6090286			
Inuit Circumpolar Council (ICC) Alaska ioby.org	2010082	\$20,000.00	For ICC Chukotka to participate in the ICC General Assembly to be held in Nuuk, Greenland this summer.
Irish Georgian Society	2010174	\$2,250.00	General Operating Support
Ironbound Community Corporation	2010063	\$10,000.00	General Operating Support
Island Institute	2010193	\$5,000.00	Fair Share Harbor Funding Campaign: Feasibility Study
Islands First	2010259	\$15,000.00	General Operating Support
IUCN - USA	2010257	\$10,000.00	General Operating Support
IUCN - USA	2010020	\$22,500.00	Identifying Potential Protected Areas in the Arctic: Incorporating Local Community Knowledge in collaboration with NRDC
Kenosha Christmas Charities Inc.	2010122	\$30,000.00	Sargasso Sea Initiative
Land Institute	2010237	\$5,000.00	General Operating Support
Land Institute	2010173	\$25,000.00	General Operating Support

Land Trust Alliance, Inc.	2010102	\$2,500.00	General Operating Support	
Landmark Westl	2010101	\$2,500.00	General Operating Support	
Landmark Westl	2010226	\$2,500.00	General Operating Support in honor of 25th Anniversary	
Lenox Hill Hospital	2010103	\$5,000.00	General Operating Support	
Light House	2010035	\$5,000.00	Light House "Campaign for Shelter"	
Malpai Borderlands Group	2010123	\$10,000.00	General Operating Support	
Manhattan Institute for Policy Research	2010243	\$100,000.00	Social Entrepreneurship Initiative	
Mary Obolensky Underwood Leukaemia Foundation Inc.	2010064	\$1,000.00	General Operating Support	
Maryland Hall for the Creative Arts, Inc.	2010213	\$4,000.00	General Operating Support	
Maryland Youth Ballet	2010221	\$1,000.00	General Operating Support	
Merchant's House Museum	2010104	\$2,500.00	General Operating Support	
The J.M. Kaplan Fund, Inc.				
List of Grants				
31-Dec-10				
Ein: 13-6090286				
Merchant's House Museum	2010155	\$3,500.00	For the book, An Old Merchant's House: Life in Mid-Nineteenth Century New York, 1835-1865"	
Metropolitan Waterfront Alliance	2010218	\$5,000.00	General Operating Support	
Metropolitan Waterfront Alliance	2010219	\$5,000.00	General Operating Support	
Metropolitan Waterfront Alliance	2010186	\$7,500.00	Fair Share Harbor Funding Campaign: Feasibility Study	
Mohonk Preserve, Inc.	2010105	\$2,500.00	General Operating Support	
Mount Holyoke College	2010135	\$5,000.00	Alumnae Annual Fund	
Museum of Arts and Design	2010066	\$20,000.00	General Operating Support	
Musica Sacra	2010106	\$2,500.00	General Operating Support	
National Association for Olmsted Parks	2010149	\$5,000.00	For the book, "The Frederick Law Olmsted Papers: Volume 8: The Early Boston Years, 1882-1890"	
National Audubon Society	2010230	\$30,000.00	The Florida Invasive Species Task Force	
National Parks Conservation Association	2010187	\$7,500.00	Fair Share Harbor Funding Campaign: Feasibility Study	
Natural Resources Defense Council, Inc.	2010235	\$5,000.00	General Operating Support	

Natural Resources Defense Council, Inc.	2010236	\$5,000.00	General Operating Support - Midwest Regional Office	
Natural Resources Defense Council, Inc.	2010151	\$5,000.00	NRDC Archives Project	
Natural Resources Defense Council, Inc.	2010150	\$15,000.00	For the magazine, "OnEarth"	
Neighborhood Coalition for Shelter	2010107	\$2,500.00	General Operating Support	
New York City Environmental Justice Alliance	2010192	\$5,000.00	Fair Share Harbor Funding Campaign: Feasibility Study	
The J.M. Kaplan Fund, Inc.				
List of Grants				
31-Dec-10				
Ein: 13-6090286				
New York Philharmonic	2010034	\$5,000.00	General Support	
New York Preservation Archive Project	2010108	\$1,000.00	General Operating Support	
New York Public Interest Research Group	2010016	\$25,000.00	Straphangers Campaign: BRT/Select Bus Service (SBS) Coalition	
New York Public Radio	2010049	\$2,500.00	General Support	
New York Society Library Trustees	2010109	\$2,500.00	General Operating Support	
New York State Archives Partnership Trust	2010152	\$5,000.00	For the journal, "New York Archives"	
New York Stem Cell Foundation	2010206	\$15,000.00	General Operating Support	
New York University	2010153	\$5,000.00	For the book, The Selected Papers of Margaret Sanger Volume 4: Round the World for Birth Control, 1920-1966"	
North Country School	2010036	\$25,000.00	Capital Campaign for general purposes	
North Country School	2010037	\$10,000.00	Annual Fund	
North Country School	2010183	\$5,000.00	Annual Fund	
North Country School	2010253	\$5,000.00	Annual Fund	
Open Space Institute, Inc.	2010110	\$2,500.00	General Operating Support for Catskill Mountainkeeper	
Open Space Institute, Inc.	2010239	\$5,000.00	General Operating Support	

Park Avenue Armory	2010180	\$2,500.00	General Operating Support
Partners in Island Education	2010262	\$4,000.00	General Operating Support
Paul Rudolph Foundation	2010156	\$5,000.00	For the book, "Writing on Architecture"
PEN American Center, Inc.	2010043	\$2,500.00	PEN/Saul Bellow Award for Achievement in American Fiction
PEN American Center, Inc.	2010042	\$5,000.00	General Support
The J.M. Kaplan Fund, Inc.			
List of Grants			
31-Dec-10			
Ein: 13-6090286			
Pennsylvania State University	2010157	\$5,000.00	For the book, "International Agent of Modern Art: A Biography of the Ubiquitous Walter Pach (1883-1958)"
Philadelphia Museum of Art	2010158	\$5,000.00	For the book, "Rembrandt and the Image of Jesus"
Philanthropy New York	2010027	\$12,600.00	2010 Membership
Planned Parenthood of the Mid-Hudson Valley	2010048	\$2,500.00	General Support
Poetry Theatre	2010165	\$10,000.00	General Operating Support
Portland Museum of Art	2010209	\$40,000.00	The Winsow Homer Studio Restoration Campaign
Pratt Institute	2010012	\$75,000.00	Pratt Center for Community Development: BRT/Select Bus Service (SBS) Coalition
Princeton University Press	2010159	\$9,500.00	For the book, "Michelangelo" A Life on Paper"
Pro Musicis	2010169	\$10,000.00	Byron Janis Documentary Project
Progressive States Network	2010024	\$45,000.00	State Immigration Project
Public Interest Projects	2010022	\$125,000.00	Four Freedom Fund's Strategic Communication Initiative
Recycle-A-Bicycle	2010199	\$50,000.00	Lower East Side (LES)/Chinatown Biking Coalition
Regional Plan Association	2010205	\$30,000.00	General Operating Support and Development
Regional Plan Association	2010067	\$50,000.00	General Operating Support
Regional Plan Association	2010188	\$5,000.00	Fair Share Harbor Funding Campaign: Feasibility Study
Reprive	2010044	\$16,500.00	Life After Guantanamo Project

Restore		2010249	\$10,000.00	General Operating Support	
The J.M. Kaplan Fund, Inc.					
List of Grants					
31-Dec-10					
Ein: 13-6090286					
Riverkeeper		2010111	\$1,000.00	General Operating Support	
Rocky Mountain Institute		2010220	\$25,000.00	General Operating Support	
Saint Ann's School		2010258	\$25,000.00	229 Baltic Street Fund	
Saint John's College		2010114	\$5,000.00	National Media Relations Initiative and Marketing Plan	
Saint John's College		2010113	\$25,000.00	SJC Annual Fund: 2010/2011	
				or the on-going programs that support the National Historic Site in Cornish, NH and to promote awareness and appreciation of the work of Augustus Saint-Gaudens	
Saint-Gaudens Memorial		2010204	\$10,000.00	General Operating Support	
Sanctuary for Families		2010250	\$10,000.00	General Operating Support	
Scenic Hudson, Inc.		2010127	\$5,000.00	Protection of the Olana Viewshed in partnership with The Olana Partnership	
Scenic Hudson, Inc.		2010128	\$5,000.00	Protection of the Olana Viewshed in partnership with The Olana Partnership	
Second Stage Theatre		2010046	\$5,000.00	General Operating Support	
Sharon Historical Society		2010140	\$210.00	General Operating Support	
Sharon Historical Society		2010229	\$390.00	General Operating Support	
Society of the Four Arts		2010069	\$10,000.00	General Operating Support	
Source Music Inc.		2010133	\$2,000.00	For the production, "The Mystery of Tibetan Singing Bowls" at the Metropolitan Museum of Art on October 31, 2010.	
St. Luke's Chamber Ensemble, Inc.		2010047	\$5,000.00	General Support	
St. Paul's Episcopal Church		2010231	\$1,000.00	Rector's Discretionary Fund	
The J.M. Kaplan Fund, Inc.					

List of Grants

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Susan Smith Blackburn Prize	2010071	\$1,000.00	General Operating Support	
Sustainable Development Institute	2010160	\$5,000.00	For the book, "America's Rhineland: How Water and Power Shaped the Hudson's Renaissance"	
The Alex Fund	2010171	\$20,000.00	To support Ovidu Rom staff person/researcher for 12 months	
The ARC	2010264	\$2,000.00	General Operating Support	
The Battery Conservancy	2010085	\$5,000.00	General Operating Support	
The Battery Conservancy	2010143	\$3,000.00	For the book, "The Bosque: An Urban Oasis"	
The Bay Theatre	2010216	\$1,000.00	General Operating Support	
The British Garden at Hanover Square	2010055	\$5,000.00	General Operating Support	
The Brookings Institution	2010265	\$5,000.00	Metropolitan Policy Program	
The Brooklyn Academy of Music, Inc.	2010144	\$5,000.00	For the book, "BAM@150"	
The Brooklyn Academy of Music, Inc.	2010139	\$5,000.00	General Operating Support	
The Brooklyn Academy of Music, Inc.	2010260	\$5,000.00	General Operating Support	
The Bryn Mawr School for Girls of Baltimore City	2010212	\$30,000.00	Annual Fund: 2010/2011	
The Canelo Project	2010175	\$10,000.00	For photographs and publication of Fund activities in the borderlands of the US and Mexico.	
The Center for Book Arts	2010087	\$2,500.00	General Operating Support	
The Center for Humans and Nature	2010007	\$5,000.00	General Operating Support	
The Culture Project	2010207	\$10,000.00	General Operating Support	
The Dalton School	2010266	\$10,000.00	Annual Fund	
The English Speaking Union	2010167	\$10,000.00	General Operating Support	
The Foundation Center	2010028	\$7,500.00	2010 Membership	
The George Mason University Foundation	2010238	\$100,000.00	Project on New Inquiry into Social Research	
The Graduate School and University Center	2010208	\$5,000.00	General Support	

The J.M. Kaplan Fund, Inc.

List of Grants

31-Dec-10

Ein: 13-6090286

The Greene County Historical Society	2010096		\$2,500.00		For Cedar Grove, the Thomas Cole National Historic Site	
The Green-Wood Historic Fund	2010275		\$5,000.00		The Historic Fund	
The Key School	2010003		\$5,000.00		2009/2010 Annual Fund	
The Municipal Art Society of New York	2010050		\$2,500.00		General Support	
The Municipal Art Society of New York	2010081		\$37,500.00		Demonstration Project to Improve Efficiency of a Historic Building; and "Green" House Manual Publication	
The Municipal Art Society of New York	2010065		\$5,000.00		General Operating Support	
The Museum of the City of New York	2010148		\$5,000.00		For the book, "Dutch New York and Beyond: A Travel Guide"	
The Museum of the City of New York	2010147		\$10,000.00		For the book, "America's Mayor: John V. Lindsay and the Reinvention of New York"	
The New York Foundation for the Arts	2010009		\$80,000.00		Artists Fund	
The New York Foundation for the Arts	2010004		\$350,000.00		Artists Fund	
The New York Foundation for the Arts	2010130		\$32,500.00		Artists Fund	
The New York Foundation for the Arts	2010130		\$9,500.00		Artists Fund	
The New York Foundation for the Arts	2010166		\$70,000.00		Artist Fund	
The New York Foundation for the Arts	2010001		\$10,500.00		Artists Fund	
The New York Immigration Coalition, Inc.	2010011		\$50,000.00		Immigrants & Parks Collaborative	
The New York Landmarks Conservancy	2010076		\$50,000.00		Sacred Sites Program	
The New York Times Neediest Cases Fund	2010269		\$5,000.00		Brooklyn Community Services	

The J.M. Kaplan Fund, Inc.

List of Grants

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The New-York Historical Society	2010154	\$5,000.00	For the book, "Nueva York"
The Olana Partnership	2010126	\$5,000.00	Protection of the Olana Viewshed in partnership with Scenic Hudson
The Olana Partnership	2010125	\$5,000.00	Protection of the Olana Viewshed in partnership with Scenic Hudson
The Oliverian School	2010040	\$5,000.00	Annual Fund
The Point Community Development Corporation	2010276	\$44,000.00	General Operating Support
The Point Community Development Corporation	2010254	\$5,000.00	General Operating Support
The Preservation League of New York State	2010177	\$10,000.00	General Operating Support
The Putney School	2010137	\$6,000.00	Annual Fund
The Ragdale Foundation	2010038	\$15,000.00	Annual Fund
The Ragdale Foundation	2010273	\$2,500.00	General Operating Support
The Skyscraper Museum	2010068	\$5,000.00	General Operating Support
The Paul Klebnikov Fund	2010115	\$10,000.00	For the programs and activities of The Paul Klebnikov Fund, a project of The Train Foundation (Journalism Internship Program)
The Triangle Arts Association	2010136	\$10,000.00	2010 Triangle Artists Workshop
The University of North Carolina Press	2010164	\$5,580.00	For the book, "Columbia Rising: Civil Life on the Upper Hudson from the Revolution to the Age of Jackson"
Thirteen WNET	2010256	\$5,000.00	General Operating Support
Thomas Cole National Historic Site	2010210	\$5,000.00	General Support
Tides Center	2010223	\$15,000.00	Empire State Future
Time's Up	2010198	\$30,000.00	Lower East Side (LES)/Chinatown Biking Coalition
The J.M. Kaplan Fund, Inc.			
List of Grants			
31-Dec-10			
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Transportation Alternatives	2010018	\$50,000.00	Bicycle Equity Coalition	
Transportation Alternatives	2010013	\$75,000.00	BRT/Select Bus Service (SBS) Coalition	
Tri-State Transportation Campaign	2010014	\$50,000.00	BRT/Select Bus Service (SBS) Coalition	
Trust for Public Land	2010189	\$5,000.00	Fair Share Harbor Funding Campaign: Feasibility Study	
University of Chicago	2010161	\$5,000.00	For the book, "An Audience of Artists: Dada, Neo-Dada, and the Emergence of Abstract Expressionism, 1945-1953"	
University of Chicago	2010162	\$3,500.00	For the book, "Echos of the Past: The Buddhist Cave Temples of Xiangtangshan"	
University of Maine	2010163	\$3,000.00	For the book, "The Changing Ecology of the Maine Woods"	
Upwardly Global	2010202	\$10,000.00	General Operating Support	
urbanStages	2010072	\$15,000.00	General Operating Support	
Uruguayan-American Foundation Inc.	2010255	\$5,000.00	General Operating Support	
WAMC Northeast Public Radio	2010010	\$2,500.00	General Operating Support	
WAMC Northeast Public Radio	2010010	\$2,500.00	General Operating Support	
WAMC Northeast Public Radio	2010010	\$2,500.00	General Operating Support	
WE ACT for Environmental Justice	2010191	\$5,000.00	Fair Share Harbor Funding Campaign: Feasibility Study	
Tennessee Immigrant and Refugee Rights Coalition	2010121	\$25,000.00	Welcoming America "National Desk"	
Westchester Community College Foundation	2010119	\$95,000.00	Community College Consortium for Immigrant Education (CCCIE)	
Westminster College	2010074	\$15,000.00	For Winston Churchill Memorial & Library (\$10,000 archival support and \$5,000 General Operating Support)	
The J.M. Kaplan Fund, Inc.				
List of Grants				
31-Dec-10				
Ein: 13-6090286				
Inver National School		\$5,000.00	General Operating Support	
Wings Trust Inc.	2010181	\$10,000.00	General Operating Support	

Wings World Quest	2010073	\$5,000.00	General Operating Support	
Winnakee Land Trust Inc.	2010112	\$2,500.00	General Operating Support	
IUCN US	2009327	\$100,000.00	Temperate Grasslands Conservation Initiative	
World Monuments Fund	2010261	\$5,000.00	General Operating Support	
Young Life Gramercy Park	2010132	\$5,000.00	General Operating Support	
Clean Air-Cool Planet	2009106	\$30,000.00	Avoiding Arctic Tipping Points	
Environmental Defense	2009102	\$37,500.00	Protecting Coastal and Ocean Resources in Cuba	
Fundacion Amistad	2009249	\$50,000.00	\$25,000 for the preservation and on-site follow-up for the Calle Cardenas Project; \$50,000 General Operating Support	
Preservation North Dakota	2009345	\$35,000.00	General Operating Support	
IUCN - USA	2009107	\$62,500.00	High Seas Conservation Initiative	
Marine Conservation Biology Institute	2009099	\$75,000.00	Deep Sea Conservation Coalition: High Seas Bottom Trawling Campaign	
Marine Conservation Biology Institute	2009103	\$10,000.00	Science in High Seas' Decision-Making, 2009-2010	
Migration Policy Institute	2008193	\$280,000.00	United We Succeed: Exemplary Practices in Immigrant Integration, a national awards program	
Universidad Nacional Autonoma de Mexico	2009355	\$50,000.00	Janos Biosphere Reserve	
Natural Resources Defense Council, Inc.	2009101	\$37,500.00	Improving International Oceans and Arctic Oceans Governance	
World Monuments Fund	2009320	\$22,500.00	TAY Documentation Project	
The J.M. Kaplan Fund, Inc.				
List of Grants				
31-Dec-10				
Ein: 13-6090286				
Ocean Genome Legacy	2009100	\$75,000.00	The Coral Reef Genomic Biodiversity Archive/General Support	
Pacific Environment	2009244	\$50,000.00	To build the capacity of the Russian Association of Indigenous Peoples of the North (RAIPON) to conserve the Arctic.	
Pacific Environment	2009105	\$125,000.00	Creating a Circumpolar Civil Society to Protect the Arctic Environment and Promote Sustainable Social Development	

The Pew Charitable Trusts	2009245	\$50,000.00	Oceans North: Protecting Life in the Arctic Campaign
Koc University	2009322	\$30,000.00	Preservation of Ani
Consultative Group on Biological Diversity	2008056	\$5,000.00	General Support
1000 Friends of Maryland	2009368	\$1,000.00	General Support
African Rainforest Conservancy	2009352	\$5,000.00	General Support
Albany Institute of History and Art	2009369	\$5,000.00	For the book, "Hudson River Panorama: A Passage through Time"
Alliance for Justice	2009404	\$1,000.00	General Support
American Red Cross in Greater New York	2009395	\$1,462.00	Earthquake in Haiti Relief Operation
Annapolis Symphony Orchestra	2009364	\$1,000.00	General Support
Barnard College	2009361	\$300.00	Center for Toddler Development
Bay Land Trust	2009365	\$1,000.00	General Support
Berkshire Taconic Community Foundation	2009405	\$10,000.00	Kaplan Mount Washington Fund
Center for Craft, Creativity & Design	2009385	\$7,000.00	For the book, "Makers: The History of American Studio Craft"
Center for Urban Pedagogy (CUP)	2009353	\$900.00	General Support
The J.M. Kaplan Fund, Inc.			
List of Grants			
31-Dec-10			
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Century Association Archives Foundation	2009396	\$1,000.00	"Century Association Archives"
City Harvest, Inc.	2009356	\$1,350.00	General Support
City Harvest, Inc.	2009397	\$1,500.00	General Support
Citymeals on-Wheels	2009398	\$1,500.00	General Support
Civitas Citizens Inc.	2009343	\$7,500.00	General Support
Coalition for the Homeless, Inc.	2009399	\$1,500.00	General Support
Columbia County Historical Society, Inc.	2009371	\$3,500.00	For the book, "Inked Over: Our World in Print"
Columbia Land Conservancy, Inc.	2009392	\$10,000.00	General Support

Cornell University	2009372	\$4,000.00	For the book, "The Nature of New York: An Environmental History of the Empire State"
Creating Hope International	2009366	\$2,000.00	Afghan Institute of Learning
Doctors Without Borders	2009400	\$1,500.00	General Support
Downtown Art	2009350	\$600.00	General Support
Ecology Action Centre	2009479	\$20,000.00	General Support: Fishing for the Future: Community-Based Marine Conservation
Essential Information, Inc.	2009346	\$75,000.00	General Support
Fifth Avenue Committee	2009351	\$1,350.00	General Support
Food Bank for New York City	2009401	\$1,500.00	General Support
Friends of P.S. 166	2009362	\$300.00	General Support
Open Space Institute		\$225,000.00	General Support
Holy Rosary Church	2009407	\$1,710.00	General Support
Hudson River Foundation	2009393	\$15,000.00	\$12,000 for Our Hudson Tomorrow Project \$3,000 for the cultural and educational legacy project of Our Hudson Tomorrow
The J.M. Kaplan Fund, Inc. List of Grants 31-Dec-10 Ein: 13-6090286			
Island Press - Center for Resource Economics	2009375	\$5,000.00	For the book, "Cities for People"
James Marston Fitch Charitable Foundation	2009408	\$1,000.00	For symposium entitled The Preservationist's Eye: Esthetics in Reuse and Conservation of the Historic Built Environment
Landmark West!	2009389	\$7,000.00	For the book, "Bricks and Brownstone: The New York Row House 1783-1929"
The Trust for Public Land		\$225,000.00	General Operating Support
Make the Road New York	2009349	\$1,350.00	General Support
Mary Obolensky Underwood Leukaemia Foundation Inc.	2009359	\$1,000.00	General Support
Northwest Atlantic Marine Alliance Inc.	2009480	\$10,000.00	General Operating Support
Oxfam-America	2009403	\$1,500.00	General Support

Performing Arts Journal	2009379	\$3,000.00	For the book, "Transmission Arts"
Planned Parenthood of Maryland	2009367	\$2,000.00	General Support
Price Tower Arts Center	2009380	\$3,500.00	For the book, "Bruce Goff: A Creative Mind"
Princeton University Press	2009381	\$5,000.00	For the book, "A Renaissance of Indignation: A Narrative History of African American Writers and Critics from 1934-1960"
Rice University	2009363	\$150.00	Annual Fund
Saint Michael's Church	2009360	\$750.00	General Support
Saint-Gaudens Memorial	2009348	\$10,000.00	For on-going programs to support the National Historic Site in Cornish, NH and to promote awareness and appreciation of the work of Augustus Saint-Gaudens, and the Music Committee's project to upgrade sound equipment used for concerts at the historic site
South Street Seaport Museum	2009383	\$4,500.00	For the book, "Alfred Stieglitz's New York"
The J.M. Kaplan Fund, Inc.			
List of Grants			
31-Dec-10			
Ein: 13-6090286			
St. Luke's Chamber Ensemble, Inc.	2009394	\$5,000.00	General Support in honor of Marianne Lockwood
Syracuse University Press, Inc.	2009384	\$3,500.00	For the book, "Winslow Homer in London: A New York Artist Abroad, 1881-1882"
The Boston Athenaeum	2009370	\$3,500.00	For the book, "John Storrs, Machine-Age Modernist"
The Resourceful Family	2009333	\$400.00	General Operating Support
The Greene County Historical Society	2009374	\$2,500.00	For the book, "Remember the Ladies: Women of the Hudson River School"
The Legal Aid Society	2009402	\$1,000.00	General Support
The Nature Conservancy	2009354	\$3,600.00	To support the transportation of bison herd to Rancho El Uno Preserve
The New Press	2009376	\$5,000.00	For the book, "The World According to Monsanto"
The New York Foundation for the Arts	2009347	\$155,293.00	Artists Fund
The New York Foundation for the Arts	2009377	\$2,500.00	For the book, "New England Modern: 1930-70"
The New York Foundation for the Arts	2009358	\$54,933.00	Artists Fund
The New-York Historical Society	2009378	\$3,000.00	For the book, "Encyclopedia of New York City"
The Ragdale Foundation	2009382	\$2,500.00	For the book, "Ragdale House Speaks"

The San Francisco Art and Film Program	2009344	\$10,000.00	General Support
The Solo Foundation	2009390	\$3,500.00	For the book, "Suburbia Mexicana"
University of California, Berkeley	2009373	\$2,500.00	For the book, "Light and Shadows - 1910-1916" Volume 3
University of Virginia	2009386	\$5,000.00	For the book, "Sustainable, Affordable, Prefab: The ecoMod Project"
Vassar College	2009387	\$2,500.00	For the book, "Thomas Rowlandson: Pleasures and Pursuits in Georgian England"
Wilderstein Preservation	2009406	\$1,000.00	General Support
The J.M. Kaplan Fund, Inc.			
List of Grants			
31-Dec-10			
Ein: 13-6090286			
Working Harbor Committee	2009388	\$1,500.00	For the book, "For the Love of Ferries: Around New York Harbor"
WWF Canada	2009478	\$30,000.00	General Operating Support - Gulf of Maine
Yale University	2009391	\$7,500.00	For the book, "Leonardo and His Drawings"

Total Grants

\$7,138,886.00

FORM 990EF, PART XV - CONTRIBUTIONS APPROVED FOR FUTURE PAYMENT

ATTACHMENT 23

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

FOUNDATION STATUS OF RECIPIENT

RECIPIENT NAME AND ADDRESS

PURPOSE OF GRANT OR CONTRIBUTION

AMOUNT

SEE STATEMENT 24

NONE

SEE SCHEDULE ATTACHED

1,918,518.

PUBLIC

TOTAL CONTRIBUTIONS APPROVED

1,918,518.

The J.M. Kaplan Fund, Inc.
Grants Payable
31-Dec-10

Hudson River Foundation	\$30,000	Sumazdo	\$	12,500.00
American Farmland Trust	\$2,500	NYC Public Private Initiatives	\$	70,000.00
Berkshire Teacote Community Foundation	\$2,500	University of Miami	\$	45,000.00
Civitas Citizens Inc.	\$2,500	Latin American Workers Project Inc.	\$	1,350
Columbia Land Conservancy Inc.	\$10,000	The Walker & Duncan Gordon Foundation	\$	100,000
Historic Hudson Inc.	\$1,500	New York University	\$	175,000
Hudson Opera House	\$9,924	World Monuments Fund	\$	150,000
Hudson River Sloop Clearwater	\$5,000	Penn Praxits Inc.	\$	50,000
Hudson River Heritage	\$2,500	Harvard University	\$	35,000
National Association of Olmsted Parks	\$2,500	Canadian Parks & Wilderness Society	\$	5,000
NY League of Conservation Voters	\$2,500	World Monuments Fund	\$	27,500
Martha Graham Center	\$1,000	Montara Preservation Alliance	\$	40,000
North County School	\$2,500	New York University	\$	200,000
Parks & Trails NY	\$2,500	World Monuments Fund	\$	200,000
Shaker Museum & Library	\$2,500	Penn Praxits Inc.	\$	50,000
Time & Space Limited	\$2,500	Harvard University	\$	30,000
Wildstein Preservation	\$2,500	Inquilt	\$	20,000
City Harvest Inc.	\$2,500	NY/NJ Baykeeper	\$	5,000
Clysmatic-Or-Wheels	\$2,500	Board of Trustees Stanford Univ.	\$	\$25,000
Food Bank for New York City Food for Surviv	\$2,500	Philanthropy New York	\$	\$750
Coalition for the Homeless Inc.	\$2,500	Support Center for Nonprofit Mgmt	\$	\$750
Church of the Holy Apostles	\$2,500	National Parks Conservation Assoc.	\$	\$10,000
New York Cares	\$2,500	Center for American Progress	\$	\$40,000
International Rescue Committee	\$2,500	Zoological Society	\$	\$100,000
Amnesty International	\$2,500	Matr Kids	\$	\$5,000
International Rescue Committee	\$2,500	Brad Tigs	\$	\$5,000
Oxiam-America Inc.	\$2,500			
Essential Information Inc.	\$45,753			
Interfaith Center of NYC	\$10,000			
New York Foundation for the Arts	\$22,662			
Beaux-Arts Alliance	\$4,000			
Brooklyn Institute of Arts and Sciences	\$10,000			
C & O Canal Trust	\$3,500			
Smithsonian Institution	\$7,500			
The Groller Club of NYC	\$4,500			
LS.U. Foundation	\$5,000			
The Museum of Fine Arts of Houston	\$5,000			
Nation Institute	\$5,000			
New York Historical Society	\$5,000			
Parks & Trails NY	\$5,000			
Photo Based Art Inc.	\$4,000			
Printed Matter Inc.	\$4,000			
Smithsonian Institution	\$5,000			
West Virginia University Foundation	\$7,000			
Whitney Museum of American Art	\$7,500			
Altes Economic Research Foundation	\$220,000			
Cordiliano Mujer	\$25,000			
	\$515,669			
				\$ 1,402,850.00
				<u>\$ 1,918,519.00</u>

FORM 990-PF, PART XVI-A - ANALYSIS OF OTHER REVENUE

ATTACHMENT 24

<u>DESCRIPTION</u>	<u>BUSINESS CODE</u>	<u>AMOUNT</u>	<u>EXCLUSION CODE</u>	<u>AMOUNT</u>	<u>RELATED OR EXEMPT FUNCTION INCOME</u>
PARTNERSHIP INCOME	900001	208,961.	18	251,870.	
PRI INCOME AND OTHER INCOME					81,937.
RETURN OF GRANTS					
TOTALS		<u>208,961.</u>		<u>251,870.</u>	<u>81,937.</u>